## NOTICES OF PROPOSED RULEMAKING

Unless exempted by A.R.S. § 41-1005, each agency shall begin the rulemaking process by first submitting to the Secretary of State's Office a Notice of Rulemaking Docket Opening followed by a Notice of Proposed Rulemaking that contains the preamble and the full text of the rules. The Secretary of State's Office publishes each Notice in the next available issue of the *Register* according to the schedule of deadlines for *Register* publication. Under the Administrative Procedure Act (A.R.S. § 41-1001 et seq.), an agency must allow at least 30 days to elapse after the publication of the Notice of Proposed Rulemaking in the *Register* before beginning any proceedings for making, amending, or repealing any rule. (A.R.S. §§ 41-1013 and 41-1022)

#### NOTICE OF PROPOSED RULEMAKING

#### TITLE 2. ADMINISTRATION

#### **CHAPTER 2. ARIZONA COMMISSION ON THE ARTS**

#### **PREAMBLE**

1. Sections Affected Rulemaking Action

R2-2-101 Amend R2-2-102 Amend

2. The specific authority for the rulemaking, including both the authorizing statute (general) and the statutes the rules are implementing (specific):

Authorizing statute: A.R.S. § 41-986 Implementing statute: A.R.S. § 41-986

3. A list of all previous notices appearing in the Register addressing the proposed rule:

Notice of Rulemaking Docket Opening: 9 A.A.R. 854, March 1, 2001

4. The name and address of agency personnel with whom persons may communicate regarding the rulemaking:

Name: Mollie Lakin-Hayes

Address: 417 W. Roosevelt St.

Phoenix, AZ 85003

Telephone: (602) 229-8220 Fax: (602) 256-0282

E-mail: mlakinhayes@ArizonaArts.org

### 5. An explanation of the rule, including the agency's reasons for initiating the rule:

Upon the recommendation of the Office of the Auditor General, the proposed rule amends the distinction between private monies that will be considered match to the Arizona Arts Endowment Fund (known as Arizona ArtShare) and other private monies that will not be considered match, and describes the manner of reporting both non-designated and designated donations to arts endowments.

6. A reference to any study that the agency proposes to rely on in its evaluation of or justification for the proposed rule and where the public may obtain or review the study, all data underlying each study, any analysis of the study and other supporting material:

Not applicable

7. A showing of good cause why the rule is necessary to promote a statewide interest if the rule will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

#### 8. The preliminary summary of the economic, small business, and consumer impact:

The proposed amended rule will have minimal or no impact on state agencies, specific public entities (educational institutions, cities and counties), private entities (specifically community foundations), private donors, non-profit arts organizations, small businesses or consumers.

## 9. The name and address of agency personnel with whom persons may communicate regarding the accuracy of the economic, small business and consumer impact statement:

Name: Mollie Lakin-Hayes

Address: 417 W. Roosevelt St.

Phoenix, AZ 85003-1326

Telephone: (602) 229-8220 Fax: (602) 256-0282

E-mail: mlakinhayes@ArizonaArts.org

## 10. The time, place and nature of the proceedings for the adoption, amendment or repeal of the rule or, if no proceeding is scheduled, where, when and how persons may request an oral proceeding on the proposed rule:

A public hearing to receive input and comment is scheduled:

Date: Monday, May 6, 2002

Time: 1:00 p.m.

Location: Arizona Commission on the Arts Conference Room,

417 W. Roosevelt St., Phoenix

Nature: Public hearing for input and comment

The record will close at 5:00 p.m. Friday, May 10, 2002. Written comments may be mailed or delivered by 5:00 p.m., Friday, May 10, 2002 to the person named in item #9. The Commission anticipates submission of the final amended rules package to the Governor's Regulatory Review Council for review and approval at their meeting July 9, 2002.

## 11. Any other matters prescribed by statute that are applicable to the specific agency or to any specific rule or class of rules:

None

## 12. Incorporations by reference and their location in the rules:

None

### 13. The full text of the rules follows:

#### TITLE 2. ADMINISTRATION

#### CHAPTER 2. ARIZONA COMMISSION ON THE ARTS

# ARTICLE 1. MATCHING PRIVATE MONIES WITH MONIES FROM THE ARIZONA ARTS ENDOWMENT FUND

Section

R2-2-101. Definitions

R2-2-102. Matching Private Monies

## ARTICLE 1. MATCHING PRIVATE MONIES WITH MONIES FROM THE ARIZONA ARTS ENDOWMENT FUND

#### **R2-2-101.** Definitions

In this Article, unless the context otherwise requires:

"Arizona Arts Endowment Fund" - means the fund established in A.R.S. § 41-986.

"Arts Organization" - means an organization that has applied for and received non-profit status under 501(c)(3) of the U.S. internal revenue code and whose primary mission is to produce, present, or serve the arts.

"Commission" - means the Arizona Commission on the Arts.

#### Arizona Administrative Register

### **Notices of Proposed Rulemaking**

"Donor-advised Fund" <u>-</u> means monies donated to a community foundation, over which the donor or others designated by the donor retain the right to advise on grants from the fund.

"Field-of-interest Fund" <u>-</u> means monies donated to a community foundation, that the donor restricts to grants in a specific charitable field.

"Large and Mid-Sized Arts Organizations" - means non-profit Arizona arts organizations currently participating in Organization Development Program Level III, Basic Aid or Locals Aid grants programs of the Arizona Commission on the Arts:

"Matching Funds" means non-state monies collected which can be considered a match to the Arizona Arts Endowment Fund. These include monies considered "Other Government Endowment for the Arts" and "Private Monies."

Non-designated Funds – Monies donated or appropriated to the Arizona Arts Endowment Fund, or to an endowment fund for which income generated is to be administered by the Commission for arts program in Arizona.

"Other Government Endowment for the Arts" - means an endowment of a community college, university, city or county local arts agency.

"Private Monies" <u>-</u> means revenue from sources other than state tax funds such as cash or securities, irrevocable deferred gifts, lead trusts, real estate, or other items that are convertible to cash. The cash value of an irrevocable deferred gift is its present value.

"Programs" - means arts activities or presentations which that are promoted to the public.

"Tangible Personal Property" - means an item under personal ownership that can be touched or felt, such as a car, boat, artwork, and jewelry.

### **R2-2-102.** Matching Private Monies

- **A.** The Commission shall consider private monies to be a match to the Arizona Arts Endowment Fund if the private monies are contributed as follows:
  - 1. The donor enters into a written agreement with an endowment fund to dedicate the monies permanently, and
  - 2. The donor designates the monies to the Arizona Arts Endowment Fund or to the an endowment fund of a 501(c)(3) arts community organization contracted by the Arizona Commission on the Arts to administer the monies;
  - 3. The donor designates the monies to the endowment fund of an arts organization, except as provided in subsection (B);
  - 4. The donor designates the monies to another government endowment fund for the arts that agrees to:
    - a. Re-grant monies to arts programs, and
    - b. Use none of the monies to support for credit classes.
- **B.** The Commission shall not consider a donation to be a match to the Arizona Arts Endowment Fund if the donation is to an arts organization and:
  - 1. Is tangible personal property; or the donor designates the monies to a specific arts organization's endowment fund, or
  - 2. Is intended for use by the arts organization for its annual operating budget, the donor designates the monies to another government endowment fund for the arts.
- C. The Commission shall consider monies in a donor-advised fund or a field-of-interest for the arts fund the same as all other monies donated in compliance with subsection (A).
- **D.** Funds may be held, accounted for, and named individually.
- **E.** The Commission may enter into written agreements with one or more 501(c)3 community organizations to collect, invest and manage private monies. The contracted organization shall report, on a quarterly basis, the collection of, investment of, and return on such monies, to the Commission.
- **F.** The Commission shall require request annual written financial reports from non-profit arts organizations in Arizona receiving monies from the Commission. The reports shall include a statement of the amount of monies received by any endowment for the arts which may be matching funds. The Commission shall annually document and report these gifts to arts endowments to the Legislature in addition to the reporting of non-designated funds.

## NOTICE OF PROPOSED RULEMAKING

## TITLE 9. HEALTH SERVICES

# CHAPTER 5. DEPARTMENT OF HEALTH SERVICES CHILD CARE FACILITIES

## **PREAMBLE**

<u>1.</u>	Sections Affected	Rulemaking Action
	Article 1	Amend
	R9-5-101	Amend
	R9-5-102	New Section
	R9-5-201	Amend
	R9-5-202	Amend
	Table 1	New Table
	R9-5-203	Amend
	R9-5-204	Repeal
	R9-5-204	Renumber
	R9-5-204	Amend
	R9-5-205	Renumber
	R9-5-205	Amend
	R9-5-206	Renumber
	R9-5-206	Amend
	R9-5-207	Renumber
	R9-5-207	New Section
	R9-5-208	Amend
	R9-5-209	Amend
	R9-5-509	Amend
	Article 7	Amend
	R9-5-701	Repeal
	R9-5-701	New Section
	R9-5-702	Repeal
	R9-5-702	New Section
	Table 2	New Table
	R9-5-703	Repeal
	R9-5-703	New Section
	R9-5-704	Amend
	R9-5-705	Repeal
	R9-5-705	New Section
	R9-5-706	Repeal
	R9-5-706	New Section
	R9-5-707	Amend
	R9-5-708	New Section
	Article 8	Amend
	R9-5-801	Amend
	R9-5-802	Amend
	R9-5-804	Amend
	R9-5-806	Amend
	R9-5-807	Amend
	Article 9	Amend
	R9-5-901	Amend
	R9-5-903	Amend
	R9-5-904	Amend
	R9-5-905	Amend
	R9-5-906	Amend
	R9-5-907	Amend
	R9-5-908	Amend
		- Interior

R9-5-909	Amend
R9-5-912	Amend
Article 10	Amend
R9-5-1001	Amend
R9-5-1003	Amend

## 2. The specific authority for the rulemaking, including both the authorizing statute (general) and the statutes the rules are implementing (specific):

Authorizing statutes: A.R.S. §§ 36-136(F), 36-883, 36-883.04, 36-897.01, and 36-897.02

Implementing statutes: A.R.S. §§ 36-882, 36-883, 36-883.02, 36-885, 36-888, 36-897.03, 36-897.05, 36-897.06, and 36-897.08

#### 3. A list of all previous notices appearing in the Register addressing the proposed rule

Notice of Rulemaking Docket Opening, 8 A.A.R. xxxx, April 5, 2002

#### 4. The name and address of agency personnel with whom persons may communicate regarding the rulemaking:

Name: Kathleen Phillips, Rules Administrator

Address: Arizona Department of Health Services

Office of Administrative Rules 1740 W. Adams, Room 102

Phoenix, AZ 85007

Telephone: (602) 542-1264 Fax: (602) 364-1150

E-mail: kphilli@hs.state.az.us

or

Name: Lourdes Ochoa, Health Program Manager III

Address: Arizona Department of Health Services

Division of Assurance and Licensure Services

Office of Child Care Licensure 1647 E. Morten Ave., Suite 230

Phoenix, AZ 85020

Telephone: (602) 674-4220 Fax: (602) 861-0674

E-mail: lochoa@hs.state.az.us

#### 5. An explanation of the rule, including the agency's reasons for initiating the rule:

#### A. CHILD CARE FACILITIES

#### 1. Background

A.R.S. Title 36, Chapter 7.1, Article 1 provides the Department with statutory authority to license and regulate child care facilities. The rules in 9 A.A.C. 5, Articles 2 through 6 implement those statutes by setting requirements for licensure, facility administration, facility staff, facility programs and equipment, and the physical plant of a facility. Article 2 contains provisions for initial and renewal license applications; time-frames; fingerprinting; child care service classifications; changes affecting licensure; inspections and investigations; and denial, revocation, or suspension of a license. Article 5 contains requirements for facility programs and equipment and includes food preparation and service requirements.

Laws 1998, Chapter 270 amended A.R.S. Title 36, Chapter 7.1, Article 1 by moving the responsibility for fingerprinting checks from the Department to the Department of Public Safety, adding the concept of class one and class two fingerprint clearance cards, changing the deadline for an employee of a child care facility to comply with fingerprinting requirements, and expressly requiring an applicant for licensure to have a class one or class two fingerprint clearance card. (Although Laws 1998, Chapter 270 went into effect on August 16, 1999, § 28 of the session law contains a grandfather clause for individuals who were fingerprinted before July 1, 2000, and who do not change employment, apply for certification or recertification, or enter into a contract that requires fingerprinting. The Department has, consistent with legal advice and with the apparent intention of the legislature that child care workers be fingerprinted

#### Arizona Administrative Register

## **Notices of Proposed Rulemaking**

every three years, interpreted this as providing a three-year grace period for child care workers who were fingerprinted before July 1, 2000, and who have not changed employment. Thus, these individuals are not required to be fingerprinted under the current process until August 16, 2002, which is reflected in the rules.)

Laws 1999, Chapter 11 also amended the fingerprinting provisions in A.R.S. Title 36, Chapter 7.1, Article 1 by clarifying the requirements for volunteers in child care facilities and by amending the list of offenses included.

Laws 2000, Chapter 77 amended A.R.S. Title 36, Chapter 7.1, Article 1 by defining "substantial compliance"; incorporating "substantial compliance" into licensing requirements; and amending requirements for posting information, fingerprinting, records inspection, civil penalties, and intermediate sanctions. Laws 2000, Chapter 251, § 10 further amended the fingerprinting requirements of A.R.S. Title 36, Chapter 7.1, Article 1.

Laws 2001, Chapter 152 amended A.R.S. Title 36, Chapter 7.1, Article 1 by defining "controlling person", amending application requirements for child care facility licensure, amending eligibility requirements for child care facility licensure, requiring an applicant or licensee to provide the Department with written notice when a controlling person changes, and requiring an applicant or licensee to designate an agent to receive communications from the Department. Laws 2001, Chapter 350 amended A.R.S. § 36-883.02 by removing an exception for individuals fingerprinted under A.R.S. §§ 15-512 and 15-534. Laws 2001, Chapter 350 also added a requirement that child care personnel certify that they have not been denied or had revoked a license to operate a child care facility or a certificate to operate a child care group home in Arizona or another state and have not been denied or had revoked certification to work in a child care facility or child care group home. Finally, Laws 2001, Chapter 350 clarified that a child care facility may not allow an individual to be employed or volunteer in a child care facility if the individual has been denied a class two fingerprint clearance card or has not received an interim approval from the Board of Fingerprinting.

In addition, on October 3, 2001, the new rules for food establishments, contained in 9 A.A.C. 8, Article 1, took effect. These rules completely replace the previous rules for food establishments, including those within child care facilities.

#### 2. This Rulemaking

The rules for 9 A.A.C. 5, Article 2 include the rule changes necessary to ensure consistency with the statutory changes in Laws 1998, Chapter 270; Laws 1999, Chapter 11; Laws 2000, Chapters 77 and 251; and Laws 2001, Chapters 152 and Chapter 350. This rulemaking also makes the rules consistent with the new rules for food establishments in 9 A.A.C. 8, Article 1.

The rules also increase the time-frames for child care facility licensure. The Department has tracked the child care facility licensure process since adopting time-frames in October 1997 and has determined that the substantive review time-frames for initial and renewal license applications are inadequate. In October 1997, there were only 1,671 licensed child care facilities in Arizona. Currently, there are more than 2,063 licensed child care facilities and several hundred applications pending. The Department has found that the time needed to complete a substantive review has been affected by increases in licensed capacity, a broader spectrum of services offered, location (more and more child care facilities are located in outlying areas of the state), and creative uses of physical plants for child care facilities. As the child care industry has evolved and expanded to meet the needs of the state's increasing population, industry needs and facility inspections have become more and more complex. Department resources have not increased in response to the increase in child care facilities licensed or the increase in the complexity of the child care industry (Although the Department received legislative authorization to hire additional surveyors for the Office of Child Care Licensure, the current state of the budget has made it impossible for the Department to fill those positions, which are now on hold. In addition, vacancies are no longer being filled.). Thus, the Department is proposing to increase the substantive review time-frames for initial and renewal applications to better reflect the time needed to complete processing. (In the past four years, the Office of Child Care Licensure has been out of compliance with its time-frames 19 times.)

As a result of the tracking process, the Department has also determined that it initially underestimated the length of time necessary to complete an administrative completeness review of and process a renewal application. Thus, the Department is also proposing to increase the administrative completeness review time-frame for renewal applications to 30 days to make it consistent with the administrative completeness review time-frame for an initial license.

In addition, the Department has determined that it needs time-frames for approval of changes affecting a license. In 1997, the Department did not consider this approval to be a "license" as defined in the Administrative Procedure Act. However, the Department has determined that this approval is indeed a "license." Thus, this rulemaking adds time-frames for this approval process.

This rulemaking also adds a requirement that a facility licensee notify the Department in writing before it changes a facility director. Because this is not an approval process, it does not require time-frames. The Department has been requesting this notification for some time, and this rulemaking adds this requirement formally in a new Section.

This rulemaking also amends the definitions that relate to child care facilities to bring them up to date and to make them consistent with the changes in the rules. In addition, the rulemaking adds a new Section at R9-5-102 to clarify which individuals are responsible to act for an applicant or licensee in completing and signing documents, complying with fingerprinting requirements, and complying with Department-provided training requirements. In Article 5, this rulemaking amends R9-5-509 to make it consistent with the new rules for food establishments, which are contained in 9 A.A.C. 8, Article 1. Finally, this rulemaking amends the language of the rules to reflect current Department practices; to make the rules clear, concise, and understandable; and to bring the rules into conformance with current rulemaking format and style requirements.

#### B. CHILD CARE GROUP HOMES

#### 1. Background

A.R.S. Title 36, Chapter 7.1, Article 4 provides the Department with statutory authority to certify and regulate child care group homes. The rules in 9 A.A.C. 5, Articles 7 through 10 implement those statutes by setting requirements for child care group home certification, administration, staff qualifications, programs and equipment, and physical facility standards. Currently, there are 295 certified child care group homes and 100 applications for certification pending.

Article 7 contains provisions for initial and renewal certification applications; fingerprinting; changes affecting certification; inspections and investigations; and denial, revocation, or suspension of certification. Article 7 currently lacks time-frames. When the rules were adopted in 1990, there was not yet a requirement to have time-frames, and these rules have not been amended since their adoption.

Laws 1998, Chapter 270 amended A.R.S. Title 36, Chapter 7.1, Article 4 by moving the responsibility for fingerprint checks from the Department to the Department of Public Safety, adding the concept of class one and class two fingerprint clearance cards, changing the deadline by which an employee of a child care group home is required to comply with fingerprinting requirements, and expressly requiring an applicant for child care group home certification to have a class one or class two fingerprint clearance card. (Although Laws 1998, Chapter 270 went into effect on August 16, 1999, § 28 of the session law contains a grandfather clause for individuals who were fingerprinted before July 1, 2000, and who do not change employment, apply for certification or recertification, or enter into a contract that requires fingerprinting. The Department has, consistent with legal advice and with the apparent intention of the legislature that child care workers be fingerprinted every three years, interpreted this as providing a three-year grace period for child care workers who were fingerprinted before July 1, 2000, and who have not changed employment. Thus, these individuals are not required to be fingerprinted under the current process until August 16, 2002, which is reflected in the rules.)

Laws 1999, Chapter 11 also amended the fingerprinting provisions in A.R.S. Title 36, Chapter 7.1, Article 4 by clarifying the responsibilities of volunteers and adding to the list of criminal offenses.

Laws 2000, Chapter 77 amended A.R.S. Title 36, Chapter 7.1, Article 4 by defining "substantial compliance"; incorporating "substantial compliance" into certification requirements; adding injunction authority; and amending requirements for fingerprinting, records inspection, civil penalties, and intermediate sanctions. Laws 2000, Chapter 251, §§ 11 and 12 further amended the fingerprinting requirements of A.R.S. Title 36, Chapter 7.1, Article 4.

#### 2. This Rulemaking

The rules for 9 A.A.C. 5, Article 7 include the rule changes necessary to ensure consistency with the statutory changes in Laws 1998, Chapter 270; Laws 1999, Chapter 11; and Laws 2000, Chapters 77 and 251.

The rules also establish time-frames for initial and renewal applications for child care group home certification and for approval of changes affecting certification.

This rulemaking also adds a requirement that a certificate holder notify the Department in writing before it changes a provider. Because this is not an approval process, it does not require time-frames. The Department has been requesting this notification for some time, and this rulemaking adds this requirement formally in a new Section.

This rulemaking also amends the definitions that relate to child care group homes to bring them up to date and to make them consistent with the changes in the rules. In addition, the rulemaking adds a new Section at R9-5-102 to clarify which individuals are responsible to act for an applicant or certificate holder in completing and signing documents, complying with fingerprinting requirements, and complying with Department-provided training requirements.

Finally, this rulemaking amends the language of the rules in Article 7 to reflect current Department practices; to make the rules clear, concise, and understandable; and to bring the rules into conformance with current rulemaking format and style requirements.

In Articles 8, 9, and 10, this rulemaking changes the term "day care group home" to "child care group home" to be consistent with statutory language. In addition, in R9-5-801, this rulemaking replaces a reference to R9-5-701(B)(11) with the substantive requirement that the referenced subsection contained before this rulemaking.

6. A reference to any study that the agency proposes to rely on in its evaluation of or justification for the proposed rule and where the public may obtain or review the study, all data underlying each study, any analysis of the study, and other supporting material:

Not applicable

7. A showing of good cause why the rule is necessary to promote a statewide interest if the rule will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

8. The preliminary summary of the economic, small business, and consumer impact:

The Department will incur moderate to substantial costs from this rulemaking. The Department will incur moderate to substantial costs from implementing the new time-frames for approval of a change affecting a facility license and will incur moderate costs from implementing the new time-frames for child care group homes. In addition, the Department will incur minimal to moderate costs from implementing the new time-frames for approval of a change affecting a child care group home certificate and will incur moderate costs from the rulemaking process itself.

The rules will minimally burden a small portion of the regulated community—only those licensees who change the locations of their facilities—because the rules eliminate a provision exempting these people from attending the four-hour Department-provided training required to get a new license. The Department has for some time encouraged these people to attend the training, because this type of refresher training seems to assist licensees in maintaining compliance with the rules.

Otherwise, this rulemaking benefits the public and the regulated community. Making the rules consistent with statutory requirements will minimally benefit the public and the regulated community by alleviating any confusion that exists because of the inconsistencies between the requirements in statute and in rule. This will also minimally benefit the Department, because the Department will receive fewer inquiries regarding the inconsistencies between statute and rule. In addition, amending the administrative completeness review and substantive review time-frames for the licensure of child care facilities will provide the public and the regulated community with a more accurate assessment of the length of time necessary for completing the licensure process. Establishing time-frames for the certification of child care group homes, for approval of a change affecting a license, and for approval of a change affecting a certificate will benefit the public and the regulated community by notifying the public and the regulated community of the length of time necessary to obtain these approvals. Amending the rules for licensure and certification to reflect current Department practices will also minimally benefit the public and the regulated community by clarifying the responsibilities of an applicant, licensee, or certificate holder. This clarification will also benefit the Department, because there will be less confusion surrounding these responsibilities and thus fewer inquiries to the Department regarding these responsibilities.

Updating the definitions in 9 A.A.C. 5 will also minimally benefit the public and the regulated community by alleviating any confusion caused by those definitions that are out of date. Updating the definitions will also minimally benefit the Department, because the Department will no longer receive inquiries regarding the meanings of the terms for which the definitions are out of date. In addition, adding a new Section at R9-5-102 to clarify which individuals are responsible to act for an applicant, licensee, or certificate holder in completing and signing documents, complying with fingerprinting requirements, and complying with Department-provided training requirements will minimally benefit the public and the regulated community by alleviating confusion that exists in this area and will minimally benefit the Department because the Department will no longer receive inquiries in this area.

Because the changes made in response to Laws 1998, Chapter 270; Laws 1999, Chapter 11; Laws 2000, Chapters 77 and 251; and Laws 2001, Chapters 152 and 350 are required by statute, economic impacts resulting from these changes do not result from this rulemaking and are not included within this summary. Likewise, the changes in R9-5-509 necessitated by the revision of 9 A.A.C. 8, Article 1 are not included in this summary because they derive from the revision of 9 A.A.C. 8, Article 1, not from this rulemaking.

9. The name and address of agency personnel with whom persons may communicate regarding the accuracy of the economic, small business, and consumer impact statement:

Name: Kathleen Phillips, Rules Administrator

Address: Arizona Department of Health Services

Office of Administrative Rules 1740 W. Adams, Room 102

Phoenix, AZ 85007

Telephone: (602) 542-1264 Fax: (602) 364-1150

E-mail: kphilli@hs.state.az.us

or

Name: Lourdes Ochoa, Health Program Manager III

Address: Arizona Department of Health Services

Division of Assurance and Licensure Services

Office of Child Care Licensure 1647 E. Morten Ave., Suite 230

Phoenix, AZ 85020

Telephone: (602) 674-4220 Fax: (602) 861-0674

E-mail: lochoa@hs.state.az.us

## 10. The time, place, and nature of the proceedings for the making, amendment, or repeal of the rule or, if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

The Department has not scheduled an oral proceeding on the proposed rules. However, a person may request an oral proceeding by submitting a written request to the agency personnel listed in item #4 above before 5:00 p.m. on May 6, 2002. In addition, a person may submit written comments on the proposed rules to the agency personnel listed in item #4 above before the close of record at 5:00 p.m. on May 6, 2002.

## 11. Any other matters prescribed by statute that are applicable to the specific agency or to any specific rule or class of rules:

Not applicable

#### 12. Incorporations by reference and their locations in the rules:

Not applicable

#### 13. The full text of the rules follows:

#### TITLE 9. HEALTH SERVICES

# CHAPTER 5. DEPARTMENT OF HEALTH SERVICES CHILD CARE FACILITIES

#### ARTICLE 1. DEFINITIONS GENERAL

Section

R9-5-101. Definitions

R9-5-102. Individuals to Act for Applicant, Licensee, or Certificate Holder Regarding Document, Fingerprinting, and

Department-Provided Training Requirements

#### **ARTICLE 2. FACILITY LICENSURE**

Section

R9-5-201. Application for a License

R9-5-202. <u>Initial License Application</u> Time-frames

<u>Table 1.</u> <u>Time-frames (in days)</u>

R9-5-203. Registration and Fingerprinting Requirements

R9-5-204. Revocation, Denial, or Reconsideration of Registration

R9-5-205.R9-5-204. Child Care Services Service Classifications

R9-5-206.R9-5-205. License Renewal

<del>R9-5-207.</del> <u>R9-</u>	-5-206. Changes Affecting a License
R9-5-207.	Change in Director
R9-5-208.	Inspections; Investigations
R9-5-209.	Denial, Revocation, or Suspension of License
	ARTICLE 5. FACILITY PROGRAM AND EQUIPMENT
Section	
R9-5-509.	General Food Service and Food Handling Standards
AR	RTICLE 7. CHILD CARE GROUP HOME CERTIFICATION OF DAY CARE GROUP HOME
Section	
R9-5-701.	Initial certification Application for a Certificate
R9-5-702.	Certificate to operate a child care group home Time-frames
Table 2.	<u>Time-frames (in days)</u>
R9-5-703.	Denial of Certification Fingerprinting Requirements
R9-5-704.	Certificate Renewal-of Certification
R9-5-705.	Suspension or revocation of certification Changes Affecting a Certificate
R9-5-706.	Notice of changes Change in Provider
R9-5-707.	Complaints; investigations Inspections; Investigations
R9-5-708.	Denial, Revocation, or Suspension of Certificate
	ARTICLE 8. DAY CHILD CARE GROUP HOME ADMINISTRATION
Section	
R9-5-801.	Provider standards and responsibilities
R9-5-802.	Personnel standards and responsibilities
R9-5-804.	Inspection reports
R9-5-806.	Children's records and reports
R9-5-807.	Attendance records; admission and release of children
	ARTICLE 9. PROGRAM AND EQUIPMENT FOR <del>DAY</del> <u>CHILD</u> CARE GROUP HOMES
Section	
R9-5-901.	General program and equipment standards
R9-5-903.	Supplemental equipment standards for school-age children
R9-5-904.	Supplemental program and equipment standards for special needs children
R9-5-905.	Supplemental program and equipment standards for night care
R9-5-906.	Illness and infestation
R9-5-907.	Emergency medical care
R9-5-908.	Medications
R9-5-909.	Discipline and guidance

# ARTICLE 10. ACTIVITY AREAS AND PHYSICAL FACILITY STANDARDS FOR $\frac{\text{DAY}}{\text{CHILD}}$ CARE GROUP HOMES

Section

R9-5-912.

R9-5-1001. Day Child care group home activity areas

R9-5-1003. Fire and safety

#### **ARTICLE 1. DEFINITIONS**

#### **R9-5-101.** Definitions

In this Chapter, unless otherwise specified the following terms mean:

Transportation of children and field trips

- 1. "Ábuse" means the infliction or allowing of physical injury, impairment of bodily function or disfigurement, or the infliction of or allowing another individual to cause serious emotional damage as evidenced by severe anxiety, depression, withdrawal or untoward aggressive behavior, and which emotional damage is diagnosed by a medical doctor or psychologist as prescribed by A.R.S. § 8-223 and which is caused by the acts or omissions of an individual having care, custody, and control of a child. Abuse includes the offenses stated in A.R.S. § 8-546 (A)(2). has the same meaning as in A.R.S. § 8-201.
- 2. "Accident" means an unexpected occurrence that may or may not be an emergency that:

a. causes Causes physical injury to a child,

- b. and requires Requires attention by from a staff member, and
- c. May or may not be an emergency.
- 3. "Accommodation school" has the same meaning as in A.R.S. § 15-101.
- 3.4. "Accredited" means approved by the:
  - a. New England Association of Schools and Colleges,
  - b. Middle States Association of Colleges and Secondary Schools,
  - c. North Central Association of Colleges and Schools,
  - d. Northwest Association of Schools and Colleges,
  - e. Southern Association of Colleges and Schools, or
  - f. Western Association of Schools and Colleges.
- 4.5. "Activity" means an action planned by a licensee, certificate holder, or provider and performed by a child while supervised by a staff member.
- 5.6. "Activity area" means a specific indoor or outdoor space or room of a licensed facility or certified child care group home that is designated by a licensee or certificate holder for use by enrolled children for activities.
- 6.7. "Adaptive device" means equipment used to augment an individual's use of the individual's arms, legs, sight, hearing, or other physical part or function.
- 8. "Adult" means an individual who is at least 18 years of age.
- 7.9. "Age-appropriate" means consistent with a child's age and age-related stage of physical growth and mental development.
- 10. "Agency" means any board, commission, department, office, or other administrative unit of the federal government, the state, or a political subdivision of the state.
- 8-11."Applicant" means an individual or business organization requesting one of the following:
  - a. The following persons requesting an An initial or renewal license: ,
    - i. If an individual, the individual owning the facility;
    - ii. If a corporation, any 2 officers of the corporation;
    - iii. If an association or cooperative, any 2 members of the governing board of the association or cooperative;
    - iv. If a limited liability company, the designated manager, or, if no manager is designated, any 2 members of the limited liability company;
    - v. If a partnership, any 2 of the partners;
    - vi. If a joint venture, any 2 individuals signing the joint venture agreement;
    - vii. If a public school, any individual designated in writing as signatory for the facility by the school governing board or school district superintendent;
    - viii. If a charter school, the person approved to operate a charter school in Arizona by the Arizona Board of Education, Arizona Board of Charter Schools, or a school governing board; or
    - ix. If a governmental agency, the director of the governmental agency or the individual designated in writing by the director; or
  - b. An initial or renewal certificate,
  - b.c. A licensee submitting a request for a modification to the physical plant of a licensed facility Approval of a change affecting a license under R9-5-206; or
  - d. Approval of a change affecting a certificate under R9-5-705.
- 9.12. "Application" means the documents required by that an applicant is required to submit to the Department for licensure, or registration certification, or approval of a request for a change affecting a license or a certificate.
- 40-13. "Assistant teacher-caregiver" means a staff member who, for compensation, aids a teacher-caregiver in planning, developing, or conducting child care activities.
- 11-14. "Association or cooperative" means a group of individuals other than a corporation, limited liability company, partnership, joint venture, or public school who have established a governing board and bylaws to operate a facility or a child care group home.
- 15. "Beverage" means a liquid for drinking, including water.
- 16. "Business organization" means an entity such as an unincorporated association, a corporation, a limited liability company, a partnership, or a governmental entity.
- 12.17. "Calendar week" means a 7-day seven-day period beginning on Sunday at 12:00 a.m. and ending on Saturday at 11:59 p.m.
- 13.18. "C.C.P." means Certified Childcare Professional, a credential awarded by the National Child Care Association to individuals an individual who has successfully empleting completed a test of ability to work effectively with children.
- 14.19. "C.D.A." means Child Development Associate, a credential awarded by the Child Development Associate National Credentialling Program to individuals an individual who has successfully completed a test of ability to work effectively with children.

- 20. "Certificate" means the written authorization issued by the Department to operate a child care group home in Arizona.
- 21. "Certificate holder" means a person to whom the Department has issued a certificate to operate a child care group home in Arizona.
- 22. "Certified capacity" means the maximum number of children for whom a certificate holder is authorized by the Department to provide child care services at a child care group home at any given time.
- 15.23. "Change in ownership" means a transfer of controlling legal or controlling equitable interest and authority in a facility or child care group home resulting from a sale or merger of a facility or child care group home.
- 24. "Charter school" has the same meaning as in A.R.S. § 15-101.
- 16.25. "Child" has means:
  - a. For a child care facility, the same meaning as in A.R.S. § 36-881(1) 36-881; and
  - b. For a child care group home, any individual under the age of 13.
- 17.26. "Child care" has the same meaning as in A.R.S. § 36-881(2) 36-881.
- 18.27. "Child care experience" means written documentation of documented work with children in:
  - a. A child care facility or <u>a child care</u> group home that is licensed, certified, or approved by a state in the United States or by one of the Uniformed Services of the United States;
  - b. A public <u>school, a charter school, or a private school, or an accommodation school-as defined in A.R.S. § 15-101(1); or</u>
  - c. A public or private educational institution authorized under the laws of another state where instruction was provided for any grade or combination of grades between early kindergarten and grade 12; or
  - e.d. The One of the following professional fields:
    - i. of nursing Nursing,
    - ii. social Social work,
    - iii. psychology Psychology,
    - iv. child development, or
    - v. A closely related field.
- 28. "Child care group home" has the same meaning as in A.R.S. § 36-897.
- <u>19.29.</u>"Child care services" means the range of activities and programs provided by a licensee <u>or certificate holder</u> to a child, including personal care, supervision, education, guidance, and transportation.
- <del>20.</del>30. "Child Protective Services" means the Child Protective Services Program, of the Arizona Department of Economic Security.
- 21.31. "Child with special needs" means a child with:
  - a. A <u>child with a health care provider</u>'s diagnosis and record of a physical or mental condition that substantially limits the child in providing self-care or performing age-appropriate manual tasks or any other major life function such as walking, seeing, hearing, speaking, breathing, or learning;
  - b. A child with a "developmental disability" as defined in A.R.S. § 36-551; or
  - c. At least 1 of the developmental disabilities listed in A.R.S. § 15-761 and who requires special education A "child with a disability" as defined in A.R.S. § 15-761.
- 22.32. "Clean" means to remove dirt or debris by such methods as washing with soap and water, vacuuming, wiping, dusting, or sweeping.
- 23.33. "Closely related field" means any educational instruction or occupational experience pertaining to the growth, development, physical or mental care, or education of children.
- 24.34. "Communicable disease" has the same meaning as in A.A.C. R9-6-101(5) R9-6-101.
- 25.35. "Compensation" means money or other consideration, including goods, services, vouchers, time, or other another benefit, that is received by a licensee or certificate holder from any individual as payment for child care services or that is paid to received by a staff member by from a licensee or certificate holder as payment for working in a child care facility or child care group home.
- 36. "Controlling person" means a person who:
  - a. Has through ownership, the power to vote at least ten per cent of the outstanding voting securities.
  - b. If the applicant or licensee is a partnership, is the general partner or a limited partner who holds at least ten per cent of the voting rights of the partnership.
  - c. If the applicant or licensee is a corporation, an association or a limited liability company, is the president, the chief executive officer, the incorporator, an agent or any person who owns or controls at least ten per cent of the voting securities.
  - d. Holds a beneficial interest in ten per cent or more of the liabilities of the applicant or the licensee.
- 26.37. "Corporal punishment" means any physical action that inflicts pain to the body of a child, including but not limited to: shaking, spanking, punching, hitting, pinching, biting, pushing, slapping, twisting, jerking, kicking, pulling hair, or strangling a child; or any act which that may result in an abrasion, bruise, welt, contusion, laceration, burn, wound,

- eut, puncture, internal injury, fracture, sprain or dislocation, or a subdural hemorrhage or hematoma injury to the body of a child.
- 27.38. "C.P.C." means Certified Professional in Childcare, a credential awarded by the National Early Care and Education Association to individuals an individual who has successfully completed a test of ability to work effectively with children.
- 28.39."CPR" means cardiopulmonary resuscitation.
- 29.40. "Credit hour" means an earned academic unit of study based on earned at an accredited college or university by attending a 1-hour one-hour class session per each calendar week at an accredited college or university during a semester or completing equivalent practical work as part of a course during a semester.
- 30.41. "Days" means calendar days, not including the day of the act, event, or default from which a designated period of time begins to run, but including the last day of the period unless it is a Saturday, Sunday, or legal holiday, in which case the period runs until the end of the next day that is not a Saturday, Sunday, or legal holiday.
- 42. "Designated agent" means an individual who is:
  - a. A controlling person;
  - b. A United States citizen or legal resident alien;
  - c. A resident of Arizona; and
  - d. Authorized by an applicant or licensee to receive communications, including service of process, from the Department and to file and sign documents for the applicant or licensee.
- 31.43. "Developmentally appropriate" means consistent with a child's physical, emotional, social, cultural, and cognitive development, based on the child's age and family background and the individual child's personality, learning style, and pattern and timing of growth, personality, and learning style.
- 32.44."Discipline" means to provide correction of correct a child's behavior that does not meet generally accepted levels of social behavior.
- 33.45. "Emergency" means a potentially life-threatening occurrence involving a child or staff member that requires an immediate response or medical treatment.
- 34.46. "Endanger" means to expose a child to a situation where physical or mental injury to the child may occur.
- 35.47. "Enrolled" means a child has been placed by a parent and accepted by a licensee or certificate holder for child care services.
- 48. "Evening and nighttime care" means child care services provided between the hours of 8:00 p.m. and 5:00 a.m.
- 36.49. "Facility" means "child care facility" as defined in A.R.S. § 36 881(3) 36-881.
- 37.50. "Facility director" means an individual meeting the qualifications in R9-5-401(1) who is designated by a licensee as the individual responsible for the daily onsite operation of a facility.
- 38.51. "Facility premises" means property that is:
  - a. Designated on an application for a license or certificate by the applicant, and
  - b. Licensed <u>or certified</u> for child care services by the Department under A.R.S. § <u>36-881 et seq. Title 36, Chapter 7.1, Article 1 or 4</u> and these rules.
- 39.52. "Field trip" means an activity planned by child care personnel a staff member for:
  - a. Preschool children off facility premises, or
  - b. School-age children off facility premises or school campus.
- 40.53. "Final construction drawings" means facility plans approved by local government for the construction or modification of a facility that include the architectural, structural, mechanical, electrical, fire protection, plumbing, and technical specifications of the physical plant and the facility premises and that have been approved by local government for the construction or modification of a facility.
- 54. "Food" means a raw, cooked, or processed edible substance, ice, beverage, or ingredient used or intended for use or for sale in whole or in part for human consumption or chewing gum.
- 41.55. "Food preparation" or "preparing food" means handling, washing, eutting, mixing, spreading, combining ingredients, and cooking foods using a utensil as defined in A.A.C. R9 8-112(35) but does not include:
  - a. Using single service articles as defined in A.A.C. R9 8 112(30),
  - b. Handling or distributing whole fruits or vegetables,
  - e. Distributing prepackaged foods, or
  - d. Combining whole uncooked foods processing food for human consumption by cooking or assembling the food, but does not include distributing prepackaged food or whole fruits or vegetables.
- 56. "Full-day care" means child care services provided for six or more hours per day between the hours of 5:00 a.m. and 8:00 p.m.
- 42.57. "Guidance" means the ongoing direction, counseling, teaching, or modeling of generally accepted social behavior through which a child learns to develop and maintain the self-control, self-reliance, and self-esteem necessary to assume responsibilities, make daily living decisions, and live according to generally accepted social behavior.
- 43.58. "Hazard" means a source of endangerment.

- 44.<u>59.</u>"Health care provider" means a state board licensed, registered, or certified physician; physician assistant; nurse; registered nurse practitioner; psychologist; or occupational, physical, or respiratory therapist.
- 45.60. "High school equivalency diploma" means:
  - <u>a.</u> the <u>A</u> document issued by the Arizona Department of Education under A.R.S. § 15-702 or by another state, to an individual who passes a general educational development test or meets the requirements of A.R.S. § 15-702(B);
  - b. A document issued by another state to an individual who passes a general educational development test or meets the requirements of a state statute equivalent to A.R.S. § 15-702(B); or
  - c. A document issued by another country to an individual who has completed that country's equivalent to a 12th grade education, as determined by the Department based upon information obtained from American or foreign consulates or embassies or other governmental entities.
- 46.61. "Hours of operation" means the specific time during a day for which a licensee or certificate holder is licensed or certified to provide child care services.
- 47.62. "Illness" means physical manifestation or signs of any sickness, or communicable disease such as pain, vomiting, rash, fever, discharge, or diarrhea.
- 48.63. "Infant" means:
  - <u>a.</u> a A child 12 months of age or younger, or
  - b. a A child 18 months of age or younger who is not yet walking.
- 64. "Infant care" means child care services provided to an infant.
- 49.65. "Infestation" means the presence of lice, pinworms, scabies, or other parasites.
- 50.66. "Inspection" means:
  - <u>a.</u> on-site Onsite examination of a facility by the Department to determine compliance with A.R.S. § 36-881 et seq. Title 36, Chapter 7.1, Article 1 and these rules;
  - b. Onsite examination of a child care group home by the Department to determine compliance with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules;
  - c. an on-site Onsite review of facility or child care group home records or reports by the Department; or
- d. on-site Onsite examination of a facility or a child care group home by a local jurisdictions governmental entity.
- 51.67. "Lesson plan" means a written description of the activities scheduled in each activity area for a day.
- 52.68. "License" means the written authorization issued by the Department to operate a facility in Arizona.
- 53.69. "Licensed capacity" means the maximum number of children for whom a licensee is licensed authorized by the Department to provide child care services in a facility or a part of a facility at any given time.
- 54.70. "Licensee" means a person, as defined by A.R.S. § 36-881(6) 36-881, to whom the Department issues has issued a license to operate a facility in Arizona.
- 55.71. "Local" means under the jurisdiction of a city or county in Arizona.
- 56.72. "Mat" means a foam pad that has a waterproof cover and is of sufficient size and thickness to accommodate the height, width, and weight of a reclining child's body.
- 57.73. "Medication" means a substance prescribed by a physician, physician assistant, or registered nurse practitioner or available over-the-counter without a prescription for the treatment or prevention of illness or infestation.
- 58.74."Menu" means:
  - <u>a.</u> <u>a A</u> written description of <u>the</u> food <del>provided by that</del> a facility <u>or child care group home provides</u> and <u>served serves</u> as a meal or snack, <u>or</u>
  - b. The combination of food that a facility or child care group home provides and serves as a meal or snack.
- 59.75. "Modification" means an alteration or addition to the physical plant of a licensed facility that requires may or may not require a permit issued by local government.
- 60.76. "Motor vehicle" has the same meaning as defined in A.R.S. § 28-101(28) 28-101.
- 61.77. "N.A.C." means the National Administrator Credential, an award a credential issued by the National Child Care Association to an individual who has successfully completing completed a test of ability to work effectively with children as a director of a child care facility.
- 62.78. "Naptime" means any time during hours of operation, other than evening and nighttime hours—specified in R9 5—205, that is designated by a licensee for the rest or sleep of children.
- 63.79. "Neglect" has the same meaning as in A.R.S. § 8-546(A)(7) 8-201.
- 80. "Nurse" means an individual who is:
  - <u>a.</u> <u>Licensed under A.R.S. Title 32, Chapter 15 as a practical nurse or as a registered, graduate, or professional nurse; or</u>
  - b. Licensed as a practical nurse or a registered nurse under the law of another state.
- 64.81. "One-year-old" means a child who is at least 12 months of age or older who is but not yet 2 two years of age.
- 82. "One-year-old child care" means child care services provided to a one-year old.
- 65.83."Parent" means:
  - <u>a.</u> <u>a A</u> natural, <u>or</u> adoptive, <u>or custodial</u> mother or father <u>of a child</u>, <u>or an</u>
  - b. A individual who has been appointed as a legal guardian appointed by a court of competent jurisdiction, or

- c. A "custodian" of a child by a court of competent jurisdiction as defined in A.R.S. § 8-201.
- 84. "Part-day care" means child care services provided for fewer than six hours per day between the hours of 5:00 a.m. and 8:00 p.m.
- 66-85. "Perishable food" means food which that becomes unfit for human consumption if not stored to prevent spoilage.
- 86. "Person" means:
  - a. In Articles 2 through 6, the same as in A.R.S. § 36-881; and
  - b. In Articles 7 through 10, an individual or a business organization.
- 87. "Personal reference" means an adult who is familiar with a director's, a provider's, or a staff member's character due to observations made as a friend or acquaintance.
- 67.88. "Physical plant" means a building that houses a facility or a child care group home, or licensed or certified areas within a building that houses a facility or a child care group home, including the architectural, structural, mechanical, electrical, plumbing, and fire protection elements of the building.
- 68.89. "Physician" means an individual licensed as a doctor eurrently licensed to practice of:
  - a. allopathic Allopathic medicine under A.R.S. Title 32, Chapter 13;
  - b. Naturopathic medicine under A.R.S. Title 32, Chapter 14;
  - c. or osteopathie Osteopathic medicine under A.R.S. Title 32, Chapter 17;
  - d. Homeopathic medicine under A.R.S. Title 32, Chapter 29; or
  - e. in any Allopathic, naturopathic, osteopathic, or homeopathic medicine under the law of another state of the United States.
- 90. "Physician assistant" means:
  - <u>a.</u> An individual who is licensed under A.R.S. Title 32, Chapter 25 and who performs health care tasks pursuant to a dependent relationship with a physician; or
  - b. An individual who is licensed as a physician assistant under the law of another state.
- 91. "Preparing food" means processing food for human consumption by cooking or assembling the food, but does not include distributing prepackaged food or whole fruits or vegetables.
- 69.92. "Private pool" has the same meaning as in 9 A.A.C. R9-8-811(J) 8, Article 8.
- 70.93. "Private school" has the same meaning as in A.R.S. § 15-101(16) 15-101.
- 94. "Professional reference" means an adult who is familiar with a director's, a provider's, or a staff member's work abilities due to observations made as a supervisor or leader in a business, school, church, or other organizational setting.
- 71.95. "Program" means a variety of activities organized and conducted by a staff member.
- 96. "Provider" means the certificate holder or a person the certificate holder designates in writing who, pursuant to applicable statutes and rules, is to be responsible for direct daily supervision, operation and maintenance of the child care group home.
- 72.97. "Public pool" has the same meaning as in 9 A.A.C. R9 8 811(K) 8, Article 8.
- 73.98. "Public school" means school as defined in A.R.S. § 15 101(3) and (17) a government-operated educational institution established for the purpose of offering instruction to pupils in programs for preschool children with disabilities, kindergarten programs, or any combination of grades one through twelve.
- 74. "Registration" means approval by the Department to operate or work in a child care facility after an individual's completion of the fingerprinting process required by the Department.
- 99. "Registered nurse practitioner" means:
  - a. An individual who:
    - i. Is licensed as a registered, graduate, or professional nurse under A.R.S. Title 32, Chapter 15;
    - ii. Is certified by the Arizona State Board of Nursing through its rules for extended nursing practice; and
    - iii. Has completed a nurse practitioner education program approved or recognized by the Arizona State Board of Nursing; or
  - b. An individual who is licensed as a registered nurse practitioner under the law of another state.
- 75.100. "Regular basis" means child care services are offered at a facility at recurring, fixed, or uniform intervals.
- 76.101. "Resident" means:
  - a. In reference to residency in a child care facility or child care group home, an individual who does not provide work in the child care facility or child care group home, but who is present in a uses the child care facility or child care group home as the individual's principal place of habitation for 30 days or more during the calendar year; and
  - <u>b.</u> <u>In reference to residency in Arizona, the same as in A.R.S. § 43-104 for more than 30 consecutive days.</u>
- 77.102. "Sanitize" means to use heat, chemical agents, or germicidal solutions to disinfect and reduce pathogen counts, including bacteria, viruses, mold, and fungi.
- 78.103. "School-age child" means a child who:
  - <u>a.</u> is 5 years of age or older before beginning Attends kindergarten or a higher level program in a public, charter, accommodation, or private school during the current school year:

- b. in a Attended kindergarten or a higher level program in a public, charter, accommodation, or private school during the most recent school year;
- c. Is home schooled at a kindergarten or higher level during the current school year; or
- Was home schooled at a kindergarten or higher level during the most recent school year.
- 104. "School-age child care" means child care services provided to a school-age child.
- 79.105. "School campus" means the contiguous grounds of a public, charter, accommodation, or private school, including the buildings, structures, and outdoor areas available for use by children attending the school.
- 80.106. "School governing board" means has the same meaning as "governing board" as defined in A.R.S. § 15-101(8) 15-101.
- 81.107. "Semi-public pool" has the same meaning as in 9 A.A.C. R9-8-811(N) 8, Article 8.
- 82.108. "Service classification" means 4 one of the elassifications specified in R9-5-205 following:
  - a. Full-day care,
  - b. Part-day care,
  - c. Evening and nighttime care,
  - d. Infant care,
  - e. One-year-old child care, or
  - f. School-age child care.
- 83.109. "Signed" means having affixed with an individual's name signature consistent with customary usage on an official document or with a symbol of the name representing an individual's signature if the individual is unable to write the individual's name.
- 84.110. "Space utilization" means the designated use of an area within a facility or a child care group home for specific child care services or activities.
- 85.111. "Staff", or "staff member", or "child care personnel" means any employee or volunteer working at a child care facility an individual who works in a facility or a child care group home, regardless of whether compensation is received by the individual.
- 86.112. "STRIVE" means Family, Career, and Community Leaders of America, formerly known as Students Together Rising in Vocational Education, a vocational career and technical student organization authorized by the Arizona Department of Education under A.R.S. § 15-781.01.
- 87.113. "Student-aide" means an individual 15 years of age or younger under the age of 16 who is enrolled in an educational, curriculum-based course of study and who, without being compensated by a licensee, is present at a facility to receive instruction from and supervision by child care personnel in the provision of child care services.
- 88. "Substantive review" means the Department's process for determining whether an applicant for a license and an applicant's facility meet the requirements of A.R.S. § 36 881 et seq. and these rules, including an evaluation of the completed documents submitted as prescribed by R9 5 201 and R9 5 203(A) and inspection of the facility.
- 114. "Substantial compliance" means [:
  - a. For a child care facility, that the nature or number of violations revealed by any type of inspection or investigation of an applicant for licensure or a licensed child care facility does not pose a direct risk to the life, health or safety of children[; and
  - b. For a child care group home, I that the nature or number of violations revealed by any type of inspection or investigation of an applicant for certification as a child care group home or a certified child care group home does not pose a direct risk to the life, health or safety of children.
- 89.115. "Supervision" means:

  a. The physical presence of a facility director, provider, or staff member who has responsibility for and is within sight and sound of an enrolled child, or
  - The physical presence of a facility director, provider, or teacher-caregiver who is providing direction to and is within sight and sound of a staff member or student-aide.
- 90.116. "Swimming pool" has the same meaning as in 9 A.A.C. R9-8-811(P) 8, Article 8.
- 91-117. "Teacher-caregiver" means a staff member responsible for developing, planning, and conducting child care activi-
- 92.118. "Training" means child care-related conferences, seminars, lectures, workshops, classes, courses, or instruction required by the Department of a licensee, certificate holder, or ehild care personnel staff member.
- 93.119. "Volunteer" means a staff member who works in a facility without compensation by the facility.

#### Individuals to Act for Applicant, Licensee, or Certificate Holder Regarding Document, Fingerprint-R9-5-102. ing, and Department-Provided Training Requirements

When an applicant, licensee, or certificate holder is required by this Chapter to provide information on or sign documents, possess a class one or two fingerprint clearance card, or complete Department-provided training, the following shall satisfy the requirement on behalf of the applicant, licensee, or certificate holder:

1. If the applicant, licensee, or certificate holder is an individual, the individual;

- 2. If the applicant, licensee, or certificate holder is a corporation, an officer of the corporation;
- 3. If the applicant, licensee, or certificate holder is a partnership, two of the partners;
- 4. If the applicant, licensee, or certificate holder is a limited liability company, a manager or, if the limited liability company does not have a manager, a member of the limited liability company;
- 5. If the applicant, licensee, or certificate holder is an association or cooperative, two members of the governing board of the association or cooperative;
- 6. If the applicant, licensee, or certificate holder is a joint venture, two of the individuals signing the joint venture agreement;
- 7. If the applicant, licensee, or certificate holder is a public school, an individual designated in writing as signatory for the public school by the school governing board or school district superintendent;
- 8. If the applicant, licensee, or certificate holder is a charter school, the person approved to operate the charter school by the district governing board, the Arizona Board of Education, or the Arizona Board for Charter Schools;
- 9. If the applicant, licensee, or certificate holder is a governmental agency, the individual in the senior leadership position with the agency or an individual designated in writing by that individual; and
- 10. If the applicant, licensee, or certificate holder is a business organization type other than those described in subsections (2) through (9), two individuals who are members of the business organization.

#### ARTICLE 2. FACILITY LICENSURE

### **R9-5-201.** Application for a License

- **A.** An applicant for a license shall:
  - 1. Be at least 18 years of age-or older;
  - 2. If an individual, be a U.S. citizen or legal resident alien and a resident of Arizona;
  - 3. If a corporation, association, or limited liability company, be a domestic entity or a foreign entity qualified to do business in Arizona:
  - 4. If a partnership, have at least one partner who is a U.S. citizen or legal resident alien and a resident of Arizona;
  - 2.5. Submit the following completed documents to the Department an application packet that includes:
    - a. A notarized application <u>form</u> signed by the applicant stating:
      - i. The applicant's name;
      - ii. The facility's name, street address, mailing address, and telephone number; and
      - iii. The name and applicant's type of business organization applying for a license;
      - iv. The name and business or residential address of each controlling person;
      - v. That no controlling person has been denied a certificate to operate a child care group home or a license to operate a child care facility for the care of children in this state or another state;
      - vi. That no controlling person has had a certificate to operate a child care group home or a license to operate a child care facility revoked in this state or another state for reasons that relate to endangerment of the health and safety of children;
      - vii. Whether the applicant agrees to allow the Department to submit supplemental requests for information; and
      - <u>viii.</u> That the applicant has read and will comply with these rules and declares that the information provided in the application is accurate and complete;
    - b. Organization information If the applicant is a business organization, an Attachment to Application including the following organizational information about the applicant:
      - i. Address The address of the business organization;
      - ii. Name, title, and address of the organization's statutory agent or individual designated by the organization to accept service of process and subpoenas;
      - iii. Name and The name, title, and address of each officer and board member or trustee, if applicable; and
      - iv.iii.Copies A copy of the business organization's Articles articles of Incorporation incorporation, articles of organization, or partnership or joint venture documents, or limited liability documents, if applicable;
    - c. Registration documents as prescribed in R9-5-203 A copy of the applicant's valid class one or class two finger-print clearance card issued according to A.R.S. § 41-1758.03;
    - d. A Criminal History Affidavit Class I or Class II completed by the applicant and including the information required by A.R.S. § 36-883.02;
    - e. A certificate issued by the Department showing that the applicant has completed at least four hours of Department-provided training that included the Department's role in licensing and regulating child care facilities under A.R.S. Title 36, Chapter 7.1, Article 1 and these rules;
    - f. If the applicant is an individual, a copy of one of the following for the applicant:
      - i. A U.S. passport,
      - ii. A birth certificate,
      - iii. Naturalization documents, or

- iv. Documentation of legal resident alien status;
- g. If the applicant is a corporation or a limited liability company, a certificate of good standing issued to the applicant by the Arizona Corporation Commission and dated within six months before the date of application;
- h. If the applicant is a partnership or an association, a copy of one of the following for one partner or association member of the applicant:
  - i. A U.S. passport,
  - ii. A birth certificate.
  - iii. Naturalization documents, or
  - iv. Documentation of legal resident alien status;
- i. The following information about the applicant's designated agent:
  - i. Name;
  - ii. Residential and business addresses;
  - iii. Residential and business telephone numbers; and
  - iv. Residential and business facsimile numbers, if any;
- <u>i.</u> A copy of one of the following for the applicant's designated agent:
  - i. A U.S. passport,
  - ii. A birth certificate,
  - iii. Naturalization documents, or
  - iv. Documentation of legal resident alien status;
- d.k. The physical plant documents required by R9-5-607-that include the service classifications being requested by the applicant;
- 1. An Applicant, Staff, and Resident Report Form, including the applicant's name and address; a statement that the information on the form is accurate and complete; the dated signature of the applicant; and the following information about the applicant, each staff member, and each resident:
  - i. Name;
  - ii. Social security number or identification number issued by the U.S. Immigration and Naturalization Service;
  - iii. Birth date:
  - iv. Hire date, if applicable;
  - v. Job title, if a staff member, or relationship to the applicant or director, if a resident;
  - vi. Date of high school diploma or high school equivalency diploma, if applicable; and
  - vii. Information demonstrating each individual's compliance with A.R.S. § 36-883.02;
- e.m. An agricultural attachment that contains the information required by A.R.S. § 36-882(B) Agricultural Land Notification Form, including:
  - i. The facility's name and address;
  - ii. Whether the facility is located within one-fourth mile of agricultural land; and
  - iii. If the facility is located within one-fourth mile of agricultural land, the names and addresses of the owners or lessees of all agricultural land located within one-fourth mile of the facility;
- n. If the facility is located within one-fourth mile of agricultural land, and a child care facility has not previously been licensed at the same location, a copy of an agreement complying with A.R.S. § 36-882(D) for each parcel of agricultural land affected;
- o. A Director Qualifications form completed by the individual that the applicant intends to have serve as facility director, including:
  - i. The name of the individual;
  - ii. The facility's name, address, and telephone number;
  - iii. A statement that the individual is at least 21 years of age, will accept the primary responsibility for the daily administration and operation of the facility, and possesses the minimum qualifications required by R9-5-401;
  - iv. An indication of the individual's credentials or academic experience complying with R9-5-401;
  - v. A list of the individual's qualifying child care experience, including beginning and ending dates; positions held; each facility's name, address, and telephone number; a description of the experience at each facility; and the number of hours per week worked at each facility;
  - vi. A copy of the individual's diploma or transcript from each high school, college, university, or other educational facility attended by the individual, showing the name and location of the educational facility; the course of study pursued at the educational facility; the date of any diploma or degree attained at the educational facility; and the number of credit hours completed or the diploma or degree attained at the educational facility;
  - vii. A copy of the certificate of attendance from each child-care workshop attended by the individual;

- <u>viii.</u> A statement that the individual has provided the licensee with the names, addresses, and telephone numbers of two professional references and two personal references and with at least one written professional reference and one written personal reference;
- ix. A statement that the information in the Director Qualifications Form is accurate and complete; and
- <u>x.</u> The signature of the individual; and
- f.p. The fee required by A.R.S. § 36-882(F) 36-882; .
- 3. Before the issuance of a license, submit written documentation verifying that the applicant or a registered individual designated by the applicant has completed not less than 4 actual hours of Department-provided training that includes the Department's role in licensing and regulating child care facilities under A.R.S. §§ 36-881 et seq. and these rules; and
- 4. Demonstrate compliance with A.R.S. §§ 36 881 et seq. and these rules through a facility inspection by the Department
- **B.** A person shall apply for a license as prescribed by this Section for The Department requires a separate license and a separate application for:
  - 1. Each facility operated owned by the same person at a different locations location, and
  - 2. Each facility operated owned by a different persons person at the same location.
- C. The Department does not require an a separate application for a supplementary structure that is:
  - 1. Located on the same grounds contiguous to a as the facility,
  - 2. under Under the same ownership as the facility, and
  - 3. intended Intended to be used in conjunction with a the facility.

#### **R9-5-202. Initial License Application** Time-frames

- A. For an initial license, the overall time-frame described in A.R.S. § 41-1072 (2) is 90 days.
- **B.** For an initial license, the administrative completeness review time-frame described in A.R.S. § 41-1072(1) is 30 days and begins on the date the Department receives an application.
  - 1. If any of the application documents are missing or if information on the submitted documents is deficient, the Department shall send to the applicant, by certified mail with return receipt, a written notice that states each deficiency and information and document needed to complete the application. The 30 day time-frame for the Department to finish the administrative completeness review is suspended from the date the Department mails the deficiency notice to the applicant until the date the Department receives the deficient information or missing document.
  - 2. If all of the documents are submitted and the information on the documents is complete, the Department shall send a written notice of administrative completeness to the applicant.
  - 3. If the documents or information are not submitted within 180 days from the date of notice of incompleteness, the Department shall consider the application withdrawn.
- For an initial license, the substantive review time-frame described in A.R.S. § 41-1072(3) is 60 days and begins on the date the Department sends written notice of administrative completeness to the applicant.
  - 1. As part of the substantive review, the Department may schedule an inspection which may require more than 1 visit to the facility.
  - 2. If an applicant or facility does not meet the requirements of A.R.S. §§ 36-881 et seq. and these rules, the Department shall provide to the applicant a written notice of nonconformance that states each statute and rule upon which nonconformance is based.
    - a. Within 120 days from the date of receipt of a written notice of nonconformance the applicant shall submit, to the Department, written documentation of the corrections required in the notice of nonconformance. The 60 day time-frame for the Department to finish the substantive review is suspended from the date the Department provides the written notice of nonconformance to the applicant until the Department receives documentation of corrections.
    - b. The Department shall issue a written notice of denial of license as prescribed in A.R.S. §§ 36-888 and 41-1076, if:
      - i. The applicant does not submit documentation of corrections within the time-frame in subsection (C)(2)(a);
      - ii. Upon receipt of documentation of corrections from the applicant, the Department determines that the applicant or facility do not meet the requirements of A.R.S. §§ 36-881 et seq. and these rules.
  - 3. If the applicant and facility meet the requirements of A.R.S. § 36 881 et seq. and these rules, the Department shall issue a license to the applicant.
- **D.** If a time-frame's last day falls on a Saturday, Sunday, or a legal holiday, the next business day will be considered the time-frame's last day.
- A. The overall time-frame described in A.R.S. § 41-1072 for each type of approval granted by the Department under this Article is set forth in Table 1. The applicant and the Department may agree in writing to extend the substantive review

#### Arizona Administrative Register

- time-frame and the overall time-frame. An extension of the substantive review time-frame and the overall time-frame may not exceed 25% of the overall time-frame.
- **B.** The administrative completeness review time-frame described in A.R.S. § 41-1072 for each type of approval granted by the Department under this Article is set forth in Table 1 and begins on the date that the Department receives an application.
  - 1. The Department shall send a notice of administrative completeness or deficiencies to the applicant within the administrative completeness review time-frame.
    - a. A notice of deficiencies shall list each deficiency and the items needed to complete the application.
    - b. The administrative completeness review time-frame and the overall time-frame are suspended from the date that the notice of deficiencies is issued until the date that the Department receives all of the missing items from the applicant.
    - c. If an applicant for an initial license, a license renewal, or an approval of a change affecting a license fails to submit to the Department all of the items listed in the notice of deficiencies within 180 days after the date that the Department sent the notice of deficiencies, the Department shall consider the application withdrawn.
  - 2. If the Department issues a license or other approval to the applicant during the administrative completeness review time-frame, the Department shall not issue a separate written notice of administrative completeness.
- C. The substantive review time-frame described in A.R.S. § 41-1072 is set forth in Table 1 and begins on the date of the notice of administrative completeness.
  - 1. As part of the substantive review for an initial license application or a license renewal application, the Department shall conduct an inspection that may require more than one visit to the facility.
  - 2. As part of the substantive review for a request for approval of a change affecting a license, the Department may conduct an inspection that may require more than one visit to the facility.
  - 3. The Department shall send a license or a written notice of approval or denial of a license or other request for approval to an applicant within the substantive review time-frame.
  - 4. During the substantive review time-frame, the Department may make one comprehensive written request for additional information, unless the Department and the applicant have agreed in writing to allow the Department to submit supplemental requests for information.
    - a. If the Department determines that an applicant or a facility is not in substantial compliance with A.R.S. Title 36, Chapter 7.1, Article 1 and these rules, the Department shall send a comprehensive written request for additional information that includes a written statement of deficiencies stating each statute and rule upon which noncompliance is based.
    - b. An applicant shall submit to the Department all of the information requested in the comprehensive written request for additional information and written documentation of the corrections required in the statement of deficiencies, if applicable:
      - i. Within 120 days after the date of the comprehensive written request for additional information, if applying for an initial license or for approval of a change affecting a license; or
      - ii. Within 10 days after the date of the comprehensive written request for additional information, if applying for a license renewal.
    - c. The substantive review time-frame and the overall time-frame are suspended from the date that the Department issues a comprehensive written request for additional information or a supplemental request for information until the date that the Department receives all of the information requested, including documentation of corrections required in a statement of deficiencies, if applicable.
    - d. If an applicant fails to submit to the Department all of the information requested in a comprehensive written request for additional information or a supplemental request for information, including documentation of corrections required in a statement of deficiencies, if applicable, within the time prescribed in subsection (C)(4)(b), the Department shall deny the application.
  - 5. The Department shall issue a license or approval if the Department determines that the applicant and facility are in substantial compliance with A.R.S. Title 36, Chapter 7.1, Article 1 and these rules, and the applicant submits documentation of corrections that is acceptable to the Department for any deficiencies.
  - 6. If the Department determines that a license or approval is to be denied, the Department shall send to the applicant a written notice of denial complying with A.R.S. § 36-888 and setting forth the reasons for denial and all other information required by A.R.S. § 36-888 and 41-1076.

#### <u>Table 1.</u> <u>Time-frames (in days)</u>

Type of Approval	Statutory Authority	Overall Time- frame	Administrative Completeness Review Time- frame	Substantive Review Time- frame
Initial License under R9-5-201	A.R.S. § 36-882	120	<u>30</u>	90
License Renewal under R9-5-205	A.R.S. § 36-882	<u>150</u>	<u>30</u>	<u>120</u>
Approval of Change Affecting License under R9-5-206	A.R.S. §§ 36-882, 36-883	<u>75</u>	30	45

## **R9-5-203.** Registration and Fingerprinting Requirements

- **A.** An applicant for a license shall apply for registration with the Department by submitting: A licensee shall ensure that each staff member and each adult resident at a facility:
  - 1. Unless exempted by A.R.S. § 36 883.02, a completed and legible fingerprint card; Possesses a valid class one or class two fingerprint clearance card issued under A.R.S. § 41-1758.03; or
  - 2. A registration to work form, provided by the Department, completed and signed by the applicant, and notarized that contains: Submits to the licensee, within seven working days after becoming a staff member or adult resident, a copy of a fingerprint clearance card application showing that the application was submitted to the fingerprint division of the Department of Public Safety under A.R.S. § 41-1758.02.
    - a. The applicant's name, birth date, social security number, home address, telephone number, and job title;
    - b. If previously registered with the Department, the date of previous registration and name used for registration:
    - c. The facility name, address, telephone number;
    - d. An identification of whether the applicant is awaiting trial on, has been convicted of, or has admitted in open court or as prescribed by a plea agreement committing any criminal offense described in A.R.S. § 36-883.02(G):
    - e. Certification that the applicant is not a parent of a child who has been adjudicated dependent as prescribed by A.R.S. § 8-201(11); and
    - f. Certification that the applicant has not had a license to operate a facility denied or revoked in any state; and
  - 3. A registration recap form provided by the Department, completed by the applicant for licensure or licensee, which contains the:
    - a. Name, address, and telephone number of the facility;
    - b. Name of facility director; and
    - c. Name of each individual applying for registration.
- B. Within 20 days from the date of employment or volunteer service of each staff member, a licensee shall submit the information in subsection (A) for each staff member who is 18 years of age or older. If a staff member or adult resident possesses a class one or class two fingerprint clearance card that was issued before the staff member or adult resident became a staff member or adult resident at the facility, the licensee shall contact the Department of Public Safety to determine whether the class one or class two fingerprint clearance card is valid. The licensee shall make a record of this determination, including the name of the staff member or adult resident, the date of the contact with the Department of Public Safety, and whether the class one or class two fingerprint clearance card is valid.
- C. Within 30 days of receipt of an application for registration, the Department shall return to the applicant or licensee a copy of the registration recap form with verification of the Department's receipt. The individuals listed on the verified registration recap form are registered with the Department to work in the child care facility listed on the recap form until the Department denies or revokes the registration. A licensee shall not allow an individual to be a staff member or adult resident if the individual has been denied a class two fingerprint clearance card under A.R.S. Title 41, Chapter 12, Article 3.1 and has not received an interim approval under A.R.S. § 41-619.55(H).
- **D.** A licensee shall not allow an individual to be a staff member or adult resident if the individual receives an interim approval under A.R.S. § 41-619.55(H) but is then denied a good cause exception under A.R.S. § 41-619.55 and a class two fingerprint clearance card under A.R.S. Title 41, Chapter 12, Article 3.1.

- **D.E.** A registered licensee or staff member who has had an uninterrupted association with or continuous employment in a licensed facility which changes ownership, shall submit the documents in subsections (A)(2) and (A)(3) to the Department within 20 days from the date of ownership change. A staff member who was fingerprinted before July 1, 2000, and who has not changed employment since being fingerprinted is not required to comply with subsection (A)(1) or (2) until August 16, 2002.
- F. A licensee shall ensure that each staff member and adult resident submits to the licensee an original of the form required in A.R.S. § 36-883.02(C). A form completed while a staff member or adult resident was a staff member or adult resident at another facility does not satisfy this subsection.
- **G.** A licensee shall maintain documentation of compliance with this Section in each staff member's or adult resident's file throughout an individual's time as a staff member or adult resident and for 12 months after an individual ceases to be a staff member or adult resident.

### R9-5-204. Denial, Revocation, or Reconsideration of Registration

- **A.** The Department shall deny or revoke the registration of an individual:
  - 1. Who is awaiting trial on, has been convicted of, or has admitted in open court or as prescribed by a plea agreement, committing any criminal offense listed in A.R.S. § 36 883.02 (G) except as provided in A.R.S. § 36 883.02 (L); or
  - 2. Whose presence in a facility may have a detrimental effect on the health, safety, or welfare of children based on evidence obtained from:
    - a. A law enforcement agency;
    - b. Any criminal, civil, or official proceeding of record;
    - e. A written psychological evaluation or professional opinion of:
      - i. A physician licensed by a state board of medical examiners;
      - ii. A psychologist licensed by a state board of psychologist examiners;
      - iii. A behavioral health professional certified by the Arizona board of behavioral health examiners or the equivalent agency from another state; or
      - iv. A social worker, therapist, or counselor certified or licensed by a state board or by a professional accrediting organization or agency for these professions; or
    - d. Child Protective Services.
- **B.** If the Department has determined an individual may have a detrimental effect on the health, safety, or welfare of children or an individual has committed one of the offenses listed in A.R.S. § 36-883.02(G), not subject to A.R.S. § 36-883.02(L), the Department shall send a written notice of denial or revocation of registration to the:
  - 1. Individual, by certified mail with return receipt, that states:
    - a. The reason for the denial or revocation of registration, and
    - b. The individual's right to a hearing by the Department if requested in writing within 30 days from the receipt of the Department's notice.
  - 2. Licensee or applicant for licensure within 5 days of the individual's receipt of the notice required in subsection (B)(1).
- C. If an individual has committed one of the offenses in A.R.S. § 36-883.02(L), the Department shall determine whether the individual is a recidivist. An individual is not a recidivist if the individual meets the following requirements:
  - 1. If the offense was a felony, 5 years or more have passed between the conviction of the offense and the date the individual submitted a complete application for registration and the individual is not awaiting trial on, has not been convicted of, or has not admitted in open court or as prescribed by a plea agreement, committing any felony within the last 5 years or any misdemeanor within the last 2 years; or
  - 2. If the offense was a misdemeanor, 2 years or more have passed between the conviction of the offense and the date the individual submitted a complete application for registration and the individual is not awaiting trial on, has not been convicted of, or has not admitted in open court or as prescribed by a plea agreement, committing any felony within the last 5 years or any misdemeanor within the last 2 years.
- **D.** If an individual does not meet the requirements of subsection (C), the Department shall send a written notice of denial or revocation to the:
  - 1. Individual, by certified mail with return receipt, that states:
    - a. The reason for the denial or revocation of registration, and
    - b. The individual's right to a hearing by the Department if requested in writing within 30 days from the receipt of the Department's notice.
  - 2. Licensee or applicant for licensure within 5 days of the individual's receipt of the notice required in subsection (B)(1).
- E. If an individual meets the requirements of subsection (C), the Department shall send to the individual, by certified mail with return receipt, a written notice of intent to deny the registration. The notice shall state:
  - 1. The criminal offense that was disclosed by the fingerprint check; and
  - 2. The Department's process for reconsideration of the registration denial.

- **F.** Within 30 days from the date of receipt of a notice of intent to deny a registration, an individual may submit a request for reconsideration to the Department that contains the individual's name, address, and telephone number and written documentation that demonstrates the individual is rehabilitated including:
  - 1. Employment history of at least 6 months of continuous employment, with no more than 7 consecutive days break in employment within 2 years before the date of receipt of a completed application, including the name, address, and telephone number of each employer or educational history that shows the completion of at least 2 consecutive semesters at a post secondary education institution within 2 years before the date of receipt of a completed application, including the dates of enrollment and completion of course work;
  - 2. Two written references from individuals, 18 years of age or older and not related by blood or marriage to the individual, who have known the individual for at least 6 months before the date of receipt of a completed application;
  - 3. An explanation of why the individual believes the individual has been rehabilitated;
  - 4. Any other documentation which the individual believes supports the individual's claim of being rehabilitated; and
  - 5. A copy of any court record, such as conviction notice, plea bargain agreement, presentence investigation, minute entry, probation termination or completion document, or any expungement or pardon record that pertains to each crime for which the individual has been convicted. If the individual is unable to provide court documents for each conviction, the individual shall provide written documentation from the court having jurisdiction stating the reason the records are unavailable.
- G. Within 30 days from the date of receipt of the written documentation required by subsection (F), the Department shall determine whether the individual meets the requirements in subsection (F). If the individual meets the requirements, the Department shall send a written notice of registration to the individual. If the individual does not meet the requirements, the Department shall deny the registration following the requirements in subsection (B).
- **H.** If an individual does not request a reconsideration within 30 days from the date of receipt of the notice of intent to deny, the Department shall, by certified mail with return receipt, issue a notice of denial containing the information in subsection (B).
- 4. An individual who has been denied registration as prescribed in subsection (G) or (H) shall not submit an application for registration until 12 months has elapsed from the date of the notice of registration denial. The Department shall return an application for registration to an individual who has been denied registration as prescribed in subsection (G) or (H) if the application is submitted less than 12 months from the date of the notice of registration denial.

#### R9-5-205.R9-5-204. Child Care Services Service Classifications

- A. When conducting a substantive review, the <u>The</u> Department shall determine whether the licensee meets the licensure requirements of A.R.S. §§ 36-881 et seq. and these rules to provide child care services on a regular basis in 1 or more of <u>licenses child care facilities using</u> the following <u>service</u> classifications: :
  - 1. Full-day care: Child care services provided for 6 or more hours per day between the hours of 5 a.m. and 8 p.m.,
  - 2. Part-day care: Child care services provided for less than 6 hours per day between the hours of 5 a.m. and 8 p.m.,
  - 3. Evening and nighttime care: Child care services provided between the hours of 8 p.m. and 5 a.m.,
  - 4. Infant care: Child care services provided to an infant as defined in R9-5-101(48).
  - 5. One-year-old child care: Child care services provided to a one-year-old child as defined in R9-5-101(64)., and
  - School-age child care: Child care services provided to a school-age child as defined in R9-5-101(78).
- **B.** The Department shall designate, on a facility's license, the <u>service</u> classifications <del>of child care services</del> the facility is licensed to provide.
- C. A licensee shall not provide child care services in a service classification for which the licensee is not licensed.

### R9-5-206. R9-5-205. License Renewal

- **A.** At least 45 days before the expiration date of a current license, an applicant for renewal of a license shall submit to the Department an application packet including:
  - 1. A complete, signed, and notarized application form signed by the applicant that includes:
    - a. The applicant's name;
    - b. The facility's name, street address, mailing address, and telephone number; and
    - c. The name and applicant's type of business organization applying for license renewal; and
    - d. A statement that the applicant has read and will comply with these rules and declares that the information provided in the application is accurate and complete;
  - 2. Any An Attachment to Application including any changes to the organization information previously submitted as prescribed in R9-5-201(A)(2)(b) R9-5-201(A)(5); and
  - 3. The fee required by A.R.S. § <del>36-882(F)</del> <u>36-882</u>.
- **B.** For a renewal license, the overall time frame described in A.R.S. § 41 1072(2) is 45 days. An applicant that submits the items required by subsection (A) later than 45 days before the expiration date of the current license shall submit to the Department the late filing fee required by A.R.S. § 36-882.

- C. For a renewal license, the administrative completeness review time-frame described in A.R.S. § 41-1072(1) is 15 days and begins on the date the Department receives the renewal application. If an applicant submits the items required by subsection (A) and the fee required by subsection (B), if applicable, before the expiration date of the current license, the current license does not expire until the date specified in A.R.S. § 41-1092.11(A).
  - 1. If the submitted documents are deficient, the Department shall send to the applicant, by certified mail with return receipt, the submitted documents and a deficiency notice.
    - a. The notice shall:
      - i. State each deficiency and the information needed to complete the documents; and
      - ii. Advise the applicant that an additional \$50 late filing fee is due if a complete renewal application is not received by the Department at least 45 days before the expiration date of the current license.
    - b. The 15 day time-frame for the Department to finish the administrative completeness review is suspended from the date the Department mails the deficiency notice to the applicant until the date the Department receives the information.
    - e. If an applicant does not submit a complete renewal application before the expiration date of the current license, the license shall expire.
  - 2. If the submitted documents are complete:
    - a. The Department shall send a written notice of administrative completeness to the applicant; and
    - b. The current license shall not expire until the Department issues the renewal license or written notice of denial.
- **D.** For a renewal license, the substantive review time frame described in A.R.S. § 41–1072(3) is 30 days and begins on the date the Department sends written notice of administrative completeness to the applicant.
  - If an applicant or facility does not meet the requirements of A.R.S. § 36-881 et seq. and these rules, the Department shall provide to the applicant a written notice of nonconformance that states each statute and rule upon which nonconformance is based.
    - a. Within 10 days from the date of receipt of a written notice of non-conformance the applicant shall submit, to the Department, written documentation of the corrections required in the notice of nonconformance. The 30 day time-frame for the Department to finish the substantive review is suspended from the date the Department provides the written notice of nonconformance to the applicant until the Department receives documentation of corrections.
    - b. The Department shall issue a written notice of denial of license as prescribed in A.R.S. §§ 36-888 and 41-1076, if:
      - i. The applicant does not submit documentation of corrections within the time-frame in subsection (D)(1)(a); or
      - ii. Upon receipt of documentation of corrections from the applicant, the Department determines that the applicant or facility do not meet the requirements of A.R.S. § 36-881 et seq. and these rules.
  - 2. If the applicant and facility meet the requirements of A.R.S. § 36 881 et seq. and these rules, the Department shall issue a license to the applicant.

#### R9-5-207.R9-5-206. Changes Affecting a License

- **A.** A licensee shall notify the Department in writing at At least 30 days before the date of a change in a facility's name, a licensee shall send the Department written notice of the name change. Within 30 days from after the date of receipt of the notice, the Department shall issue an amended license that incorporates the name change but retains the expiration date of the current license.
- **B.** A licensee shall submit a written request to the Department at At least 30 days before the date of an intended change in a facility's service classification, space utilization, or licensed capacity, that includes a licensee shall submit a written request for approval of the change to the Department. The written request shall include:
  - 1. The licensee's name;
  - 2. The facility's name, street address, mailing address, and telephone number;
  - 3. The name, telephone number, and facsimile number of a point of contact for the request;
  - 4. The facility's license number;
  - <u>5.</u> the The type of change intended:
    - a. Service classification,
    - b. Space utilization, or
    - c. Licensed capacity; and
  - 6. a A narrative description of the intended change; and
  - 7. The following additional information, as applicable:
    - a. If the intended change affects individual rooms, the following information about each affected room:
      - i. Room name or number,
      - ii. Square footage,
      - iii. Operating hours,

- iv. Ages of the children to receive care in the room,
- v. Maximum number of children to receive care in the room, and
- vi. Whether the room has a diaper changing area;
- b. If the intended change is to increase licensed capacity, the square footage of the outdoor activity area; and
- c. If the intended change includes a modification to a licensed facility, the following, as applicable:
  - i. If the facility is not located in a public school, a set of final construction drawings, in compliance with R9-5-607(B);
  - ii. If the facility is located in a public school and provides child care for children younger than school-age children, a set of final construction drawings or a school map, in compliance with R9-5-607(C);
  - iii. If the facility is located in a public school and provides child care only for school-age children, two sets of final construction drawings or two school maps, in compliance with R9-5-607(D); and
  - iv. If the facility is a factory-built building, the documents required by R9-5-607(E).
- C. 1. Within 30 days from the date of receipt of the request, the <u>The</u> Department shall review the requested change and send written notice of the review to the licensee a request submitted under subsection (B) in accordance with R9-5-202. If the <u>facility will be in substantial compliance with A.R.S. Title 36, Chapter 7.1, Article 1 and these rules with the intended change:</u>
  - a. Complies with A.R.S. §§ 36-881 et seq. and these rules, the Department shall send the licensee an amended license that incorporates the change but retains the expiration date of the current license.; or
  - b. Does not comply with A.R.S. §§ 36-881 et seq. and these rules, the Department shall provide the licensee with written notice stating the requirements necessary for the Department to approve the requested change.
- <u>D.</u> 2. A licensee shall not implement any change <u>described under subsection (B)</u> until <u>the Department issues</u> an amended license is issued.
- C.E.A licensee shall notify the Department, in writing, At least 30 days before making the date of a change in the ownership of a facility, a licensee shall send the Department written notice of the change. A new owner shall obtain a new license from the Department as prescribed in R9-5-201 before beginning operation of the facility.
- **D.E.** A licensee changing a facility's location shall apply for a new license as prescribed by in R9-5-201. If the licensee has completed the training required in R9-5-201(A)(3), the licensee is not required to repeat the training.
- E. A licensee that is a corporation or a limited liability company shall notify the Department in writing within 30 days after the date of a change in any corporate or company officer or statutory agent.
- F. A licensee that is a partnership or a joint venture shall notify the Department in writing within 30 days after the date of a change in members of the partnership or joint venture, or of an individual designated in writing by the licensee to accept service of process and subpoenas.
- G. A licensee that is an association or cooperative, school governing board, or charter school shall notify the Department in writing within 30 days after the date of a change in the officers of the association, cooperative, school governing board, or charter school, or of the statutory agent or other individual designated in writing by the licensee to accept service of process and subpoenas.
- **G.** Within 30 days after the election of a new officer to the business organization, the election of a new director to the board of directors for the business organization, or a change in a controlling person, a licensee shall send the Department written notice of the change. The written notice shall include:
  - 1. The name of the licensee;
  - 2. A description of the change made;
  - 3. The following information about each controlling person:
    - a. Name, and
    - b. Business or residential address;
  - 4. A statement that no controlling person has been denied a certificate to operate a child care group home or a license to operate a child care facility for the care of children in this state or another state;
  - 5. A statement that no controlling person has had a certificate to operate a child care group home or a license to operate a child care facility revoked in this state or another state for reasons that relate to endangerment of the health and safety of children;
  - 6. A statement that the information provided in the written notice is accurate and complete; and
  - 7. The notarized signature of the licensee.
- **H.** Within 30 days after changing its designated agent, a licensee shall send the Department written notice of the change, to include:
  - 1. The name of the new designated agent;
  - 2. The residential and business addresses of the new designated agent; and
  - 3. A copy of one of the following for the new designated agent:
    - a. A U.S. passport,
    - b. A birth certificate,
    - c. Naturalization documents, or

d. Documentation of legal resident alien status.

## **R9-5-207.** Change in Director

- A. Except as provided in subsection (B), within 10 days before changing a facility director, a licensee shall send the Department written notice of the change.
- **B.** If a licensee is not aware of a change in facility director 10 days before the effective date of the change, the licensee shall send the Department written notice within 48 hours after becoming aware of the change.
- C. The written notice shall include a Director Qualifications form completed as required by R9-5-201(A)(2)(i).

#### **R9-5-208.** Inspections; Investigations

- A. The Department shall inspect each facility before issuing an initial license or a renewal license, and as often as necessary to determine compliance with A.R.S. § 36 881 et seq. <u>Title 36</u>, <u>Chapter 7.1</u>, <u>Article 1</u> and these rules. <u>Additionally, a A</u> licensee shall allow access to all areas of the facility affecting the health, safety, or welfare of a <u>an enrolled</u> child or to which an enrolled child has access during hours of operation.
- **B.** If the Department receives written or verbal information alleging a violation of A.R.S. § 36 881 et seq. <u>Title 36, Chapter 7.1, Article 1</u> or these rules, the Department shall conduct an investigation to verify determine compliance. The A licensee shall permit the Department to interview ehild care personnel staff members, residents, and enrolled children for the as part of an investigation.

#### R9-5-209. Denial, Revocation, or Suspension of License

- **A.** The Department may deny, revoke, or suspend a license to operate a facility if an applicant or licensee:
  - 1. Provides false or misleading information to the Department;
  - 2. Has been denied a certificate <u>or license</u> to operate a child care home or a <u>certificate or license</u> to operate a <u>child care</u> facility in any state, unless the denial was based on the applicant's failure to complete the <u>certification or licensing</u> process in accordance with a required time-frame;
  - 3. Has had a certificate or license to operate a child care home or <u>a certificate or license to operate a child care</u> facility revoked or suspended in any state;
  - 4. Has had registration to operate or work in a child care facility in the state of Arizona revoked or denied Has been denied a fingerprint clearance card or has had a fingerprint clearance card revoked under A.R.S. Title 41, Chapter 12, Article 3.1;
  - 5. Fails to <u>substantially</u> comply with any provision <del>contained</del> in A.R.S. <del>§ 36-881 et seq.</del> <u>Title 36, Chapter 7.1, Article 1</u> or these rules; <u>or</u>
  - 6. Substantially complies with A.R.S. Title 36, Chapter 7.1, Article 1 and these rules, but refuses to carry out a plan acceptable to the Department to eliminate any deficiencies.
- **B.** In determining whether to deny, suspend, or revoke a license, the Department shall consider the threat to the health and safety of children in a facility based on such factors as:
  - 1. Repeated violations of statutes or rules,
  - 2. Pattern A pattern of non-compliance,
  - 3. Type The type of violation,
  - 4. Severity The severity of each violation, and
  - 5. Number The number of violations.

#### ARTICLE 5. FACILITY PROGRAM AND EQUIPMENT

#### **R9-5-509.** General Food Service and Food Handling Standards

- **A.** A licensee that prepares or serves food to enrolled children on the facility premises shall comply with <u>9</u> A.A.C. <del>R9-8-111 through R9-8-135, <u>8</u>, Article 1 and the</del> local ordinances, and requirements of the local health department where the facility is located. If a licensee contracts with a food service establishment defined in A.A.C. <del>R9-8-112(13), to prepare and deliver food to the facility, the licensee shall obtain and provide the Department with a copy of the food service establishment's permit, issued as prescribed by A.A.C. <del>R9-8-119 under 9 A.A.C. 8, Article 1, at the following times:</del></del>
  - 1. Before the Department issues a license to the facility,
  - 2. Upon contracting with the food service establishment, and
  - 3. Every 12 months from after the date the food service contract is entered into while the contract is in effect.
- **B.** A licensee that stores, displays, transports, prepares, or serves food shall:
  - 1. Protect food from contamination;
  - 2. Prohibit storage of food in a bathroom;
  - 3. Store perishable foods requiring cold storage in a refrigeration unit at temperatures that do not exceed 45° F or freezer at temperatures that do not exceed 0° F. Each refrigeration unit or freezer shall be fitted with a thermometer to measure the inside air temperature;
  - 4. Maintain perishable foods requiring hot storage at a temperature of at least 140° F, except during food preparation; and

- 5. Provide a metal stem-type thermometer to measure the temperatures of foods.
- C. A licensee shall ensure that a utensil, container, surface, or appliance used for eating, drinking, or food preparation is clean. All single service articles as defined in A.A.C. R9 8 112(30) shall be disposed of after each use.
- **D.** A licensee shall ensure that staff members wash their hands with antibacterial soap and running water before preparing or serving food or washing utensils.
- E. When a licensee serves milk, vegetable juice, or fruit juice, the licensee shall ensure that the staff member:
  - 1. Serves fresh milk from a commercially filled container and does not return an unused portion to a commercial container. This rule supersedes A.A.C. R9-8-132(E)(2);
  - 2. Does not substitute fresh milk with dry milk that has been reconstituted;
  - 3. Does not replace fresh milk with any other food in the meal pattern requirement; and
  - 4. Serves 100% full-strength fruit or vegetable juices.
- F.B. A licensee shall require ensure that each child:
  - 1. Washes hands with antibacterial Enrolled children, except infants and special needs children who cannot wash their own hands, wash their hands with soap and running water before handling or eating food.
    - A staff member may use a washeloth to wash the hands of an infant or a child with special needs if indicated in the child's individualized plan; and
    - b. To reduce the transfer of bacteria, a washcloth shall be used only once for an infant or a child with special needs;
  - 2. A staff member:
    - Washes with a washcloth the hands of an infant or a special needs child who cannot wash the child's own hands before the infant or special needs child handles or eats food, and
    - b. Uses each washcloth only once before it is laundered or discarded;
  - 2.3. Is not served or An enrolled child is not permitted to eat <u>food</u> directly off <u>of</u> the floor, <u>carpet</u>, or ground or with utensils placed directly on the floor, <u>carpet</u>, or ground;
  - 3.4. Is encouraged but not forced by a staff member to eat foods served at a facility A staff member encourages, but never forces, enrolled children to eat food;
  - 4.5. Is assisted in eating when necessary A staff member assists each enrolled child who needs assistance with eating; and
  - 5.6. Is taught A staff member teaches self-feeding skills and habits of good nutrition to each child as necessary.;
  - 7. Fresh milk is served directly from the original, commercially filled container, and unused portions of individual servings are not returned to the original container;
  - 8. Reconstituted dry milk is not served to meet the fluid milk requirement;
  - 9. Juice served to children for a meal or snack is full-strength 100% vegetable or 100% fruit juice from an original, commercially filled container or reconstituted from a concentrate according to manufacturer instructions;
  - 10. Each staff member is informed of a modified diet prescribed for an enrolled child by the child's parent or health care provider, and the modified diet is posted in the kitchen and in the child's activity area;
  - 11. The food served to an enrolled child is consistent with a modified diet prescribed for the child by the child's parent or health care provider;
  - 12. An enrolled child is not permitted in the kitchen during food preparation or food service except as part of an activity;
  - 13. Enrolled children do not use the kitchen or a food storage area as a passageway; and
  - 14. A director or staff member:
    - a. Prepares a weekly menu at least one week in advance,
    - b. Includes on the menu the foods to be served on each day,
    - c. Dates each menu,
    - d. Posts each menu at least one day before the first meal on the menu will be served, and
    - e. Writes food substitutions on a posted menu no later than the morning of the day of meal service.
- G Before the facility's 1st food service of the calendar week, a licensee shall ensure a dated menu specifying foods to be served on each day the facility is operating during the calendar week is posted in the facility.
  - 1. If a licensee serves a substitution for a food specified on the posted menu, the substitution shall be noted on the posted menu before the facility's 1st food service of the day.
  - 2. A licensee shall maintain a menu on facility premises for 3 months from the date of the menu.
- **H.** When a parent provides specific dietary instructions for a child, a licensee shall post the instructions in the kitchen and the child's activity area and serve the child foods as instructed.
- L A licensee shall not permit children in a kitchen during food preparation and food service except as part of an activity.
- **J.** A licensee shall not allow a food preparation or food storage area to be used as a passageway by children.

#### ARTICLE 7. CHILD CARE GROUP HOME CERTIFICATION OF DAY CARE GROUP HOMES

## **R9-5-701. Initial certification Application for a Certificate**

A. An individual, partnership, or corporation shall not operate a day care group home unless certified by the Department.

- **B.** A completed, signed and notarized application for certification shall be submitted on forms provided by the Department, along with a non-refundable fee, pursuant to A.R.S. § 36-897.01(C) and (D), and all required attachments. An application submitted on behalf of an individual shall be signed by the individual; an application submitted on behalf of an partnership shall be signed by all partners; an application submitted on behalf of a corporation shall be signed by two officers of the Board of Directors of that corporation. The application shall contain:
  - 1. For each signatory on the application for certification and for all child care personnel, a completed, notarized, work registration card, a completed fingerprint card, and a non-refundable fee covering the cost of the fingerprint check;
  - 2. For corporations, current Certification of Corporation status, a list of the corporate Board of Directors with designated officers, and current bylaws;
  - 3. A floor plan of the proposed facility containing accurate dimensions;
  - 4. A site plan, with accurate measurements, of the facility's surrounding grounds and outdoor activity area;
  - 5. Name, address and telephone number of available fire, paramedic, emergency room, poison control and police services and the proximity of such services to the facility;
  - 6. Address of any location serving alcoholic beverages within 500 feet of the facility;
  - 7. The addresses of agricultural land within one-fourth mile of the facility;
  - 8. A notarized statement that the certificate holder has sufficient financial resources to maintain and operate the facility in full compliance with the statutes and rules governing day care group homes;
  - 9. Written references, as specified in R9-5-801(B)(13), from persons who are 21 years of age of older and who have personal knowledge of the provider and who will attest to the provider's good character and ability to care for children;
  - 10. Verification of fingerprint registration with the Department, as specified in A.R.S. § 36-897.03, of the applicant, all personnel, and household members who are 18 years of age or older;
  - 11. Documentation provided by the Department that the provider has attended the Department's orientation program for day care group home:
  - 12. Copy of report of violation-free fire inspection conducted by the local fire authority or the State Fire Marshal's office.

    This inspection shall be conducted within 90 days prior to application for certification.
  - 13. Copy of report of sanitation inspection on Department-approved forms conducted within 90 days prior to application certification; and
  - 14. Copy of report of violation-free gas inspection conducted by a licensed plumber or person licensed by the state to conduct inspection and repairs of gas lines and gas-fired heating and cooling devices conducted within 90 days prior to the application for certification.
- C. If notified by the Department that the application is not complete, the applicant shall supply the requested information within 15 calendar after receiving such notice. If the applicant fails to provide the requested information within the stated time, the application shall be denied.
- **D.** After an application for initial certification is determined to be complete, the Department shall conduct an inspection of the facility and its grounds, to determine compliance with statutes and rules governing day care group homes.
- E. The applicant shall sign the inspection report acknowledging the receipt of a copy of the inspection report.

#### An applicant for a certificate shall:

- 1. Be at least 21 years of age; and
- 2. Submit to the Department an application packet including:
  - <u>a.</u> A notarized application form signed by the applicant stating:
    - i. The applicant's name;
    - ii. The child care group home's name, if applicable;
    - iii. The child care group home's street address, mailing address, and telephone number;
    - iv. The applicant's type of business organization;
    - v. Whether the applicant agrees to allow the Department to submit supplemental requests for information; and
    - vi. That the applicant has read and will comply with these rules; has the financial resources to operate the child care group home in compliance with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules; and declares that the information provided in the application is accurate and complete;
  - If the applicant is a business organization, an Attachment to Application including the following organizational information about the business organization:
    - i. The address of the business organization;
    - ii. The name, title, and address of the business organization's statutory agent or of the individual designated by the business organization to accept service of process and subpoenas;
    - iii. The name, title, and address of each officer and board member or trustee; and
    - iv. A copy of the business organization's articles of incorporation, partnership or joint venture documents, or limited liability documents, if applicable;
  - c. A Child Care Information Sheet, including:
    - i. The applicant's name and telephone number;
    - ii. The child care group home's name, street address, mailing address, and telephone number;

- iii. A list of the rooms in the child care group home indicating which rooms will be used for child care;
- iv. A list of the child care service classifications to be provided in the child care group home; and
- v. The applicant's signature and the date signed;
- <u>A copy of the applicant's valid class one or class two fingerprint clearance card issued according to A.R.S. § 41-1758.03;</u>
- e. A Criminal History Affidavit Class I or Class II completed by the applicant and including the information required by A.R.S. § 36-897.03;
- f. A certificate issued by the Department showing that the applicant has completed at least four hours of Department-provided training that included the Department's role in certifying and regulating child care group homes under A.R.S. Title 36, Chapter 7.1, Article 4 and these rules;
- g. The following physical plant documents:
  - i. A floor plan of the child care group home showing the dimensions of the outside walls of the child care group home; the dimensions of each room to be used for child care; the location of each exit from the child care group home; the location of each sink and toilet to be used by enrolled children; and the location of each smoke or heat detector, fire extinguisher, and telephone in the child care group home;
  - ii. A site plan of the child care group home's outdoor activity area showing the dimensions of the outdoor activity area, the height of the fence around the outdoor activity area, the location of each exit from the outdoor activity area, the location of the house, the location of the shaded area required by R9-5-604(F), the location of a swimming pool, the height of the fence around the swimming pool, and the location of any other building or structure in the outdoor activity area;
  - iii. A copy of a violation-free fire inspection conducted within 90 days before the date of application by the local fire department or the Office of the State Fire Marshal; and
  - iv. If the child care group home has gas-powered appliances or heating and cooling devices, a copy of a violation-free gas inspection conducted within 90 days before the date of application by a state-licensed plumber or an individual licensed by the state to conduct inspection and repairs of gas lines and gas-fired heating and cooling devices;
- h. An Applicant, Staff, and Resident Report Form, including the applicant's name and address; a statement that the information on the form is accurate and complete; the dated signature of the applicant; and the following information about the applicant, each staff member, and each resident:
  - i. Name:
  - ii. Social security number or identification number issued by the U.S. Immigration and Naturalization Service;
  - iii. Birth date;
  - iv. Hire date, if applicable;
  - v. Job title, if a staff member, or relationship to certificate holder or provider, if a resident;
  - vi. Date of high school diploma or high school equivalency diploma, if applicable; and
  - vii. Information demonstrating each individual's compliance with A.R.S. § 36-897.03;
- i. A Provider Qualifications Form completed by the individual that the applicant intends to have serve as provider, including:
  - i. The name of the individual;
  - ii. The child care group home's name, if applicable;
  - iii. The child care group home's street address and telephone number;
  - iv. A statement that the individual is at least 21 years of age, will accept the primary responsibility for the daily administration and operation of the child care group home, and possesses the minimum qualifications required by R9-5-801;
  - v. A copy of the individual's high school diploma or high school equivalency diploma;
  - vi. A description of any additional education completed by the individual;
  - <u>vii.</u> A statement that the individual has on file at the child care group home the names, addresses, and telephone numbers of two professional references and two personal references for the individual;
  - viii. A statement that the information in the Application for Provider Form is accurate and complete; and
  - ix. The dated signature of the individual;
- j. At least one written professional reference and one written personal reference for the individual that the applicant intends to have serve as provider;
- k. Copies of certificates of general liability insurance and motor vehicle insurance that comply with R9-5-808; and
- 1. The fee required by A.R.S. § 36-897.01.

#### **R9-5-702.** Certificate to operate a day care group home <u>Time-frames</u>

A. Upon determination by the Department that the application for certification is complete and the facility is in full compliance with the requirements of Article 7 through 10 of this Chapter, the Department shall issue a certificate to the provider

#### Arizona Administrative Register

- to operate a day care group home. The certificate shall be valid for three years from the date of issuance and is nontrans-
- B. The certificate is valid only for the certificate holder and location identified on the certificate.
- C. The provider shall post the certificate in the day care group home in a location where it can be seen by parents or guardians.
- **D.** Each certificate shall be the property of the state of Arizona and the certificate shall be returned to the Department immediately upon suspension, revocation, expiration of the certificate, or voluntary closure, except for seasonal vacations.
- A. The overall time-frame described in A.R.S. § 41-1072 for each type of approval granted by the Department under this Article is set forth in Table 2. The applicant and the Department may agree in writing to extend the substantive review time-frame and the overall time-frame. An extension of the substantive review time-frame and the overall time-frame may not exceed 25% of the overall time-frame.
- **B.** The administrative completeness review time-frame described in A.R.S. § 41-1072 for each type of approval granted by the Department under this Article is set forth in Table 2 and begins on the date that the Department receives an application.
  - 1. The Department shall send a notice of administrative completeness or deficiencies to the applicant within the administrative completeness review time-frame.
    - a. A notice of deficiencies shall list each deficiency and the items needed to complete the application.
    - b. The administrative completeness review time-frame and the overall time-frame are suspended from the date that the notice of deficiencies is issued until the date that the Department receives all of the missing items from the applicant.
    - c. If an applicant for an initial certificate or an approval of a change affecting a certificate fails to submit to the Department all of the items listed in the notice of deficiencies within 180 days after the date that the Department sent the notice of deficiencies, the Department shall consider the application withdrawn.
  - 2. If the Department issues a certificate or other approval to the applicant during the administrative completeness review time-frame, the Department shall not issue a separate written notice of administrative completeness.
- C. The substantive review time-frame described in A.R.S. § 41-1072 is set forth in Table 2 and begins on the date of the notice of administrative completeness.
  - 1. As part of the substantive review for an initial certificate application or a certificate renewal application, the Department shall conduct an inspection that may require more than one visit to the child care group home.
  - 2. As part of the substantive review for a request for approval of a change affecting a certificate, the Department may conduct an inspection that may require more than one visit to the child care group home.
  - 3. The Department shall send a certificate or a written notice of approval or denial of a certificate or other request for approval to an applicant within the substantive review time-frame.
  - 4. <u>During the substantive review time-frame, the Department may make one comprehensive written request for additional information, unless the Department and the applicant have agreed in writing to allow the Department to submit supplemental requests for information.</u>
    - a. If the Department determines that an applicant or a child care group home is not in substantial compliance with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules, the Department shall send a comprehensive written request for additional information that includes a written statement of deficiencies stating each statute and rule upon which noncompliance is based.
    - b. An applicant shall submit to the Department all of the information requested in the comprehensive written request for additional information and written documentation of the corrections required in the statement of deficiencies, if applicable:
      - i. Within 120 days after the date of the comprehensive written request for additional information, if applying for an initial certificate or for approval of a change affecting a certificate; or
      - ii. Within 10 days after the date of the comprehensive written request for additional information, if applying for a certificate renewal.
    - c. The substantive review time-frame and the overall time-frame are suspended from the date that the Department issues a comprehensive written request for additional information or a supplemental request for information until the date that the Department receives all of the information requested, including documentation of corrections required in a statement of deficiencies, if applicable.
    - d. If an applicant fails to submit to the Department all of the information requested in a comprehensive written request for additional information or a supplemental request for information, including documentation of corrections required in a statement of deficiencies, if applicable, within the time prescribed in subsection (C)(4)(b), the Department shall deny the application.
  - 5. The Department shall issue a certificate or approval if the Department determines that the applicant and child care group home are in substantial compliance with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules, and the applicant submits documentation of corrections that is acceptable to the Department for any deficiencies.

6. If the Department determines that a certificate or approval is to be denied, the Department shall send to the applicant a written notice of denial setting forth the reasons for denial and all other information required by A.R.S. § 41-1076.

#### <u>Table 2.</u> <u>Time-frames (in days)</u>

Type of Approval	Statutory Authority	Overall Time- frame	Administrative Completeness Review Time- frame	Substantive Review Time- frame
Initial Certificate under R9-5-701	A.R.S. § 36-897.01	120	<u>30</u>	90
Certificate Renewal under R9-5-704	A.R.S. § 36-897.01	<u>90</u>	<u>30</u>	<u>60</u>
Approval of Change Affecting Certificate under R9-5-705	A.R.S. §§ 36-897.01, 36-897.02	<u>75</u>	30	45

#### **R9-5-703.** Denial of certification Fingerprinting Requirements

- A. Initial certification to operate a day care group home shall be denied if:
  - 1. Applicant fails to timely submit a properly completed application including required inspections and documents;
  - 2. Applicant provides false, incomplete, or misleading information on the application or during the application process;
  - 3. The applicant fails to allow the Department to enter the day care group home or to inspect required records;
  - 4. The applicant fails to fully comply with statutes and rules governing day care group homes or fails to correct within 0 days deficiencies cited during the initial certification inspection;
  - 5. After the Department has determined that an individual's presence in the facility may be detrimental to children, and after the Department has so notified the applicant, that applicant refuses to exclude said individual from the facility during the hours of operation;
  - 6. Applicant refuses to exclude from the day care group home during hours of operation individuals who have been denied registration pursuant to A.R.S. § 36-897.03;
  - 7. Applicant has been denied a certificate to operate a day care group home or a license to operate a day care center for the care of children for cause, in this state or another state, or has had such a certificate or license to operate a day care group home or day care center revoked, suspended, or not renewed;
  - 8. The Department has determined that the applicant is not of good character conducive to the welfare of children. An applicant who is an individual, or who is the officer of a corporation which is the applicant, or is a partner in a partnership will be considered not to have the good character conducive to the welfare of children if:
    - a. The applicant is awaiting trial on, or has been convicted of, or has admitted committing any of the following criminal offenses in this state or similar offenses in another state or jurisdiction:
      - i. Sexual abuse of a minor;
      - ii. Incest;
      - iii. First- or second-degree murder;
      - iv. Kidnapping;
      - v. Arson;
      - vi. Sexual assault;
      - vii. Sexual exploitation of a minor;
      - viii. Contributing to the delinquency of a minor;
      - ix. Commercial sexual exploitation of a minor;
      - x. Felony offenses involving distribution of marijuana or dangerous or narcotic drugs;
      - xi. Burglary;
      - xii. Robbery;
      - xiii. A dangerous crime against children as defined in A.R.S. § 36-897.03 or A.R.S. § 13-604.01;
      - xiv. Child abuse:
      - xv. Sexual conduct with a minor:
      - xvi. Molestation of a child;
    - b. The applicant is the parent or guardian of a child adjudicated to be a dependent child as defined in A.R.S. § 8-201(11);

- e. The applicant, at the time of the renewal inspection, is not in full compliance with the statutes and rules governing day care group homes; or
- d. During the most recent certification period, the applicant repeated operated in violation of statutes and rules governing day care group homes.
- **B.** An application for renewal of a day care group home certificate shall be denied for the reasons specified in subsection (A) of this Section.
- A. A certificate holder shall ensure that each staff member and each adult resident at a child care group home:
  - 1. Possesses a valid class one or class two fingerprint clearance card issued under A.R.S. § 41-1758.03, or
  - 2. Submits to the certificate holder a fingerprint clearance card application showing that the application was submitted to the fingerprint division of the Department of Public Safety under A.R.S. § 41-1758.02 within seven working days after becoming a staff member or adult resident.
- **B.** If a staff member or adult resident possesses a class one or class two fingerprint clearance card that was issued before the staff member or adult resident became a staff member or adult resident at the child care group home, the certificate holder shall contact the Department of Public Safety to determine whether the class one or class two fingerprint clearance card is valid. The certificate holder shall make a record of this determination, including the name of the staff member or adult resident, the date of the contact with the Department of Public Safety, and whether the class one or class two fingerprint clearance card is valid.
- C. A certificate holder shall not allow an individual to be a staff member or adult resident if the individual has been denied a class two fingerprint clearance card under A.R.S. Title 41, Chapter 12, Article 3.1, and has not received an interim approval under A.R.S. § 41-619.55(H).
- <u>D.</u> A certificate holder shall not allow an individual to be a staff member or adult resident if the individual receives an interim approval under A.R.S. § 41-619.55(H) but is then denied a good cause exception under A.R.S. § 41-619.55 and a class two fingerprint clearance card under A.R.S. Title 41, Chapter 12, Article 3.1.
- E. A staff member who was fingerprinted before July 1, 2000, and who has not changed employment since being finger-printed is not required to comply with subsection (A)(1) or (2) until August 16, 2002.
- **E.** A certificate holder shall ensure that each staff member and each adult resident submits to the certificate holder the form required in A.R.S. § 36-897.03(B).
- G. A certificate holder shall maintain documentation of each staff member's or adult resident's compliance with this Section in each staff member's or adult resident's file throughout an individual's time as a staff member or adult resident and for 12 months after an individual ceases to be a staff member or adult resident.

#### R9-5-704. <u>Certificate</u> Renewal-of-certification

- A. An application for renewal of a day care group home certificate shall be submitted on forms provided by the Department no more than 60 days, but not less than 30 days, before expiration of the current certificate. At least 45 days before the expiration of a current certificate, an applicant for renewal of a certificate shall submit to the Department an application packet including:
  - 1. A notarized application form signed by the applicant that includes:
    - a. The applicant's name;
    - b. The child care group home's name, if applicable;
    - c. The child care group home's street address, mailing address, and telephone number;
    - d. The applicant's type of business organization; and
    - e. A statement that the applicant has read and will comply with these rules; has the financial resources to operate the child care group home in compliance with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules; and declares that the information provided in the application is accurate and complete;
  - 2. An Attachment to Application including any changes to the information previously submitted as prescribed in R9-5-701(2); and
  - 3. The fee required by A.R.S. § 36-897.01.
- **B.** When a completed application for renewal of certification is submitted prior to the expiration of the certificate, the existing certification shall remain in effect until the issuance of a final Department decision regarding that application for renewal of certification. An applicant that submits the items required by subsection (A) later than 45 days before the expiration date of the current certificate shall submit to the Department the late filling fee required by A.R.S. § 36-897.01.
- C. If an applicant submits the items required by subsection (A) and the fee required by subsection (B), if applicable, before the expiration date of the current certificate, the current certificate does not expire until the date specified in A.R.S. § 41-1092.11(A).

#### R9-5-705. Suspension or revocation of certification Changes Affecting a Certificate

Certification may be suspended or revoked for any of the following:

- 1. Violation of any statute or rule governing day care group homes;
- 2. Failure to comply with child care personnel registration requirements;

- 3. Use of personnel for whom proper registration forms have not been submitted or who have been denied registration;
- 4. Omission of, or refusal to provide, information or records necessary for the Department to determine compliance with statutes and rules governing day care group homes;
- 5. Fraud or deceit in applying for certification or renewal of certification;
- 6. Failure to report abuse of a child pursuant to A.R.S. § 13 3620;
- 7. The presence in the day care group home, during hours of operation, of any personnel or household member:
  - a. Who are addicted to drugs or alcohol, or whose performance is affected by the use of drugs or alcohol;
  - b. Who have abused any child; or
  - e. Who use or have used unacceptable disciplinary methods as defined in R9-5-909(E).
- At least 30 days before the date of a change in a child care group home's name, a certificate holder shall send the Department written notice of the name change. Within 30 days after the date of receipt of the notice, the Department shall issue an amended certificate that incorporates the name change but retains the expiration date of the current certificate.
- **B.** At least 30 days before the date of an intended change in a child care group home's space utilization or certified capacity, a certificate holder shall submit a written request for approval of the change to the Department. The written request shall include:
  - 1. The certificate holder's name;
  - 2. The child care group home's name, if applicable;
  - 3. The child care group home's street address, mailing address, and telephone number;
  - 4. The name, telephone number, and facsimile number of a point of contact for the request;
  - 5. The child care group home's certificate number;
  - 6. The type of change:
    - a. Space utilization, or
    - b. Certified capacity;
  - 7. A narrative description of the intended change; and
  - 8. The following additional information, as applicable:
    - a. If requesting a change in certified capacity, the square footage of the outdoor activity area and the square footage of the child care group home's indoor activity areas; and
    - b. If requesting a change in space utilization that affects individual rooms, the name and square footage of each affected room.
- C. The Department shall review a request submitted under subsection (B) in accordance with R9-5-702. If the child care group home will be in substantial compliance with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules with the intended change, the Department shall send the certificate holder an amended certificate that incorporates the change but retains the expiration date of the current certificate.
- <u>D.</u> A certificate holder shall not implement any change described under subsection (B) until the Department issues an amended certificate.
- E. At least 30 days before the date of a change in service classification, a certificate holder shall send the Department written notice of the change.
- **F.** At least 30 days before the date of a change in the ownership of a child care group home, a certificate holder shall send the Department written notice of the change. A new owner shall obtain a new certificate as prescribed in R9-5-701 before beginning operation of a child care group home.
- **G.** A certificate holder changing a child care group home's location shall apply for a new certificate as prescribed in R9-5-701.
- H. Within 30 days after the date of a change in any corporate or company officer or statutory agent, a certificate holder that is a corporation or a limited liability company shall send the Department written notice of the change.
- <u>I.</u> Within 30 days after the date of a change in the membership of a partnership or joint venture or in the individual designated in writing to accept service of process and subpoenas, a certificate holder that is a partnership or joint venture shall send the Department written notice of the change.
- J. Within 30 days after the date of a change in the officers of an association or cooperative or in the statutory agent or other individual designated in writing to accept service of process and subpoenas, a certificate holder that is an association or cooperative shall send the Department written notice of the change.

## **R9-5-706.** Notice of changes Change in Provider

- A. The certificate holder shall notify the Department in writing, at least 30 days prior to the effective date, of any of the following changes or physical alterations:
  - 1. Remodeling of the day care group home or its grounds. A copy of the remodeling building permit, if required by the local jurisdiction, shall be attached to the notification of remodeling.
  - 2. Addition of any body of water including wells, cattle tank, swimming pool, spa, hydrotherapy pool, or decorative pond;

- 3. Modification of records, permits or statements, submitted to the Department as a part of the application for certification or renewal of certification; or
- 4. Transfer of ownership or termination of tenancy of the residence in which the child care services are provided.
- B. The certificate holder shall notify the Department, in writing, within 15 days of:
  - 1. Addition or deletion of household members:
  - 2. Voluntary closure of child care services. The provider shall submit the current certificate issued to operate the day care group home along with such notification;
  - 3. any allegation that personnel or a household member has committed any of the crimes specified in A.R.S. § 36-897.03, or has engaged in or has observed any conduct not conducive to the welfare of the child; or
  - 4. The conviction or arrest of any personnel or household member for any crime specified in A.R.S. § 36-897.03.

At least 30 days before changing a child care group home's provider, a certificate holder shall send the Department written notice of the change. The written notice shall include a Provider Qualifications Form completed as required by R9-5-701(2)(j).

### **R9-5-707.** Complaints; investigations Inspections; Investigations

- A. Any person may file a written or oral complaint with the Department regarding the operation of a day care group home. The Department shall visit each day care group home as often as necessary to assure continued full compliance with law and the rules. The Department shall inspect each child care group home before issuing an initial certificate or a renewal certificate and as often as necessary to determine compliance with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules. A certificate holder shall allow access to all areas of the child care group home affecting the health, safety, or welfare of an enrolled child or to which an enrolled child has access during hours of operation.
- B. The provider shall allow representatives of the Department to inspect all areas of the day care group home to which the children have access during hours of operation. Such inspection shall include the facility and grounds, required records and reports, interviews with enrolled children, child care personnel and other household members and shall provide access to areas of the facility not used by children but which may affect their welfare or safety. If the Department receives written or verbal information alleging a violation of A.R.S. Title 36, Chapter 7.1, Article 4 or these rules, the Department shall conduct an investigation to determine compliance. A certificate holder shall permit the Department to interview staff members, residents, and enrolled children as part of an investigation.

## **R9-5-708.** Denial, Revocation, or Suspension of Certificate

- A. The Department may deny, revoke, or suspend a certificate to operate a child care group home if an applicant or certificate holder:
  - 1. Provides false or misleading information to the Department;
  - 2. Has been denied a certificate or license to operate a child care group home or a certificate or license to operate a child care facility in any state, unless the denial was based on the applicant's failure to complete the certification or licensing process in accordance with a required time-frame;
  - 3. Has had a certificate or license to operate a child care group home or a certificate or license to operate a child care facility revoked or suspended in any state;
  - 4. Has been denied a fingerprint clearance card or has had a fingerprint clearance card revoked under A.R.S. Title 41, Chapter 12, Article 3.1;
  - 5. Fails to substantially comply with any provision in A.R.S. Title 36, Chapter 7.1, Article 4 or these rules; or
  - 6. Substantially complies with A.R.S. Title 36, Chapter 7.1, Article 4 and these rules, but refuses to carry out a plan acceptable to the Department to eliminate any deficiencies.
- **B.** In determining whether to deny, suspend, or revoke a certificate, the Department shall consider the threat to the health and safety of children in a child care group home based on such factors as:
  - 1. Repeated violations of statutes or rules,
  - 2. A pattern of non-compliance,
  - 3. The type of violation,
  - 4. The severity of the violation, and
  - 5. The number of violations.

#### ARTICLE 8. DAY CHILD CARE GROUP HOME ADMINISTRATION

#### **R9-5-801.** Provider standards and responsibilities

- **A.** No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. Have record a certificate issued by the Department showing that the provider has completed at least four hours of Department-provided training that included the Department's role in certifying and regulating child care group homes

<u>under A.R.S. Title 36, Chapter 7.1, Article 4 and these rules and records</u> of attendance from <del>required provider orientation as specified in R9-5-701(B)(11) and</del> all courses, workshops, seminars and training events attended.

#### **B.** No change

- 1. Orient each of the facility's personnel and each household member to the statutes and rules governing day child care group homes and to the facility's policies and procedures;
- 2. Ensure that the facility is operated in full compliance with statutes and rules governing day child care group homes;
- 3. No change
- 4. Ensure that all visitors who are present in the facility or grounds, during hours of operation, are supervised and accompanied by the day child care group home personnel;
- 5. Notify parents and guardians of their right to enter the day child care group home, during hours of operation, while their child is present;
- 6. No change
- 7. No change
  - a. Illness, injury or death of a child or other person in the day child care group home;
  - b. No change
  - c. No change
  - d. No change
  - e. No change
  - f. No change
- 8. No change
- 9. No change
- 10. No change
- 11. No change
- 12. No change
- 13. No change

#### **R9-5-802.** Personnel standards and responsibilities

### A. No change

- 1. No change
- 2. No change
- **B.** No change
  - 1. Be oriented to the statutes and rules governing day child care group homes and to facility policies and procedures prior to providing care for enrolled children;
  - 2. Have the physical and emotional health necessary to perform the duties and responsibilities required by the statutes and rules governing day child care group homes; and
  - 3. No change
    - a. No change
    - b. No change
  - 4. No change
  - 5. No change
  - 6. No change
    - a. Orientation of all new employees to the day child care group home and its policies and procedures;
    - b. No change
    - c. No change
    - d. No change
    - e. No change
    - f. No change
    - g. No change
    - h. No change
    - i. No change
    - j. No change
  - k. No change7. No change

## 5-804. Inspection reports

- **A.** A chronological file of all reports of inspections conducted at the day child care group home and documentation that required corrections have been timely made shall be kept current and maintained at the facility.
- **B.** No change
- C. No change

#### R9-5-806. Children's records and reports

- **A.** A day child care group home shall maintain at the facility an individual file for each enrolled child separate from household or personal records. The file shall be made immediately available for inspection by the Department and shall contain the following:
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
    - a. No change
    - b. No change
    - c. No change
  - 9. No change
  - 10. No change
  - 11. Ensure that no child continues enrollment at the day child care group home for more than 15 days after receiving notification of necessary immunizations until proof of immunizations or exemption from immunizations is provided;
  - 12. No change
  - 13. No change
  - 14. No change
  - 15. No change
  - 16. No change
  - 17. No change
    - a. No change
    - b. No changec. No change
    - d. No change
    - e. No change
    - f. No change
- B. No change

### R9-5-807. Attendance records; admission and release of children

- A. No change
- B. No change
- C. No change
- **D.** The provider shall require that all authorized individuals, other than the parent or guardian, present picture identification prior to releasing the child from the day child care group home.
- E. No change
- **F.** No child shall be allowed to admit or release herself from a day child care group home unless she is of school age and written authorization is provided by the child's parent or guardian when the child is enrolled. If written authorization is brought to the facility by the child, the authorization shall be verified with the parent or guardian upon receipt.

## ARTICLE 9. PROGRAM AND EQUIPMENT FOR DAY CHILD CARE GROUP HOMES

## **R9-5-901.** General program and equipment standards

- A. The day child care group home shall maintain a safe and healthful environment, free from disease and illness.
  - 1. No change
  - 2. No change
- **B.** The day child care group home shall provide a program which includes a balance of daily activities which meet the age interests and developmental needs of each child including:
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
- C. No changeD. No change
- April 5, 2002 Page 1625 Volume 8, Issue #14

- E. No change
- **F.** Each day child care group home shall have sufficient play materials and equipment so that, at any one time, each child who is present can be individually involved in the activities outlined in the activity plan.
- **G.** In addition to requirements specified in this rule, a day child care group home providing care for three-, four- and five-year-old children shall provide the following play materials and supplies:
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
- H. No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
- I. No change
- J. No change

## R9-5-903. Supplemental equipment standards for school- age children

In addition to materials and supplies specified in R9-5-901(G), the day child care group home shall provide play materials and equipment that meet the interests and developmental needs of children including:

- 1. No change
- 2. No change
- 3. No change
- 4. No change
- 5. No change
- 6. No change

## R9-5-904. Supplemental program and equipment standards for special needs children

- A. No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
- **B.** No change
- **C.** Special needs children shall be integrated into the daily activities of the day child care group home whenever possible within the least restrictive environment that meets the individual needs of special needs children in attendance.

## R9-5-905. Supplemental program and equipment standards for night care

After consultation with the parent or guardian to establish a written plan regarding night home care, a day child care group home providing night home care shall comply with the following:

- 1. No change
- 2. No change
- 3. No change
- 4. No change
- 5. No change
- 6. No change

#### **R9-5-906.** Illness and infestation

- A. No change
- **B.** The provider shall not accept or allow a child to remain at the day child care group home if the child shows symptoms of inflammation, fever, rash, diarrhea, vomiting, pinworms, lice, or is suspected of having a contagious or infectious disease or infestation.

- **C.** If the child develops symptoms of illness or infestation while at the day child care group home, personnel shall isolate the child from others upon discovery and shall immediately notify the child's parent or guardian to arrange for prompt removal of the child.
- D. No change

## **R9-5-907.** Emergency medical care

- A. No change
- **B.** A first-aid kit shall be maintained in the day child care group home which is accessible to all personnel but out of the reach of children. The first-aid kit shall contain at a minimum:
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change

#### **R9-5-908.** Medications

- **A.** No change
- **B.** No change
  - 1. Staff designated by the provider in writing shall be responsible for the administration of medication including the storage, recordkeeping, handling and overseeing the child's ingestion or application of medication. Only one staff member in the day child care group home at any given time shall be designated for administration of medication;
  - 2. No change
    - a. No change
    - b. No change
    - c. No change
    - d. No change
    - e. No change
    - f. No change
  - 3. No change
  - 4. The provider shall maintain a record of all medications administered to each child by day child care group home staff. The record shall contain:
    - a. No change
    - b. No change
    - c. No change
    - d. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
  - 9. No change
- C. No change

## R9-5-909. Discipline and guidance

- A. No change
- B. No change
- **C.** A child whose behavior is uncontrolled may be restrained by being firmly held by day child care personnel only when all of the following conditions apply:
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
- **D.** No change
- E. No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change

- 6. No change
- F. No change
- **G.** Only day child care group home personnel shall be allowed to discipline an enrolled child.

## **R9-5-912.** Transportation of children and field trips

- A. No change
- **B.** No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
  - 9. No change
- C. No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change6. No change
  - 7. No change
  - 8. No change
- **D.** No change
  - 1. The provider shall obtain written permission from the parent or guardian in advance of the child's participation in a field trip. The field trip permission notice shall provide details of the trip including the purpose of the field trip, time of departure and arrival at the day child care group home, and the address of the trip destination;
  - 2. No change
  - 3. No change
  - 4. No change

# ARTICLE 10. ACTIVITY AREAS AND PHYSICAL FACILITY STANDARDS FOR DAY CHILD CARE GROUP HOMES

## R9-5-1001. Day Child care group home activity areas

- A. No change
- B. No change
- C. No change
- D. No change
  - 1. No change
  - No changeNo change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change

#### **R9-5-1003.** Fire and safety

- **A.** No change
- **B.** No change
- C. No change
- D. No change
- E. No change
- F. No changeG. No change
- H. No change
- I. No change

- J. No change
- K. No change
- L. No change
- M. No change
- N. No change
- O. No change
- P. No change
- Q. No change
- R. No change
- S. No change
- T. No change
- U. No change
- V. The day child care group home and its grounds shall be well illuminated.
- W. No change
- X. No change
- Y. No change

## NOTICE OF PROPOSED RULEMAKING

#### TITLE 9. HEALTH SERVICES

# CHAPTER 6. DEPARTMENT OF HEALTH SERVICES COMMUNICABLE DISEASES

#### **PREAMBLE**

## 1. Sections Affected

#### **Rulemaking Action**

R9-6-202

Amend

2. The specific authority for the rulemaking, including both the authorizing statute (general) and the statutes the rules are implementing (specific):

Authorizing statutes: A.R.S. §§ 36-136(A)(7), 36-136(F) Implementing statutes: A.R.S. § 36-136(H)(1) and (12)

3. A list of all previous notices appearing in the Register addressing the proposed rule:

Notice of Rulemaking Docket Opening: 8 A.A.R. xxxx, March 29, 2002

4. The name and address of agency personnel with whom persons may communicate regarding the rulemaking:

Name: Vjollca Berisha

Address: Arizona Department of Health Services

Office of HIV/STD

3815 N. Black Canyon Highway

Phoenix, AZ 85015

(602) 230-5973

Telephone: (602) 230-5836

E-mail: vberish@hs.state.az.us

or

Fax:

Name: Kathleen Phillips

Address: Arizona Department of Health Services

Office of Administrative Rules 1740 W. Adams, Room 102

Phoenix, AZ 85007

Telephone: (602) 542-1264 Fax: (602) 364-1150

E-mail: kphilli@hs.state.az.us

#### 5. An explanation of the rule, including the agency's reasons for initiating the rule:

In December 1999, the Department completed a five-year review report for 9 A.A.C. 6. The five-year review report was approved by the Governor's Regulatory Review Council in March 2000. As a result of the review process, the Department identified a number of changes that needed to be made in 9 A.A.C. 6. The Department also determined that those changes should be made in three separate rule packages. The Department originally included the changes to R9-6-202 in the first of those rule packages. However, due to a deficient docket opening, the Department was required to remove R9-6-202 from that rule package. Thus, this rule package makes the changes to R9-6-202 that the Department intended to make in the first rule package, which dealt with the rules for HIV and sexually transmitted diseases.

This rule package clarifies the clinical laboratory reporting requirement for HIV and adds a clinical laboratory reporting requirement for laboratory findings of  $CD_4$ -T-lymphocyte counts of fewer than 200 per microliter of whole blood or  $CD_4$ -T-lymphocyte percentages of total lymphocytes of less than 14%. The addition of this reporting requirement is consistent with the Centers for Disease Control and Prevention's definition of AIDS and will improve the Department's ability to track the number of AIDS cases in Arizona. This rule package also makes a minor stylistic change to R9-6-202 that was recommended by Governor's Regulatory Review Council staff to make the rule more clear, concise, and understandable.

6. A reference to any study that the agency proposes to rely on in its evaluation of or justification for the proposed rule and where the public may obtain or review the study, all data underlying each study, any analysis of the study, and other supporting material:

Not applicable

7. A showing of good cause why the rule is necessary to promote a statewide interest if the rule will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

#### 8. The preliminary summary of the economic, small business, and consumer impact:

As used in this Summary, minimal means less than \$1,000, moderate means between \$1,000 and \$9,999, and substantial means \$10,000 or greater.

The Department anticipates that the proposed rule changes will minimally burden clinical laboratories, which will newly be required to report  $\mathrm{CD_4}$ -T-lymphocyte counts of fewer than 200 per microliter of whole blood or  $\mathrm{CD_4}$ -T-lymphocyte percentages of total lymphocytes of less than 14%. Because clinical laboratory directors are already required by Article 2 to make regular reports of numerous laboratory results, the addition of this reporting requirement should result in only a minimal burden. The clarification of the HIV reporting requirement should result in a minimal benefit to clinical laboratories because it should resolve any existing confusion about what HIV-related test results are required to be reported.

The Department will incur the costs of the rulemaking process, which should be minimal to moderate.

9. The name and address of agency personnel with whom persons may communicate regarding the accuracy of the economic, small business, and consumer impact summary:

Name: Viollca Berisha

Address: Arizona Department of Health Services

Office of HIV/STD

3815 N. Black Canyon Highway

Phoenix, AZ 85015

Telephone: (602) 230-5836 Fax: (602) 230-5973

E-mail: vberish@hs.state.az.us

or

Name: Kathleen Phillips

Address: Arizona Department of Health Services

Office of Administrative Rules 1740 W. Adams, Room 102

Phoenix, AZ 85007

Telephone: (602) 542-1264 Fax: (602) 364-1150

E-mail: kphilli@hs.state.az.us

## 10. The time, place, and nature of the proceedings for the adoption, amendment, or repeal of the rule or, if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

The Department has not scheduled an oral proceeding on the proposed rule. However, a person may request an oral proceeding by submitting a written request to the agency personnel listed in item #4 above before 5:00 p.m. on May 6, 2002. In addition, a person may submit written comments on the proposed rules to the agency personnel listed in item #4 above before the close of record at 5:00 p.m. on May 6, 2002.

# 11. Any other matters prescribed by statute that are applicable to the specific agency or to any specific rule or class of rules:

Not applicable

## 12. Incorporations by reference and their location in the rules:

Not applicable

## 13. The full text of the rules follows:

#### TITLE 9. HEALTH SERVICES

# CHAPTER 6. DEPARTMENT OF HEALTH SERVICES COMMUNICABLE DISEASES

#### ARTICLE 2. COMMUNICABLE DISEASE REPORTING

Section

R9-6-202. Special Reporting Requirements

#### ARTICLE 2. COMMUNICABLE DISEASE REPORTING

## **R9-6-202.** Special Reporting Requirements

- **A.** No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
  - 9. No change
  - 10. No change11. No change
  - 12. No change
  - 13. No change
  - 14. No change
  - 15. No change
- **B.** No change
  - 1. No change
  - 2. No change
  - 3. No change4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
- C. No change

- 1. Foodborne/waterborne Foodborne or waterborne illness, 2. No change 3. No change 4. No change 5. No change 6. No change 7. No change 8. No change 9. No change 10. No change 11. No change D. A clinical laboratory director, or authorized representative, either personally or through a representative, shall submit to the Department a weekly written, or electronic report of the following: positive Positive laboratory findings for the following communicable disease pathogens: No change <u>a.</u> <del>2.</del> No change <u>b.</u> <del>3.</del> No change <u>c.</u> No change d. <del>5.</del> No change <u>e.</u> 6. No change <u>f.</u> <del>7.</del> No change g. No change No change <u>i.</u> <del>10.</del> <u>ј.</u> No change <del>11.</del> <u>k.</u> No change <del>12.</del> <u>1.</u> No change 13. m. No change <del>14.</del> n. No change 15. o. No change <del>16.</del> р. Human Immunodeficiency Virus (HIV) (by culture, antigen, antibodies to the virus, or viral genetic sequence detection); 17. q. No change <del>18.</del> <u>r.</u> No change <del>19.</del> <u>s.</u> No change <del>20.</del> t. No change 21. u. No change <del>22.</del> <u>v.</u> No change 23. w. No change 24. x. No change 25. y. No change <del>26.</del> <u>z.</u> No change 27. aa. No change 28. bb. No change 29. cc. No change 30. dd. Yersinia sp.; and Each laboratory finding of a CD<sub>4</sub>-T-lymphocyte count of fewer than 200 per microliter of whole blood or a CD<sub>4</sub>-Tlymphocyte percentage of total lymphocytes of less than 14%. E. No change 1. No change 2. No change 3. No change 4. No change
- - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
- F. No change
  - 1. No change
  - 2. No change

- 3. No change
- 4. No change
- 5. No change

<u>1.</u>

6. No change

## NOTICE OF PROPOSED RULEMAKING

## TITLE 17. TRANSPORTATION

# CHAPTER 4. DEPARTMENT OF TRANSPORTATION TITLE, REGISTRATION, AND DRIVER LICENSES

#### **PREAMBLE**

Sections affected:	<b>Rulemaking Action:</b>
R17-4-450	Repeal
R17-4-451	Repeal
R17-4-452	Repeal
Appendix D	Repeal
R17-4-453	Repeal
Appendix B	Repeal
R17-4-454	Repeal
R17-4-455	Repeal
Appendix C	Repeal
Appendix E	Repeal
R17-4-456	Repeal
R17-4-457	Repeal
R17-4-458	Repeal
R17-4-459	Repeal
R17-4-460	Repeal
R17-4-461	Repeal
R17-4-462	Repeal
R17-4-463	Repeal
R17-4-464	Repeal
R17-4-465	Repeal
R17-4-466	Repeal
Appendix A	Repeal
R17-4-467	Repeal

# 2. The specific authority for the rulemaking, including both the authorizing statute (general) and the statutes the rules are implementing (specific):

Authorizing statute: A.R.S. § 28-366

Implementing statutes: A.R.S. §§ 28-1091 through 28-1100; 28-1103 through 28-1107; 28-1141 through 28-1149; 28-7045

## 3. A list of all previous notices appearing in the Register addressing the proposed rule:

Notice of Rulemaking Docket Opening: 7 A.A.R. 68, January 5, 2001 (superseded)

Notice of Recodification: 7 A.A.R. 3479, August 10, 2001

Notice of Rulemaking Docket Opening: 7 A.A.R. 3682, August 24, 2001

## 4. The name and address of agency personnel with whom persons may communicate regarding the rulemaking:

Name: George R. Pavia, Department Rules Supervisor

Address: Administrative Rules Unit

Department of Transportation, Mail Drop 507M

3737 N. 7th Street, Suite 160 Phoenix, AZ 85014-5079

#### Arizona Administrative Register

## **Notices of Proposed Rulemaking**

Telephone: (602) 712-8446 Fax: (602) 241-1624

E-mail: gpavia@dot.state.az.us

Please visit the ADOT web site to track progress of this rule and any other agency rulemaking matters at www.dot.state.az.us/about/rules.

#### 5. An explanation of the rule, including the agency's reasons for initiating the rule:

The agency proposes to rewrite the entire body of overdimensional permits rules. This notice constitutes repeal of the old (existing) rules. Due to the number and length of rules being repealed, this action is undertaken separately. The proposed new rules appear in a companion Notice of Proposed Rulemaking.

The agency has recodified the entirety of 17 A.A.C. The original rule numbering scheme of these rules to be repealed as they appeared in 17 A.A.C. 4 and in the Notice of Rulemaking Docket Opening was a range from R17-4-406 through R17-4-427. In the recodification project, the Department determined to renumber the rules marked for repeal according to the numbering scheme in item #1 of this notice. The new rules will be written as a separate Chapter (6) of 17 A.A.C.

6. A reference to any study that the agency proposes to rely on in its evaluation of or justification for the proposed rule and where the public may obtain or review the study, all data underlying each study, any analysis of the study and other supporting material:

None

7. A showing of good cause why the rule is necessary to promote a statewide interest if the rule will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

8. The preliminary summary of the economic, small business, and consumer impact:

An economic statement will be included in the package of proposed new overdimensional permits rules. Since repeal of old rules is less regulatory, the rulemaking action of this package is exempt from the economic impact statement requirements under A.R.S. § 41-1055(D)(3).

9. The name and address of agency personnel with whom persons may communicate regarding the accuracy of the economic, small business, and consumer impact statement:

Not applicable

10. The time, place, and nature of the proceedings for the making, amendment, or repeal of the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

No hearing is scheduled for this repeal action. A public hearing will be scheduled in conjunction with the new proposed overdimensional permits rules when published.

11. Any other matters prescribed by statute that are applicable to the specific agency or to any specific rule or class of rules:

None

12. Incorporations by reference and their location in the rules:

None

13. The full text of the rules follows:

#### TITLE 17. TRANSPORTATION

# CHAPTER 4. DEPARTMENT OF TRANSPORTATION TITLE, REGISTRATION, AND DRIVER LICENSES

#### ARTICLE 4. DRIVER LICENSES

Section

R17-4-450. Width of Vehicles/Vehicle Loads Repealed

R17-4-451. Class of permits Repealed

R17-4-452. Applications for permits Repealed

Appendix D. Additional Fees for Class C Permits Repealed Maximum permitted weights Repealed

Appendix B.	Arizona Department of Transportation Structures Section Repealed
R17-4-454.	House moving regulations Repealed
R17-4-455.	Escort vehicles Repealed
Appendix C.	Sign Specifications for Oversize Loads and Escort Vehicles Repealed
Appendix E.	Table of Restricted Routes and Escort Requirements Repealed
Appendix E.	Escort Requirements for Class A Permits Repealed
R17-4-456.	Restrictions Repealed
R17-4-457.	Enforcement and Liability Repealed
R17-4-458.	Envelope Permit Point System Repealed
R17-4-459.	Private carrier manifests Repealed
R17-4-460.	Mobile home highway 93 restrictions Repealed
R17-4-461.	Definition of agricultural products Repealed
R17-4-462.	Mobile homes prepaid oversize permits Repealed
R17-4-463.	Oversize permits – round trip authority Repealed
R17-4-464.	Overheight permits Repealed
R17-4-465.	Multiple trailer combination permits Repealed
R17-4-466.	Movement of a vehicle laden with watercraft; special permit Repealed
Appendix A.	Sign Specifications for Oversize Loads Repealed
R17-4-467.	Weight Restrictions on Mexican Hay Lake Road Repealed
	ADDICTE A DDIVED LICENCEC

#### ARTICLE 4. DRIVER LICENSES

## R17-4-450. Width of Vehicles/Vehicle Loads Repealed

#### A. Definitions.

- 1. "Load" means a material or commodity haul which is 1 piece, nonreducible, which cannot be easily dismantled or divided.
- 2. "State Highway" means designated by the Transportation Board and maintained by the state.
- **B.** All Arizona State Highways shall be open to 102" wide Vehicles and/or Vehicle loads EXCEPT those shown on Appendix A.
- C. Those Arizona State Highways that have a maximum 96" wide vehicle and/or vehicle load capacity are shown in Appendix A.

#### D. Permits.

- 1. Vehicles and/or loads not to exceed 102" in width are exempt from over width permits and shall operate on all Interstate highways and state routes EXCEPT those shown on Appendix A.
- 2. The right to use county highways or city streets is neither granted nor implied. Permits for use of other than state routes designed on the permit shall be obtained from the proper local authority.
- 3. A Special Excess Width Permit may be granted under the authority of A.R.S. §§ 28-1002(E) and 28-1011 by the Director.
- 4. Other required permits, i.e., Watercraft, Mobile Homes, Overweight, Over Height, and Multiple trailer combinations are governed by rule in this Article.

#### R17-4-451. Class of permits Repealed

- A. Class A. Permits for overdimensional and overweight loads within standard guidelines of 14 feet wide, 16 feet in height, 120 feet overall length and 250,000 pounds gross combined weight. A permit may be issued for a single trip and 1 load or for multiple trips of specified fixed loads not to exceed 30 calendar days.
- B. Class B. Permits for multiple trips of specified or fixed loads not to exceed 1 full year and not exceeding the following guidelines—80 feet long, 12 feet, 6 inches wide, 14 feet, 8 inches height, legal axle weight and legal vehicle weight except mobile cranes and drill rigs.
- Class C. Overdimensional and overweight exceeding the dimensional and/or weight limits of other classes or permits. Class C permits will be issued for single trips only.
- D. Class D. Permits for multiple trips for mobile cranes and similar speciality equipment for periods not to exceed 1 full year. Class D permits will be limited to vehicles designed for over-the-road use such as cranes, drill rigs, concrete pump trucks, etc., and are subject to the requirements of Class A permits for size and weight. Vehicles which do not conform to this class may be permitted under Class C. Vehicles may obtain a Class A permit for a single trip or for multiple trips not to exceed 30 days when in conformance to size and weight limits of a Class A permit. Vehicles exceeding the limits of a Class A or Class D permit may be permitted under Class C.
- E. Class E. Permits for vehicles may be issued for annual periods encompassing areas as authorized in A.R.S. § 28-1011(M).

#### R17-4-452. Applications for permits Repealed

A. Application for Class A and Class B permits.

- 1. Applications shall be made on a form prescribed by the Department and signed by the carrier or its authorized agent.

  All applications and permits must be in writing, except for those applications accepted by Transceiver or by Western Union.
- 2. Permit applications for vehicles subject to registration will be approved only when such vehicles are properly registered with the Motor Vehicle Division in accordance with the applicable laws of this state.
- Class A overdimensional and overweight 30-day permits may be issued for movements upon state and federal highways as long as the load is specifically described and the same vehicle or vehicles required to make the move are used.
- B. Governmental agencies. Annual permits may be issued to cities, towns, counties, states, and federal agencies.

#### C. Application for Class C permits.

- 1. The costs associated with the Department's review of Class C permit applications shall be borne by the applicant in accordance with Appendix D.
- 2. All applications for Class C permits shall be made in writing to the Assistant State Engineer-Maintenance for approval or disapproval. Any costs associated with the Department's review of the permit application shall be borne by the applicant.
- 3. An applicant desiring to move any vehicle which exceeds the weight established in R17-4-409 shall make application for a Class C permit in accordance with R17-4-408(C). If requested, the applicant shall present to the Department an engineering analysis from an engineer, licensed to practice in the state of Arizona, as may be required to indicate to the satisfaction of the Department that no damage will occur from overstressing of bridges, pavement or other state property involved in the movement of the permit vehicle and load.
- 4. The application for Class C overweight permits shall be accompanied by drawings clearly showing the vehicle, axle spacings, axle weights, vehicle length, height, width, width out to outside of axles, tire sizes and number of tires per axle, payload weight, tare weights, loaded position of payload. The application shall also include a detailed description of the route or a suitable map showing the route desired to be used clearly marked.
- 5. It is the intent of these rules that, for repetitive movements of Class C permit vehicles, the initial approval by the Assistant State Engineer for Maintenance may be applicable to subsequent single trip movements of similar size and weight vehicles providing routing and highway conditions remain the same. This extended approval may be withdrawn at anytime by the Department upon notice in writing.

#### **D.** Mobile cranes and drill rigs -- Class D permits only.

- 1. Cranes, drill rigs, and similar speciality equipment shall be subject to the same weighing procedures as required for commercial vehicles.
- 2. Before a permit can be issued for movement of a mobile crane or drill rig, the applicant shall weigh the unit at a certified public scale and submit to the permit supervisor, a report on a form supplied by the Department. The report shall describe in detail the unit so weighed and measured, and shall show the length, height, and weight of the unit, and shall list the equipment to be included or excluded, such as counterweights, outriggers, boom position, position of boom dolly, etc.
- 3. The weighing and measuring of the unit will be made only when the unit is set for highway travel.
- 4. Conformance to the permitted weight, measurements, and equipment included or excluded for the movement of each unit under a permit is the sole responsibility of the applicant.
- 5. Mobile cranes, drill rigs, and similar speciality equipment may be issued annual permits for movement over state and federal highways, with prior certification by the Department. Annual permits will only be issued when the mobile crane is registered and is moved in compliance with the certificate approved by the Department. Documentation and procedure for obtaining the certificate shall include the following:
  - a. The owner of the crane or drill rig shall submit to the permit supervisor a report including an appropriate drawing of the vehicle which clearly shows axle spacings, axle weights, dimensions of length, width, and height of vehicle, a table of loads supplied by the manufacturer listing component and total weights. The owner's report shall include a list of equipment to be included or excluded such as counter weights, outriggers, boom position, etc., and a current photograph of the vehicle equipped ready to travel. The applicant shall also include in his submittal a tabulation of individual axle weights from a certified public scale. If such a scale is not available, the Department may weigh the vehicle using portable equipment.
  - b. Officers of the Motor Vehicle Division Enforcement Section may conduct an investigation to determine the accuracy of all information submitted in subsection (D)(5)(a).
  - e. Annual permits for all certified vehicles which can be safely operated on state routes and federal highways, subject to specific bridge or route restrictions, may be issued. Bridge or route restrictions may vary during the life of the permit due to changes in bridge and highway conditions.
- 6. Conformance to all the permit restrictions and vehicle certification is the sole responsibility of the applicant. Violation of the annual permit in size, weight, length, height, changing the boom position, dolly or trailer position, or any restriction stated on the permit will void the annual permit and no fee for the annual permit or a portion thereof will be refunded. Annual permits are nontransferable and non-refundable.

#### Appendix D. Additional Fees for Class C Permits Repealed

#### **OBJECTIVE:**

As authorized by Arizona Revised Statutes, the Department will collect additional fees to cover all or part of the cost of review and analysis of requests for overdimensional and overweight load permits. It is therefore the intent of the Department to collect a flat rate fee for vehicles as described below in order to recover a substantial amount of the cost of processing the permit. In addition, for those load movements of extraordinary size and weight, the Department will collect all costs of review and analysis.

## **FLAT RATE FEES:**

- (1) There is no additional fee for overdimensional and/or overweight vehicles moving under Class C permit procedures, but not exceeding Class A dimensional and weight limits.
- (2) For overdimensional vehicles up to and including 18 feet in width and/or 18 feet in height --- \$15.
- (3) For overdimensional vehicles exceeding 18 feet in width or height --- \$25.
- (4) For all overweight vehicles exceeding 500,000 pounds gross vehicle weight --- \$100.
- (5) For all overweight vehicles which exceed the axle group weights of Appendix B by more than 25% --- \$100.
- (6) For overweight vehicles exceeding below listed gross vehicle weight and routed across 1 or more of the following bridges --- \$100 each bridge:
- (7) The above listed fees are to be paid in addition to the normal permit fee. In cases where the vehicle is both overdimensional and overweight, the overdimensional fee will be waived. Items (4), (5), and (6) will not be applied in combination; only 1 category will apply.

#### **DIRECT COST FEE:**

For overdimensional and/or overweight movements that will require special engineering studies and analysis in terms of bridge stress analysis, routing, and traffic control, a direct cost fee will be charged for Department services. This category will include all movements that require any special modification to the highway system to accommodate the overdimensional and/or overweight vehicle. Such analysis and studies will be assigned an administrative job number to document all costs incurred by the Department in terms of employee salary, computer time charges, travel and equipment expenses which will be charged to the applicant. The applicant will be required to post a minimum of a \$1,000 cash bond to insure complete payment of the direct cost fee. The bond will be refunded upon completion of the study and payment in full of the direct cost fee.

#### **REPEAT LOADS:**

Any applicant who has been granted a Class C permit for an equal size and/or weight vehicle within a previous 12 month period in which an additional fee has been paid, will not be required to pay the additional Class C permit fee. In all cases, the Department will utilize past permit records of all applicants in order to reduce review time periods and direct costs where such records are applicable.

## R17-4-453. Maximum permitted weights Repealed

#### A. All State routes -- Class A permits.

- 1. A Class A permit may be issued for single axles or combination of 2 or more consecutive axles that do not exceed the weights tabulated in Appendix B. Single axles, as defined in A.R.S. § 28-1008(B), are limited to 28,000 pounds. In accordance with Appendix B, an increase in weight may be allowed if the axle is wider than 8 feet and has more than 4 tires.
- 2. Subject to the single axle limitation, the total gross weight with load imposed upon the highway by any 1 group of 2 or more consecutive axles of a vehicle or combination of vehicles shall not exceed the gross weight given for the respective distance between the first and last axle of the group of axles measured longitudinally as set forth in the table in Appendix B.
- 3. Permit vehicles will not be allowed to cross any structure designated as not capable of permit overloads.
- 4. The load shall be placed on the vehicle so as to ensure that the above limits are not exceeded on any single axle or axle groups.
- 5. If there is reasonable doubt about the weights shown on the application, the permit supervisor shall require the load to be weighed by a certified weighmaster prior to the issuance of the permit. The permit supervisor may issue a permit to travel to the nearest certified scale.
- Any falsification of weights shall be due cause for rejection of the permit or the revocation of a permit already granted.

#### **B.** All State routes -- Class C permits.

- 1. A Class C permit may be issued for vehicles that exceed the weight limitations set forth in R17 4 409(A).
- 2. Class C permit vehicle weight is not explicitly limited within the scope of these rules; however, pavement stress and bridge capacities will be the determining factor for the maximum permitted weight.

#### C. All State routes -- Class D permits.

- 1. Overweight mobile cranes and drill rigs under a Class D permit shall be controlled by the weight tables as specified in R17-4-409(A)(1) and (2).
- 2. Mobile cranes or drill rigs having an axle whose suspension is dependent on pneumatic or hydraulic devices to carry any part of its weight shall not exceed the weights specified in R17-4-409(A)(1) and/or (A)(2).

## Arizona Administrative Register

## **Notices of Proposed Rulemaking**

# APPENDIX B ARIZONA DEPARTMENT OF TRANSPORTATION STRUCTURES SECTION

## OVERWEIGHT AXLE GROUP CHART

FT IN	0	1	2	3	4	5	6	7	8	9	10	11
3	28,000	28,000	28,000	28,000	28,000	28,000	45,475	45,762	45,850	45,025	46,025	46,113
	32,200	32,200	32,200	32,200	32,200	32,200	52,200	<del>52,626</del>	52,729	52,828	52,928	53,020
	35,000	35,000	35,000	35,000	35,000	35,000	<del>57,094</del>	57,203	57,313	57,422	57,531	<del>57,641</del>
4	46,200	46,287	46,375	46,462	46,550	46,638	46,725	46,812	46,900	46,987	47,075	47,163
	53,130	53,230	<del>53,332</del>	53,432	<del>53,532</del>	53,634	53,734	53,834	53,935	<del>54,036</del>	54,136	54,237
	57,750	<del>57,859</del>	<del>57,859</del>	58,078	58,187	58,297	<del>58,406</del>	58,515	<del>58,625</del>	58,734	58,843	58,954
<del>5</del>	<del>47,250</del>	<del>47,337</del>	<del>47,425</del>	<del>47,512</del>	<del>47,600</del>	<del>47,688</del>	<del>47,775</del>	<del>47,862</del>	<del>47,950</del>	<del>48,037</del>	48,125	48,213
	54,338	54,438	<del>54,539</del>	<del>54,639</del>	54,740	54,841	<del>54,942</del>	55,041	55,143	55,243	55,343	<del>55,445</del>
	<del>59,062</del>	<del>59,171</del>	<del>59,282</del>	<del>59,391</del>	<del>59,500</del>	<del>59,610</del>	<del>59,719</del>	<del>59,828</del>	59,938	60,047	60,156	60,266
6	48,300	48,387	48,475	48,562	48,650	48,738	48,825	48,912	49,000	49,087	49,174	49,263
	<del>55,545</del>	<del>55,645</del>	55,747	<del>55,847</del>	<del>55,947</del>	<del>56,049</del>	<del>56,149</del>	<del>56,249</del>	<del>56,350</del>	<del>56,451</del>	<del>56,551</del>	<del>56,652</del>
	60,375	60,484	60,594	60,703	60,812	60,922	61,031	61,140	61,250	61,359	61,468	61,579
7	49,350	49,437	49,525	<del>49,612</del>	49,700	49,788	49,875	<del>49,962</del>	50,050	50,137	50,225	50,313
	<del>56,752</del>	<del>56,853</del>	<del>56,954</del>	<del>57,054</del>	<del>57,155</del>	<del>57,256</del>	<del>57,356</del>	<del>57,456</del>	<del>57,658</del>	<del>57,658</del>	<del>57,758</del>	<del>57,860</del>
	61,687	61,796	61,907	62,016	62,125	62,235	62,344	62,453	62,453	62,563	62,781	62,891
8	50,400	50,487	50,575	50,662	50,750	50,838	50,925	51,012	51,100	51,187	51,275	51,363
	<del>57,960</del>	<del>58,060</del>	<del>58,162</del>	<del>58,262</del>	<del>58,362</del>	58,464	58,564	<del>58,664</del>	<del>58,865</del>	<del>58,865</del>	<del>58,966</del>	<del>59,067</del>
	63,000	63,109	63,219	63,328	63,437	63,547	<del>63,656</del>	63,765	63,875	63,984	64,093	64,204
9	51,450	51,537	51,625	51,712	51,800	51,888	51,975	52,062	52,150	52,238	52,325	52,413
	59,168	59,268	59,369	<del>59,469</del>	59,570	<del>59,671</del>	59,771	59,871	59,973	60,073	60,173	60,275
	64,312	64,421	64,532	64,641	64,750	64,860	64,969	65,078	65,188	65,297	65,406	65,516
10	52,500	52,587	52,675	52,762	52,850	52,938	53,025	53,112	53,200	53,288	53,375	53,465
	60,375	60,475	60,577	60,677	60,777	60,879	60,979	61,079	61,180	61,281	61,381	61,482
	<del>65,635</del>	65,734	65,844	65,953	66,062	<del>66,172</del>	66,281	66,390	66,500	66,609	66,718	66,829
11	53,550	53,637	53,725	53,812	53,900	53,988	54,075	54,162	54,250	54,338	54,425	54,513
	61,583	61,683	61,784	61,884	61,985	62,086	62,186	62,286	62,388	62,488	62,588	62,690
	66,938	67,046	67,157	67,266	<del>67,375</del>	<del>67,485</del>	67,594	67,703	67,813	67,922	68,031	68,141
12	54,600	<del>54,687</del>	54,775	54,862	54,950	55,038	55,125	55,121	55,300	55,388	<del>55,475</del>	55,563
	62,790	<del>62,890</del>	62,992	63,092	63,192	63,294	62,394	63,494	63,595	<del>63,696</del>	<del>63,796</del>	<del>63,897</del>
	68,250	68,359	68,469	68,578	68,687	68,797	68,906	69,015	69,125	69,234	69,343	69,454
13	<del>55,650</del>	55,737	55,825	55,912	56,000	56,088	<del>56,175</del>	<del>56,262</del>	<del>56,350</del>	<del>56,438</del>	<del>56,525</del>	<del>56,613</del>
	63,998	64,098	64,199	64,299	64,400	64,501	64,601	64,701	64,803	64,903	65,003	65,105
	69,562	<del>69,671</del>	69,782	69,891	70,000	70,110	<del>70,219</del>	70,328	<del>70,438</del>	70,547	<del>70,556</del>	70,766
14	56,700	56,787	56,875	56,962	57,050	57,138	57,225	57,312	57,400	57,488	57,575	57,663
	65,205	65,305	65,407	65,507	65,607	65,709	65,809	65,909	66,010	66,111	66,211	66,312
	<del>70,875</del>	70,984	71,094	71,203	71,312	71,422	71,531	71,640	71,750	71,859	<del>71,968</del>	<del>72,079</del>
15	57,750	57,837	57,925	58,012	58,100	58,188	58,275	58,362	58,450	58,538	58,625	58,713
	66,412	66,513	<del>55,614</del>	66,714	66,815	66,916	<del>67,016</del>	<del>67,116</del>	67,218	67,318	67,418	67,520
	72,188	72,296	72,407	72,516	72,625	72,735	72,844	72,953	73,063	73,172	73,281	73,391
16	58,800	58,887	58,975	59,062	59,150	59,238	59,325	59,412	59,500	59,588	<del>59,675</del>	59,763
	67,620	67,720	67,822	67,922	68,022	68,124	68,224	68,324	68,425	68,526	68,626	27,727
	73,500	73,609	73,719	73,828	73,937	74,047	74,156	74,265	74,375	74,484	74,593	74,704
17	59,850	59,937	60,025	60,112	60,200	60,288	60,375	60,462	60,550	60,638	60,725	60,813
	68,828	68,928	69,029	69,129	69,2307	69,331	69,431	69,531	69,633	69,7337	69,833	69,935
	74,812	74,921	75,032	<del>75,141</del>	<del>75,250</del>	<del>75,360</del>	<del>75,469</del>	75,578	75,688	75,797	<del>75,906</del>	<del>76,016</del>
18	60,900		1	1	I	I	I	1	I	1	1	I
-	70,035						VE DATE					
	11111					A DDD OV	ED.					

APPROVED:

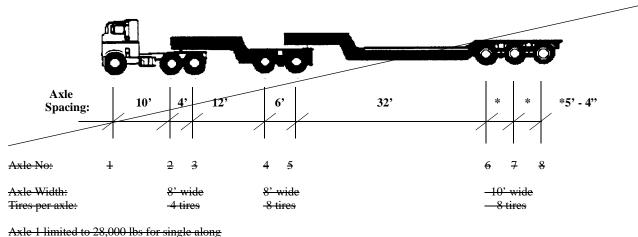
Assistant State Engineer - Structures

76,125

#### APPENDIX B ARIZONA DEPARTMENT OF TRANSPORTATION STRUCTURES SECTION

#### INSTRUCTIONS FOR USE OF OVERWEIGHT AXLE GROUP CHART

The axle group weights shown on the table are maximum weights allowed on any combination of axles within the distance between the front and rear axle of a given group up to a maximum of 18 feet. The table is an expansion of the formula, W = 1.5 x 700 (L + 40) where L is the distance between front and rear axles of a group. For each spacing given there are 3 tabulated weights, the top value is the expansion of the above formula, the second value is W plus 15%, and the bottom figure is W plus 25%. For axles conventionally equipped, i.e. 4 tires in dual configuration, 8 feet out to out, the top value governs. For axles equipped with 8 tires and 8 feet in width, a 15% increase in weight is allowed and the middle tabulated weight governs. For axles having 8 tires and at least 10 feet out to out of tires a 25% increase in weight is allowed and the bottom tabulated weight governs. Axles of at least 10 feet in width and equipped with 4 or more 14 inch or wider oversize tires will be allowed a 25% increase in weight over the above formula. Axles of at least 10 feet in width and equipped with 2 or more 14 inch or wider oversize tires will be allowed a 15% increase in weight over the above formula.



Axle 2 + 3 L = 4 W = 46,200 lbs (for the tandem alone)

Axle 1 + 2 + 3 L = 14' W = 56,700 lbs (for the group)

Axle 4 + 5 L = 6' W = 55,545 lbs (15% increase for 8 tires)

Axle 2 + 3 + 4 L = 16'  $W = (2/3 \times 58,800) + (1/2 \times 67,620) = 61,740 lbs$ 

Axle 3 + 4 + 5 L = 18' W =  $(1/3 \times 60,900) + (2/3 \times 70,035) = 66,990$  lbs

Axle  $3 + 4 L = 12' W = (1/2 \times 54,600) + 1/2 \times 62,790) = 58,695 lbs$ 

Axle 6 + 7 + 8 L = 10' - 8'' W = 66,500 (25% increase for 10' wide, 8 tires)

Note that each possible axle group which can exist within a 18 feet distance must be reviewed. Axles of different configurations of width or number of tires must be prorated within the total group load in determining any allowed increase over the basic formula weight.

#### R17-4-454. House moving regulations Repealed

#### A. All State routes -- Class A permits.

- 1. Mobile homes, modular structures (units), prefab structures or other off site manufactured buildings shall be permitted to be transported on approved state routes under a Class A permit when modular structures (units) having a measured box width not to exceed 14 feet and having an eave of not more than 2 feet if the eave is to the right side (curb side). Mobile homes subject to A.R.S. § 28-1011(J) shall be moved under single trip permits only.
- 2. Class A permits may be issued for the movement of buildings or structures being relocated from a previous permanent location providing the unit does not exceed the dimension limitations of subsection (A)(1).
- 3. Houses and structures being moved under a Class A permit are exempted from any escort vehicle requirements, except as provided for in R17 4 411(D).

## **B.** All State routes -- Class C permits.

1. Mobile homes, modular structures (units), prefab structures or other off site manufactured buildings exceeding 14 feet wide or having more than a 2 foot eave curb side may be permitted to be transported on state routes under a Class C permit.

- 2. The issuance of Class C permits for the movement of buildings or structures being relocated from a previous permanent location and exceeding the dimensions specified in R17-4-410(A)(1) shall be subject to a detailed analysis which may include consideration of the following factors:
  - a. The size and type of building to be moved.
  - b. The method to be utilized in making the move.
  - The route traveled from origin to destination.
  - d. The distance of the move.
- 3. Each application will be considered on its own merit. In each instance, the interruption of normal traffic movement, the safety of the public, the necessity of keeping the highway open for emergency vehicles, and the possibility of damage to the highway or highway facilities, will be pertinent items of consideration, any of which may be a deciding factor as to whether a permit will be issued or, should a permit be issued, the conditions of operation to be imposed upon the applicant.
- 4. Houses and structures being moved under Class C permits may require escorts and/or special traffic control as determined by an engineering review. Where the width of the highway is such that traffic cannot move in any direction, the applicant shall not tie up traffic in any direction more than 5 minutes. If it becomes necessary, because of a narrow bridge or other highway feature, to temporarily block the passing lane, the applicant shall use extreme caution in flagging traffic. After passing the structure, the load shall be immediately moved to the extreme right-hand side of the roadway and proceed as provided herein.

#### C. General house moving requirements.

- 1. Permits to move buildings and structures will be issued only when the vehicles are properly registered and are suitable for the purpose of moving buildings and structures, and every permit issued shall contain the following restrictions:
  - a. No permit to move any building or structure shall be granted to any applicant other than to a bona fide owner of such building or structure, unless the applicant is properly licensed as required by law.
  - b. The owner shall be required to furnish satisfactory evidence of financial responsibility.
- 2. Where a permit is issued to move any buildings or structure or any part thereof over a state highway, the permit shall contain the conditions under which the load shall be moved. Upon all roadways, any overwidth vehicle shall be driven in the right-hand lane then available for traffic or as close as practicable to the right-hand curb or edge of the roadway except when overtaking and passing another vehicle proceeding in the same direction or when preparing for a left turn at an intersection or into a private road or driveway.
- 3. Upon completion of the move, all timbers and equipment shall be removed from the highway right-of-way and the highway and related facilities restored to original condition with the applicant required to pay the cost of any repair to restore to original condition.
- 4. The permittee shall notify the appropriate utility company of all overhead lines subject to disturbance or damage by the moving operation and shall be responsible for the restoration of overhead lines disturbed or damaged.
- 5. Permits issued for moving buildings or structures, in addition to those contained herein, are subject to all regulations for permits for excessive size and weight of vehicles and loads.
- 6. Rigid material, or 1.5 mil plastic sheathing or stronger, backed by a rigid grillwork not exceeding a square of 4 feet to prevent billowing, must fully enclose open sizes of sections in transit.
- 7. Prior to the issuance of a permit to move a mobile home, the applicant shall comply with A.R.S. § 28 1011(J). In addition, all manufactured homes shall bear the seal of approval of the Arizona Office of Manufactured Housing or a U.S. Housing and Urban Development Seal of approval as defined by A.R.S. § 32-1172, paragraph 2.
- 8. Towing vehicles having a 1 1/2 ton factory rating may be used to tow mobile homes not exceeding 10 feet in width and 50 feet in length inclusive of hitch. Vehicles towing mobile homes in excess of 10 feet in width and 50 feet in length inclusive of hitch shall have a minimum factory rating of 2 tons with at least 4 tires on each drive axle and a minimum wheel base of 99 inches. This Section shall not apply to mobile homes not exceeding 8 feet in width as defined in R17-4-406(B)(4).

## R17-4-455. Escort vehicles Repealed

#### A. Equipment requirements.

- 1. Size of vehicles: Escort vehicles must be a passenger car or 2-axle truck not exceeding 20,000 pounds GVW and be capable of displaying warning devices as set forth in Appendix C.
- 2. Flags and signs: The vehicle shall display red flags 12 inches square on all corners of the vehicle and display an "OVERSIZE LOAD" sign facing traffic approaching the load being escorted.
- 3. Warning lights: Warning lights are required and must be in accordance with A.R.S. § 28 947(D).
- 4. Radio equipment: Each vehicle shall be equipped with a 2 way radio capable of transmitting and receiving voice messages over a minimum distance of 1/2 mile and be compatible with 2-way radios in accompanying escort and towing vehicles. Radios and operators shall have all necessary approvals and licensing required by the Federal Com-

#### Arizona Administrative Register

## **Notices of Proposed Rulemaking**

- munications Commission. Radios designed for use under Federal Communication Commission rules, Part 15, Subpart E are not acceptable.
- 5. Emergency equipment: Escort vehicles shall carry a minimum of 8 flares, a first-aid kit and 2 red flags 12 inches square on a staff. The first-aid kit shall conform to the federal Motor Carriers Safety Regulations, paragraph 393.96, or as amended.

#### **B.** Vehicle operation.

- 1. Escort vehicles shall have their headlights and warning lights on and operating at all times when in service.
- 2. When an escort vehicle is operated as a pilot vehicle (preceding an oversize load vehicle or a vehicle towing an oversize load) or operated as a rear escort vehicle (following an oversize load vehicle or a vehicle towing an oversize load) a distance of 1,000 feet shall be maintained between such load and the escort vehicle, except where visual contact with an escorted load cannot be maintained.
- 3. Within a city or town, the distance set forth in this subsection shall not be less than 100 feet nor more than 250 feet from the escorted load.
- 4. When traveling on a route where traffic signals control the movement of traffic, if an escort vehicle passes through an intersection and the load being escorted is required to stop, the escort vehicle will stop as soon as possible at the right-hand side of the road when the stop can be done in safety and not resume until the escorted load approaches to the required distance from the escorted vehicle. When the escort vehicle following an escorted load is required to stop at an intersection, the escorted load shall proceed in the same direction as planned and the escort shall resume its normal distance behind the escorted load as soon as possible after being allowed to proceed through the intersection.

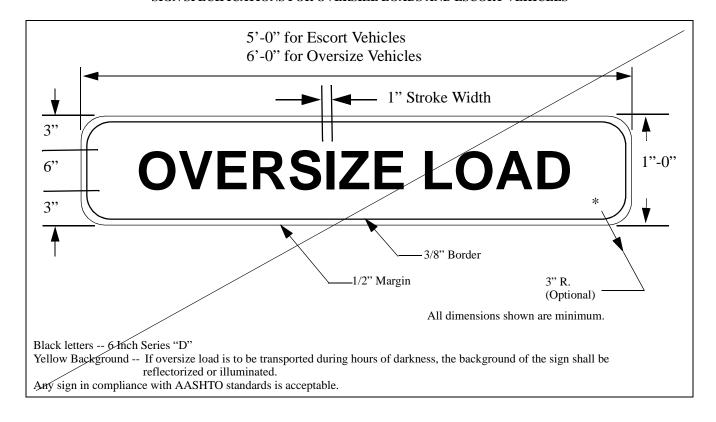
#### C. Oversize load signs.

- 1. Whenever in these rules an "OVERSIZE LOAD" sign is required, it shall comply with the sign specifications shown in Appendix C of these rules, except signs from other states are acceptable when in compliance with AASHTO standards.
- 2. On the vehicle or vehicle combinations upon which the oversize load is loaded, the signs shall be mounted on the forward-most part of the towing vehicle and the rear-most part of the load or vehicle on which the load is loaded in such a manner as to be clearly visible.
- 3. If a permit is issued for movement of a self-propelled oversize vehicle, the oversize load signs shall be mounted in the same manner as required in subsection (C)(2).
- 4. On escort vehicles the sign shall be mounted securely to the vehicle at least 5 feet above the pavement level, facing approaching traffic.
- Oversize load signs shall be removed or entirely covered when not in use.

## **D.** Escort requirements.

- 1. Overdimensional and/or overweight vehicles being moved under a Class A or Class B permit are, in general, exempt from any escort vehicle requirements, except for those state routes where roadway width or other conditions do not allow for safe movement. Escort requirements for restricted state routes are to comply with Appendix E.
- 2. Overdimensional and/or overweight vehicles being moved under Class C permits may require 1 or more escort vehicles. Escort requirements will be evaluated on a case by case basis and will be established by road width and condition, size of load, and other applicable features.
- Vehicles being moved under Class D permits may require escorts as determined by the requirements and procedures
  of R17-4-408(D).

## APPENDIX C SIGN SPECIFICATIONS FOR OVERSIZE LOADS AND ESCORT VEHICLES

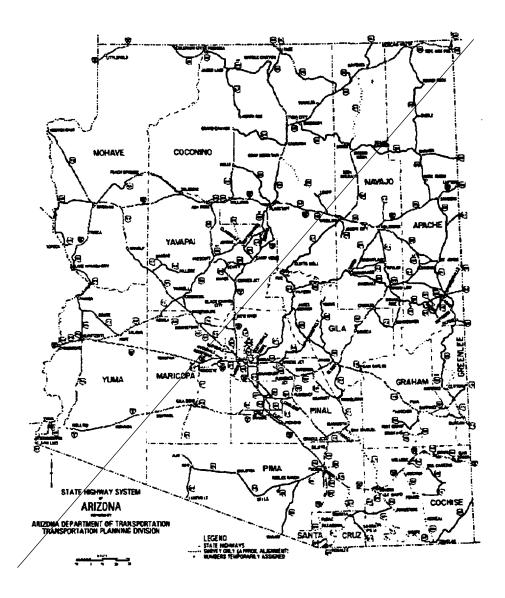


## APPENDIX E TABLE OF RESTRICTED ROUTES AND ESCORT REQUIREMENTS

	TIDEE OF RESTRI	Tereb Roe ILS III D LSee	AT AND OVER	ESCORT - SPECIAL
ROUTE	FROM	<del>TO</del>	A WIDTH OF	CONDITIONS
<del>US-60</del>	<del>Jet SR 61</del>	<del>Jet US 180</del>	<del>12</del>	<del>F/R</del>
<del>US 61</del>	<del>Jet US 60</del>	<del>Jet US 180</del>	<del>12</del>	<del>F/R</del>
<del>SR 61</del>	<del>Jet US 191</del>	State Line	<del>10</del>	<del>F/R</del>
SR 64	Milepost 237.1	<del>Jet US-89</del>	<del>12</del>	<del>F/R</del>
<del>US 66</del>	Jet I-40 (West Flagstaff	<del>Jet US 89</del>	<del>12</del>	<del>F/R</del>
	Traffic Interchange)			
<del>SR 67</del>	<del>Jet US A89</del>	North Rim	<del>10</del>	<del>F/R</del>
SR 68	<del>Jet SR 95</del>	MP 14	<del>12</del>	<del>F/R</del>
<del>SR 71</del>	<del>Jet US 60</del>	<del>Jet US 89</del>	<del>12</del>	<del>F/R</del>
<del>SR 72</del>	<del>Jet SR 95</del>	<del>Jet US 60</del>	<del>12</del>	<del>F/R</del>
<del>SR 73</del>	<del>Jet US 60</del>	Jet Fort Apache Road	<del>10</del>	<del>F/R</del>
<del>SR 77</del>	<del>Winkelman</del>	<del>Jet US 70</del>	<del>12</del>	<del>F/R</del>
<del>SR 77</del>	Show Low	<del>Holbrook</del>	<del>12</del>	<del>F/R</del>
<del>SR 78</del>	Jet SR 75 and US 191	State Line	<del>12</del>	<del>F/R</del>
<del>US 80</del>	<del>Douglas</del>	State Line	<del>12</del>	<del>F/R</del>
SR 82	<del>Sonoita</del>	<del>Jet US 80</del>	<del>12</del>	<del>F/R</del>
SR 83	<del>Sonoita</del>	Route End	<del>10</del>	<del>F/R</del>
SR 85	International Boundary	<del>Ajo</del>	<del>12</del>	<del>F/R</del>
<del>SR 86</del>	Why (Jet SR 85)	<del>Jet SR 286</del>	<del>12</del>	<del>F/R</del>
<del>SR 87</del>	MP 117.85 (McDowell)	Winslow	<del>12</del>	<del>F/R</del>
SR 88	Jet US 60 (Apache Jet)	MP 242.04 (Roosevelt)	(Over Legal)	See Note #1
SR 88	MP 242.04 (Roosevelt)	Jet US 60 (Miami)	<del>12</del>	<del>F/R</del>
<del>US 89</del>	<del>Jet US 93</del>	Jet SR 69 (Prescott)	<del>12</del>	<del>F/R</del>
US A89	Jet US 89 (Prescott)	Jet SR 179 (Sedona)	<del>12</del>	<del>F/R</del>
US A89	Jet SR 179 (Sedona)	Flagstaff	<del>10</del>	<del>F/R</del>
US A89	Jet US (Bitter Springs)	<del>Jacob Lake</del>	(Legal Only)	See Note #1
US A89	<del>Jacob Lake</del>	<del>Fredonia</del>	<del>12</del>	<del>F/R</del>
<del>US 93</del>	Hoover Dam	MP 1.02	<del>10</del>	<del>F/R</del>
<del>US 93</del>	<del>Wikieup</del>	<del>Jet SR 97</del>	<del>12</del>	<del>F/R</del>
<del>SR 95</del>	<del>Quartzsite</del>	MP 148.02	<del>12</del>	<del>F/R</del>
<del>SR 95</del>	MP 148.02	MP 154.88	<del>10</del>	<del>F/R</del>
<del>SR 95</del>	<del>Topock</del>	Needles Bridge Road	<del>12</del>	<del>F/R</del>
<del>SR 96</del>	<del>Jet SR 97</del>	Hillside	<del>10</del>	<del>F/R</del>
<del>US 163</del>	<del>Jet US 160 (Kayenta)</del>	State Line	<del>12</del>	<del>F/R</del>
<del>SR 170</del>	<del>Jet US 70</del>	Route End	<del>12</del>	<del>F/R</del>
<del>SR 179</del>	<del>Jet Interstate 17</del>	<del>Sedona</del>	<del>12</del>	<del>F/R</del>
<del>US 180</del>	<del>Jet SR 64</del>	<del>Flagstaff</del>	<del>12</del>	<del>F/R</del>
<del>US 180</del>	Jet US 60 (Springerville)	Jet US 191 (Alpine)	12	<del>F/R</del>
<del>SR 181</del>	<del>Jet US 191</del>	Chiricahua Nat'l Monument		<del>F/R</del>
<del>SR 186</del>	Dos Cabezas (MP 342.92)	Jet SR 181	<del>10</del>	<del>F/R</del>
<del>SR 187</del>	<del>Jet 387</del>	<del>Jet SR 87</del>	<del>12</del>	<del>F/R</del>
SR 188	Jet SR 88 (Roosevelt)	MP 255.44	(Legal Only)	See Note #1
SR 188	MP 255.44	Jet SR 87	<del>12</del>	<del>F/R</del>
<del>US 191</del>	Jet Interstate 40	<del>Jet US 160</del>	<del>12</del>	<del>F/R</del>
SR 260	Jet SR 87 (Payson)	Jet SR 277 (Overgaard)	<del>12</del>	<del>F/R</del>
SR 260	Indian Pine (MP 357.47)	Jet US 180	<del>12</del>	<del>F/R</del>
SR 264	<del>Jet US 160</del>	MP 471.29	<del>12</del>	<del>F/R</del>
SR 273	Jet SR 260	Jet SR 260	<del>10</del>	<del>F/R</del>
SR 277	Jet SR 260 (Heber)	Jet SR 77 (Snowflake)	<del>12</del>	<del>F/R</del>
SR 286	International Boundary	Jet SR 86	<del>12</del>	<del>F/R</del>
SR 288	Jet SR 88	Route End (Near Young)	(Legal Only)	See Note #1
SR 289	Jet Interstate 19	Route End	<del>10</del>	<del>F/R</del>
<del>SR 366</del>	MP 115	Route End (Graham Peak)	(Legal Only)	See Note #1
SR 373	<del>Jet SR 260</del>	Route End	<del>12</del>	<del>F/R</del>
SR 377	Jet SR 277	Jet SR 77	<del>12</del>	<del>F/R</del>
SR 386	Jet SR 86	Kitt Peak	<del>10</del>	<del>F/R</del>
SR 473	<del>Jet SR 260</del>	Route End (Hawley Lake)	<del>10</del>	<del>F/R</del>
SR 564	<del>Jet US 160</del>	Route End	<del>12</del>	F/R
<del>US 191</del>	MP 173.18	Jet US 180 (Alpine)	(Legal Only)	See Note #1
<del>US 191</del>	Saint Johns	Jet Interstate 40	<del>12</del>	<del>F/R</del>
A11 * .*	NOTE #1: Movements over le	egal size and weight will be con	isidered under Class C pe	ermits only.

MP - Milepost; Jet - Junction of Routes; F/R - Escorts at Front and Rear Abbreviations:

## APPENDIX E ESCORT REQUIREMENTS FOR CLASS A PERMITS



#### R17-4-456. Restrictions Repealed

## A. Restrictions on use of permits.

- 1. A permit shall be issued only for "daylight hours" defined in A.R.S. § 28-922 (sunrise to sunset).
- 2. No permit shall be issued for movement on Saturday, Sunday or on the following national holidays: New Year's, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day. If the above holiday falls on a Monday, the movement shall stop before noon the Friday preceding the national holiday.
- 3. Permits issued for operation of overweight vehicles whose loads are nonreducible, but which vehicles are capable of traveling at posted speed limits on the route to be followed, or for operation of trucks that do not exceed maximum lawful weight, height or length limitations but upon which is mounted a fixed boom of not more than 7 feet beyond the front of the truck (provided however, the length of the truck and boom do not exceed 40 feet) are exempt from the restrictions of R17 4 412(A)(1) and (2) and R17 4 412(F)(10) and (12).
- 4. Overweight only vehicles or loads and trailers not over 10 feet in width, or not over 14 feet in height or of not more than 10 feet of rear overhang, may be granted continuous operation with a permit without reference to R17 4-412(A)(1) and (2).
- 5. Mobile cranes and drill rigs not exceeding 14 feet in height, 11 feet in width, or 10 feet in overhang may be granted continuous operation without reference to R17-4-412(A)(1).

- 6. On permitted loads, no movement will be allowed during snow or icy conditions or any severe weather conditions which might cause such movement to become a hazard to traffic or damage the highways.
- 7. Each permit shall be issued for a single load over the route designated, from that point of origin to destination, except that when overdimensional unladen equipment is required for the purpose of transporting superheavy or nonreducible commodities, a single trip permit may be issued permitting the movement of unladen overdimensional equipment to pick up a load within the state and transport the load to destination within the state with superheavy or nonreducible commodities. Overdimensional equipment may be permitted to proceed or return to point of origin of movement within the state with a load thereon, provided such load is otherwise within legal limits for weight and dimension. Permits may not be issued to routinely transport legal loads on overwidth trailers.
- 8. Class C permits may be issued without reference to R17-4-412(A)(1) and (2). Each Class C permit will be evaluated on a case-by-case basis and applicable restrictions will be established by road width and condition, size of load, and any other applicable features.

#### **B.** Extensions for permits.

- 1. If the permittee finds, before or during the period covered by his permit, that the movement cannot be completed during that period, 1 extension of time may be permitted upon request by the permittee.
- 2. When circumstances arise making necessary a change in vehicles during the time period covered by a permit, a different vehicle may be substituted for the disabled vehicle upon request by the permittee.
- 3. No change on a permit may be made by telephone except when an emergency exists as determined by the permit supervisor. All changes on permits must be made and signed by an authorized agent except as stated above.
- C. Intracity movements. A permit may be issued for movement which is to be made entirely within the limits of any incorporated city, or town, or between adjoining cities or towns and upon which state routes therein are required to be traveled to complete the movement subject to the following provisions:
  - 1. The permit shall not be valid unless the requirements of the cities or towns which are involved are fully met.
  - 2. No movement will be allowed on a state route within such jurisdiction if the move can be made by the use of alternate non-state routes within the city, town or county, if equally feasible.

#### **D.** Maximum loads permitted on bridges.

- 1. A permittee shall not cross a bridge with a load in excess of the posted load limit of such bridge. An applicant (permittee) desiring to use a route involving a posted bridge or bridges shall detour the bridge or bridges by the best available means or shall submit an alternate route for approval.
- 2. An auxiliary (jeep) axle or axles may be used in combination with a semitrailer unit to increase the gross capacity but the axle group load shall not exceed that set forth in R17-4-409. Such an auxiliary axle shall be properly registered with the Motor Vehicle Division and in combination with a semitrailer may be considered a full trailer for the purpose of operation upon the highway.
- 3. No permit will be issued under this rule where the movement of overweight vehicles requires crossing bridges that have been identified by the Assistant State Engineer, Structures Section, as bridges restricted to any vehicles that exceed legal or less than legal gross vehicular weights.

#### E. Speed and operating restrictions.

- 1. No vehicle or vehicles being operated under the conditions or a permit shall be operated at a speed in excess of that stated on the permit. Maximum allowable speed shall be 55 MPH or the posted limit, whichever is less.
- 2. The permit supervisor may restrict the speed of any vehicle or vehicles being operated on a permit when it is necessary to restrict operation to specific speeds in order to prevent traffic hazards or damage to the highway.
- 3. Where specifically noted on the permit, a vehicle or vehicles operating under the conditions of an overweight permit shall make a full stop before entering upon any designated bridge and proceed across the bridge at the speed stated on the permit. No changing of gears will be permitted on bridges, except in emergency situations.
- 4. Where specifically noted on the permit, no other vehicles shall be allowed on the bridge at the same time as the overweight vehicle or vehicles. An overweight vehicle or vehicles must travel in the center of bridge roadway when so noted on the permit.
- 5. Flaggers must be provided to stop all traffic to execute the operational requirements for R17-4-412(E)(4) and (5).
- 6. Every oversize and overweight vehicle shall maintain a minimum distance of 2,000 feet from any other oversize or overweight vehicle traveling in the same direction on the same highway except when passing.
- General restrictions. When any vehicle or vehicles are being operated under the provisions of an overheight, overwidth or overlength permit, the following applicable restrictions may be shown on the permit.
  - 1. All overwidth vehicles or loads exceeding 9 feet in width shall display "OVERSIZE LOAD" signs.
  - 2. All overwidth loads and vehicles must be flagged with red flags 12 inches square or larger on extremities.
  - 3. All overwidth loads being transported which are over 14 feet wide shall be moved under Class C permits, and escort requirements shall be determined by an analysis of the load, roadway width and other operational conditions.
  - 4. All overlength loads being transported which are over 120 feet in length shall be moved under Class C permits, and escort requirements shall be determined by an analysis of the load, roadway width and other operational conditions.

- 5. All overheight loads being transported which are over 16 feet in height shall be moved under Class C permits, and escort requirements shall be determined by an analysis of the load, roadway width, available vertical clearance and other operational conditions.
- Overheight vehicles or loads operating under Class A permits shall guard against overhead wires, overhead highway
  facilities, and detour underpasses as required.
- 7. All loads or vehicles with an overhang in front or rear must be flagged or lighted as provided by A.R.S. § 28-935.
- 8. All loads or vehicles with an overhang of 20 feet or more in front require a front escort vehicle.
- 9. All loads or vehicles with an overhang of 20 feet or more in rear require a follow-up escort vehicle.
- 10. No oversize or overweight vehicles or loads will be authorized to use Interstate 17, 10, and State Route 360 within the various city limits of the Greater Phoenix area between the hours of 7 to 9 a.m. and 4 to 6 p.m.
- 11. No overheight vehicles or loads will be authorized to use Interstate 17 mainline through Phoenix between Northern Avenue and 19th Avenue.
- 12. No oversize or overweight vehicles or loads will be authorized to use Interstate 10 or 19 within the city limits of the Greater Tucson area between the hours of 7 to 9 a.m. and 4 to 6 p.m.
- 13. Alternate non-state routes shall be predetermined by the established rules and regulations of local authorities.
- 14. The permit supervisor may impose any additional restrictions to reduce traffic hazards.

#### G. Projecting loads Class A permits.

- 1. Loads which project from the side of hauling equipment (measured at right angles to the longitudinal axis of the hauling equipment) will be limited to a maximum of 3 feet of projection on either side of the hauling equipment, except for loads of less than 12 inches thickness measured vertically which will be limited to 2 feet of projection. Dunnage and blocking will be considered in the overall thickness of the load providing it extends to the full width of the load earried.
- 2. The hauling vehicle may not be altered by adding flaps or extensions to artificially increase its width in order to increase projection allowed in subsection (G)(1).
- All bulldozer blades and dozer arms which are more than 14 feet (measured at right angles to the longitudinal axis of the hauling equipment) shall be removed and transported in a manner so that they will create no traffic hazard.
- 4. Equipment having dozer blades shorter than those described in subsection (G)(3), may be transported under permit without detaching the blade from the tractor provided the dozer blade is firmly supported.
- 5. Any loads or equipment not meeting the requirements of R17-4-412(G) may be permitted under a Class C permit.

#### **H.** Special restrictions -- Class A or D permits for mobile cranes and drill rigs.

- 1. The maximum overall length, including boom, shall not exceed 100 feet.
- 2. Maximum front boom overhang shall not exceed 30' beyond the foremost part of the front of the vehicle.
- 3. Extra attachments to the boom for the purpose of transferring load to meet weight requirements shall not be permitted. The standard working load block, hook and cable tension ball assembly, commensurate with the rated capacity of the crane, are considered as working components and will be considered in load transfer. Such components shall be identified in the certification as provided for in R17-4 408(D)(2).
- 4. Booms suspended forward shall be tied down to the framework or bumper, or otherwise secured, while traveling on any state routes.
- 5. The maximum rear boom overhang for a boom not supported on a boom dolly or trailer shall not exceed 45' from the rearmost part of the vehicle.
- 6. The boom shall not exceed 60 feet measured from the center of the boom hinge pin (foot pin) to the center of the head pin (sheave pin).
- A trailer or dolly will be permitted only when the boom is attached to the crane upper works and is supported on the dolly or trailer.
- 8. Boom support cables must be slack with full weight of boom on the dolly or trailer.

## R17-4-457. Enforcement and liability Repealed

- A. Enforcement. Permits shall be revoked for misuse. Vehicle and load shall be parked clear of traffic and remain standing until such time as all requirements of these rules are met and a new permit issued prior to resumption of movement. Flagrant violations may cause permittee to have permit privileges suspended, subject to an administrative hearing.
- **B.** Liability for damage to highways or appurtenances; security for damages. Any damage done to the highways over which a permitted load is transported, including the roadway surface, signs, markers, railings, guards, delineators, overhead wires, structures and the like shall be paid for by the permittee causing said damage upon demand of the owner of the property damaged. To ensure payment, the Department may require the applicant for a permit to show proof of insurance which will pay for such damage. If no such proof is furnished, a cash deposit or bond in a form approved by the Department, of at least \$1,000.00 shall be required.
- C. Saving the state harmless. An applicant for a permit under these rules shall agree to hold the Director, the state of Arizona, and any of its departments, divisions, agencies, officers and employees harmless from all sums which the Director, the state of Arizona and any of its departments, divisions, agencies, officers or employees may be obligated to pay by reason

- of any liability imposed upon any of the above damages arising out of the issuance of a permit under these rules or arising out of any movement made pursuant to the permit or caused by any negligent act or failure to act committed by the permittee or any person employed by the permittee or any others for whose action the permittee is legally liable. The above sums shall also include in the event of litigation, court costs, expenses of litigation and a reasonable attorney's fee.
- **D.** Appeal. In the event the granting of a permit under these rules is refused, the applicant shall have the right to appeal to the Director, Arizona Department of Transportation by filing a written petition of appeal setting forth all facts pertaining to such application. Such appeal shall be made within 10 days of the refusal of a permit and shall be heard by the Director as soon thereafter as can be conveniently done.
- E. Delegation of authority. Be it further resolved that the administration of these rules shall be under the direction of the Director, Arizona Department of Transportation, and may be delegated by him to any Division of the Arizona Department of Transportation that he deems appropriate.

#### R17-4-458. Envelope Permit Point System Repealed

- **A.** The following definitions shall apply to this Section:
  - 1. "Allowable weight" means the weight loading for a specific axle configuration or total weight which is within the limits set forth by rule and statute.
  - "Director" means the Assistant Director of the Arizona Department of Transportation, Motor Vehicle Division, or the Assistant Director's designee.
  - 3. "Established or principal place of business" means an Arizona mailing address at which correspondence from the Director can be received and a physical site within Arizona at which business records are maintained.
  - 4. "Final determination" means the conclusive resolution of the rights of the parties to an action beyond all appeal.
  - 5. "Permitted load" means an overdimensional or overweight vehicle or cargo on the vehicle which is being transported pursuant to an envelope permit.
  - 6. "Permitted speed" means the maximum speed allowed as shown on the envelope permit or 55 miles per hour, which ever is less.
  - 7. "Permittee" means any entity which possesses an envelope permit.
  - 8. "Posted speed" means the maximum speed allowed in a speed zone as designated by a sign within the zone.
  - 9. "Reducible load" means a cargo which can be easily dismantled or divided.
  - 10. "Restricted bridge structure" means a bridge which has been identified by the State Bridge Engineer, Arizona Department of Transportation Intermodal Transportation Division Bridge Group, as restricted to any vehicle that exceeds legal weight pursuant to A.R.S. § 28 1008, 28 1009, or 28 1009.01, or a lesser weight as designated by the State Bridge Engineer.
  - 11. "Restricted highway" means a highway which has been identified by the Assistant State Engineer for Maintenance, Arizona Department of Transportation Intermodal Transportation Division, as restricted to any vehicle that exceeds legal weight pursuant to A.R.S. § 28-1008, 28-1009, or 28-1009.01, or a lesser weight as designated by the Assistant State Engineer.
  - 12. "Violation" means a breach of the law for which a written warning, driver vehicle examination report, or citation is issued by a law enforcement officer and from which a court conviction may or may not result.
- **B.** Point system. For the purpose of determining under A.R.S. § 28 1085.03 whether an envelope permit should be suspended or revoked, the following violations are each assigned the following points:
  - 1. Minor violations 1 point:
    - a. Improper or inadequate flagging pursuant to R17-4-411 and R17-4-412,
    - b. Improper or inadequate lighting pursuant to R17-4-411 and R17-4-412,
    - Improper or inadequate Oversize Load signs pursuant to R17 4 411 and R17 4 412,
    - d. Failure to remove or cover Oversize Load signs when not in use pursuant to R17 4 411,
    - e. Use of improperly equipped escort vehicle pursuant to R17-4-411,
    - f. Failure to maintain proper distance from another oversize payload pursuant to R17-4-412,
    - g. Escort vehicle not maintaining proper distance pursuant to R17 4 411, and
    - h. Exceeding permitted speed but not exceeding posted speed pursuant to R17-4-412.
  - 2. Major violations 3 points:
    - a. Moving a permitted load on a curfew-restricted highway during curfew hours pursuant to R17-4-412;
    - b. Failure to display flags or lights when required pursuant to R17 4 412 and A.R.S. Title 28, Chapter 6, Article 16;
    - e. Failure to display Oversize Load sign when required pursuant to R17-4-412;
    - d. Exceeding the posted speed limit; and
    - e. Moving a reducible payload with a permit.
  - 3. Weight Violations, 1-36 points:
    - a. Gross vehicle weight exceeds weight as allowed by R17-4-409, A.R.S. § 28-1008, 28-1009, or 28-1009.01:
      - i. Less than 2% over allowable weight 1 point;
      - ii. 2% but less than 4% over allowable weight 2 points;

- iii. 4% but less than 6% over allowable weight 3 points;
- iv. 6% but less than 9% over allowable weight 6 points;
- v. 9% but less than 12% over allowable weight 10 points;
- vi. 12% but less than 15% over allowable weight 18 points; and
- vii. 15% or more over allowable weight 36 points.
- b. For each axle group exceeding weight as allowed by R17-4-0409. A.R.S. § 28-1008, 28-1009, or 28-1009.01:
  - i. Less than 4% over allowable weight 1 point;
  - ii. 4% but less than 6% over allowable weight 2 points;
  - iii. 6% but less than 9% over allowable weight 4 points;
  - iv. 9% but less than 12% over allowable weight 6 points;
  - v. 12% but less than 15% over allowable weight 10 points; and
  - vi. 15% but less than 20% over allowable weight 18 points.
  - vi. 20% or more over allowable weight 36 points.
- 4. Flagrant Violations 36 points:
  - a. Moving a permitted load on a highway made hazardous by inclement weather or when use of tire chains is mandatory pursuant to R17-4-412 or by order of a law enforcement agency;
  - b. Exceeding oversize limitations of weight (250,000 lbs), width (14 feet), height (16 feet), or length (120 feet);
  - e. Falsifying permit application;
  - d. Altering permit;
  - e. Failure to pay repair cost for damage done to a highway, highway structure, or highway appurtenance pursuant to R17-4-413 and A.R.S. § 28-1013;
  - Moving a permitted load on a restricted highway or restricted bridge structure; and
  - Failure to use required escort vehicles pursuant to R17-4-411.

#### C. Enforcement provisions.

- Within 30 days of occurrence, each law enforcement agency shall forward a copy of each written violation of an envelope permit to: Motor Vehicle Division Central Permits, 1801 W. Jefferson, Mail Drop 524M, Phoenix, Arizona 85007-3224.
- 2. When a permittee receives 14 or more points within any 12-month period for violation of applicable statutes, ordinances, or rules, the Director shall impose the following sanctions:
  - a. One-week suspension for 14-19 points,
  - b. Two-week suspension for 20-29 points,
  - e. Four-week suspension for 30-35 points, or
  - d. Up to 1-year suspension for over 35 points.
- 3. If there has been such frequency of violations of statutes, rules, or ordinances as to indicate a flagrant disregard for the law or the safety of the public, the permit shall be revoked for 2 years.
- 4. If the permittee does not have an established or principal place of business, the permit shall be revoked.
- 5. If the permittee failed or is failing to maintain records that are required to be maintained, the permit shall be revoked.
- 6. The permittee shall surrender the permit to the Director within 72 hours after an order of suspension or revocation becomes effective.
  - a. Failure to surrender the permit within 5 working days of oral or written demand by the Director shall result in a 1 year suspension of envelope permit privileges in addition to any other penalty assessed.
  - b. The Director shall retrieve the permit if the permittee fails to return the permit within the prescribed time.
- 7. The permittee shall not be entitled to the issuance of an envelope permit during the period of suspension or revocation.

#### **D.** Notice of assessment of points; Hearing and Appeal.

- 1. The assessment of points shall be preceded by a notice of intent to assess points. The notice shall be sent by 1st-class mail, postage prepaid, to the address of the permittee as shown on the application for an envelope permit.
- 2. The notice shall inform the permittee of the right to hearing on the assessment and the procedure for requesting a hearing.
- 3. The permittee may request a hearing within 15 days after date notice was mailed by mailing or delivering a written request to: Executive Hearing Office, Motor Vehicle Division, 1801 West Jefferson, Phoenix, Arizona 85007.
- 4. The points assessment shall become effective 25 days after the mailing date of the notice unless a request for hearing is received within 15 days of postmark date of notice.
- 5. Hearings, rehearings, and appeals shall be noticed and conducted in accordance with A.R.S. § 41 1061 et seq. and A.A.C. R17-4-901 et seq.
- E Notice of Denial, Suspension, and Revocation; Hearing and Appeal.
  - 1. A notice and order of suspension or revocation shall be sent by 1st-class mail, postage prepaid, to the address of the permittee as shown on the application.

- The notice of suspension or revocation shall require the permittee to appear at a specified time and place to show
  cause why the envelope permit should not be suspended or revoked.
- 3. The permittee shall file a response within 15 days after postmark date of notice by mailing or delivering a written response to: Executive Hearing Office, Motor Vehicle Division, 1801 West Jefferson, Phoenix, Arizona 85007.
- 4. If a response to the notice and order of suspension or revocation is not received by the hearing office within 15 days of postmark date of notice and order, the order of suspension or revocation is final.
- 5. If the application for a permit is denied, the denial shall be sent by 1st-class mail, postage prepaid, to the address of the applicant as shown on the application. The notice of denial shall inform the applicant of the right to a hearing and the procedure for requesting a hearing.
- Hearings, rehearings, and appeals shall be noticed and conducted in accordance with A.R.S. § 41 1061 et seq. and A.A.C. R17 4-901 et seq.
- 7. An applicant for an envelope permit who is denied a permit shall be allowed to reapply for an envelope permit. A permittee whose permit is revoked shall be allowed to reapply for an envelope permit after the revocation period has terminated. Upon reapplication, the applicant has the burden of showing by a preponderance of the evidence that the underlying cause for the revocation or denial has been removed.

#### F. Recordkeeping.

- The permittee shall retain at place of business: bills of lading, shipping manifests, time cards, or invoices for all payloads moved pursuant to an envelope permit. Each record shall be retained for a period of 3 years from date of document.
- 2. The retained document shall contain, at a minimum, the following information:
  - a. The date the document was prepared,
  - b. The names of the shipper and receiver,
  - c. The origin and destination of the permitted load,
  - d. The dates the permitted load was in transit, and
  - e. The route used in transit.

#### R17-4-459. Private earrier manifests Repealed

On and after the 16th day of July 1956, all private carriers shall, in addition to the requirement of A.R.S. § 40-654, leave a copy of all manifests and/or delivery instructions or other similar documents at the Motor Vehicle Checking Station at point of entry.

## R17-4-460. Mobile home - highway 93 restrictions Repealed

No mobile homes exceeding 10 feet in width shall be moved between the Arizona-Nevada State Line and milepost 14.80 of state highway Route 93.

#### R17-4-461. Definition of agricultural products Repealed

For the purposes of A.R.S. §§ 28 412.5(B), 28 501(I) and 28 1238, the term "Agricultural Products" means plants, animals and plant or animal products produced in farming operations that are in their unmanufactured or unprocessed states.

#### R17-4-462. Mobile homes - prepaid oversize permits Repealed

- A. Mobile homes exceeding maximum size limits, but not in excess of 14 feet in width, that are to be moved on highways under the jurisdiction of the Department of Transportation shall have an Arizona Department of Transportation oversize permit for each single move. These permits shall be acquired through a prepaid method.
- B. Requirements.
  - Only Arizona base-plated, licensed, mobile home dealers, transporters and manufacturers shall move oversize mobile homes.
  - 2. All applicable Ad Valorem taxes and fees shall be paid to date. Proof shall be provided through the county assessor of the county in which the mobile home is located.
    - a. The assessor shall, upon request, issue a clearance form which indicates all fees and Ad Valorem taxes have been paid.
    - b. This clearance shall be valid for no more than 30 days.
  - 3. All dealer operations authorized under a single license and dealer bond and all transporter operations authorized under a single license and bond shall be considered as single operations, regardless of the number of locations from which vehicles are dispatched or at which locations prepaid permits are completed.
- C. Applications for prepaid oversized permits. Request for permits shall be made by completing an Arizona Department of Transportation application. Applications shall be available at all ADOT permit offices. The applications shall include the following information:
  - 1. Date:
  - 2. Name of applicant;
  - 3. Dealer/transporter/manufacturer licensing number;

- 4. Motor Carrier number:
- 5. Use Fuel number:
- 6. Addresses and phone numbers of the business/firm and their satellite offices;
- Names, addresses, telephone numbers and signatures of those employees designated by the employer to have signature authority;
  - a. The dealer, transporter or manufacturer shall designate which of their employees have the authority to sign oversize mobile home permits.
  - b. The employer shall notify the Motor Vehicle Division, permit office in writing when the signature authority has been terminated.
- 8. The towing vehicle make, license number, type and weight class;
- 9. Permit numbers issued by the Arizona Department of Transportation;
- 10. A statement that denotes applicant has read Arizona rule R17-4-423;
- 11. The applicant's signature.
- **Prepaid oversize permits.** 
  - 1. Permits are purchased at any Arizona Department of Transportation permit office or by mail from: Motor Vehicle Division, Permit Section, 1801 West Jefferson (Lobby), Phoenix, Arizona 85007.
    - a. Postage costs shall be borne by the applicant.
    - Permits shall be issued in books of 50 sets. A set shall have an original and 2 copies and shall cost \$15.00 each, totaling \$750.00 per book.
  - 2. The dealer, transporter or manufacturer shall complete 1 permit set for each movement of an oversize mobile home prior to the actual movement. The permit set shall include:
    - a. The origin and destination,
    - b. The exact route to be traveled,
    - The mobile home serial number.
    - d. The towing vehicle license number,
    - e. The length and width of the mobile home,
    - f. The combined length of the mobile home and the towing vehicle,
    - g. The name of the registered owner of the mobile home.
- **E.** Permit distribution. The permit set shall be distributed as follows:
  - 1. The original shall be mailed to the Motor Vehicle Division permit office on the day of issuance.
    - a. If a clearance form from the county assessor has been provided, it shall be attached to this copy of the prepaid permit.
    - b. The clearance shall indicate that all Ad Valorem taxes and fees are satisfied.
  - 2. The 1st copy (yellow) shall be affixed to the rear window of the oversize mobile home, or if there is no rear window, it shall be affixed to the rearmost left side window and shall be clearly visible from outside the vehicle.
  - 3. The 2nd copy shall be retained in the original binding and shall be submitted to the Motor Vehicle Division permit office immediately following completion of the entire book of permit sets.
- F. Validity. The permit shall be valid for 96 hours or 4 days. If the mobile home is taken out-of-state during this period of time, the permit shall no longer be valid.
- Restricted routes. Dealers, transporters and manufacturers shall ensure compliance with the specifications as listed in the Table of Restricted Routes and Requirements as set forth in rule R17-4-411 (Appendix E).
- H. Enforcement. The driver of a towing vehicle moving an oversize mobile home shall, upon request of any peace officer or motor vehicle officer, surrender the oversize permit for inspection.
  - 1. Permits which are incomplete, not properly filled out or not signed by the appropriate designee shall be deemed void.
  - Further movement shall not be allowed, except as directed by the officer for the safety of the motoring public and the
    orderly operation of traffic, until a new permit has been approved and issued by the Motor Vehicle Division permit
    office.
- **H.** Penalties. Any dealer or employee, transporter or employee, manufacturer or employee who fails to comply with the provisions of this rule or who does not comply with the provisions set forth in rule R17-4-411 and R17-4-412, while moving a vehicle authorized by the completion of a prepaid permit, shall have the privilege of using such permits suspended by the Director of Motor Vehicle Division for a period of 1 year. A 2nd such failure of compliance shall result in a permanent revocation of the privilege of the use of prepaid permits.
- J. Permit Denials. The Director may deny permits:
  - 1. When movement of a mobile home would be on those highways which would not bear the weight or accommodate the size of the vehicle.
  - 2. When the mobile home is routed over highways under repair.
  - 3. When movement would be impaired due to hazardous weather conditions.
  - 4. When required fees and taxes have not been paid.
- K. Appeal.

- 1. When a request for a prepaid permit set is denied, the applicant shall have the right to appeal by submitting a written petition to the Director of the Department of Transportation within 10 days of the denial. The petition shall include the following:
  - a. Name and address of applicant,
  - b. Date.
  - c. Date of denial,
  - d. A brief description of the reasons applicant believes the request should not have been denied.
- 2. The Director's decision in this matter shall be final.

## R17-4-463. Oversize permits - round trip authority Repealed

Each permit shall be issued for a single trip and single load over the route designated, from the point of origin to destination, except that when overdimensional unladen equipment is required for the purpose of transporting superheavy or nonreducible commodities, a single trip permit may be issued permitting the movement of unladen overdimensional equipment to pick up a load within the state and to transport the load to destination within the state. When movement originates with vehicles loaded within the state with superheavy or nonreducible commodities, overdimensional equipment may be permitted to return to point of origin of movement within the state with the vehicle owner's equipment loaded thereon, provided such load is otherwise within legal limits for weight and dimension, and provided further that no such load shall consist of anything other than equipment actually owned by, or leased to (but not for purposes of such transportation) the owner of the overdimensional vehicle.

#### R17-4-464. Overheight permits Repealed

#### A. Applicability of rules:

- 1. These rules apply to the movement of any vehicle or combinations thereof, which exceed the laden height allowed by law.
- 2. A permit issued under authority of these rules shall not allow the holder thereof to move such vehicle or combinations thereof, if it or they exceed maximum lawful width, weight or overhang.
- **B.** Conditions of permit, class and cost of permits:
  - 1. Excess height vehicle and load may not exceed 14 feet in height when laden.
  - 2. The issuance of permits under authority of law and these rules or the furnishing of information regarding overhead structures or limitations of overhead clearance shall not be construed by the permittee as relieving the permittee, his agent, employee or any other person acting on his behalf, from the responsibility of insuring the permitted vehicle or load may safely pass under such overhead structures or other limitations of overhead clearance.
  - 3. There shall be 2 classes of permits as follows:
    - a. Annual, which shall be valid for 1 year from date of issuance.
    - b. Single Trip, which shall be valid for 1 movement only. This permit shall expire upon the completion of the movement or 4 days from date of issuance, whichever 1st occurs.
- C. Who shall issue permits: There is hereby designated a Permit Supervisor and such other employees of the Department as are necessary to carry out the provisions of these rules and who are authorized to issue permits when provisions of these rules have been met.
- **D.** Applications for permit:
  - 1. Applications shall be made on a form prescribed by the Department and signed by the carrier or its authorized agent.
  - 2. Permits granted hereunder are valid only on state routes (whether designated as state or federal highways) and the right to use county highways or city streets is neither granted or implied. Permits for use other than state routes shall be procured from the proper local authority.
  - 3. Permits shall contain the following information:
    - a. Make of motor vehicle
    - b. Arizona or home state license number
    - e. Vehicle ID number
    - d. Unit number
    - e. Owner
    - f. Address
    - g. Detailed description of any other vehicles to be part of the load or the load to be transported, if the application is for a Single Trip permit.
- E. Restrictions on use of permit:
  - 1. Permits issued are only valid for the motor vehicle specified on the permit and are not transferable.
  - Vehicle and load must comply with legal width, length, overhang and weight requirements as listed in A.R.S. Title 28, Chapter 6, Article 18.
  - 3. Permits shall be revoked for misuse.
  - 4. It shall be the responsibility of the permittee to detour all structures under which the permitted vehicle or load may not safely pass.

- F. Liability for damage to highways or appurtenances; security for damages: Any damage done to the highways over which a permitted load is transported, including the roadway surface, markers, signs, railing guards, delineators, overhead wires, structures and the like shall be paid for by the permittee causing said damage upon demand of the owner of the property damaged. To ensure payment, the Director, Department of Transportation, may require the applicant for a permit to show proof of insurance which will pay for such damages. If no such proof is furnished, a cash deposit or bond, in a form approved by the Director, Department of Transportation, of at least \$1,000.00 shall be required.
- Saving the state harmless: An applicant for a permit under these rules shall agree to hold the Director, Department of Transportation, State of Arizona and any of its departments, divisions, agencies, officers and employees harmless from all sums which this Director, Department of Transportation, State of Arizona, and any of its departments, divisions, agencies, officers or employees may be obligated to pay by reason of any liability imposed upon any of the above for damages arising out of the issuance of a permit under these rules or arising out of any movement made pursuant to the permit or caused by any negligent act or failure to act committed by the permittee or any person employed by the permittee or any others for whose act the permittee is legally liable. The above sums shall also include, in the event of litigation, court costs, expenses of litigation and a reasonable attorney's fee.
- **H.** Appeal: In the event the granting of a permit under these rules is refused, the applicant shall have the right to appeal to the Director, Department of Transportation, by filing a written petition of appeal setting forth all facts pertaining to such application. Such appeal shall be made within 10 days of the refusal of permit and shall be heard by the Director as soon thereafter as can be conveniently done.

#### R17-4-465. Multiple trailer combination permits Repealed

#### A. Permit procedure.

- 1. Applicants requesting permits to operate multiple trailer combinations must obtain and have on file an annual "Certification of Compliance with Regulations" prior to issuance of any permits to operate multiple trailer combinations.
- 2. Applicants may obtain "Certification of Compliance with Regulations" by writing to:

Arizona Department of Transportation

**Motor Vehicle Division** 

Oversize Permit Section

1801 West Jefferson

Phoenix, Arizona 85007

- 3. Upon receipt of the application and a copy of rules and regulations, the applicant must submit the application in triplicate. When approved, the original will be placed on file with the Motor Vehicle Division, the duplicate will be forwarded to Port of Entry, and the triplicate will be returned to the applicant.
- 4. Upon return receipt of the approved "Certification of Compliance with Regulations," applicants may apply for and obtain permits authorizing the operation of combinations of vehicles not over 105 feet in length at the Port of Entry upon entering the state.
- 5. Permits will be issued at the Port of Entry only, except for prepaid permits.
- 6. Prepaid permits may be obtained from the Permit Section in pads which contain 25 permits each, at a fee as prescribed in the A.R.S. § 28 1011(G). Applicant will complete all information required except issue and expiration dates. The original and the vehicle copy will be presented at the Port of Entry for validation, and the original will be mailed to the Permit Section by the Port of Entry.
- 7. Types of permits.
  - a. Single trip valid for 1 specific trip only.
  - b. Thirty day permits valid for 30 days from date of issue.
  - e. Fees shall be prescribed in the A.R.S. § 28-1011(G).

#### B. General:

- 1. No multiple trailer combinations under the provisions of this rule will be permitted unless covered by a Special Transportation Permit issued to the operating company by the Department of Transportation. No driver may operate such a combination unless he meets the criteria established in subsection (E).
- 2. Any Special Transportation Permit shall be revoked by the Arizona Department of Transportation for failure of the company or any of its drivers to comply with the rules and regulations contained herein. Multiple violations by a company may result in a suspension of the company's privilege to operate multiple trailer combinations. Notice of suspension will be by certified mail. Appeal of suspension will be in accordance with subsection (M) of these rules. In addition to these specific rules, all equipment operated, all drivers employed, and all operating procedures must comply with the Motor Carrier Safety Regulations, Parts 390 397, U.S. Department of Transportation, Federal Highway Administration, and subsequent changes thereto, except where the rules contain special instructions which are more stringent than and not in conflict with the Motor Carrier Safety Regulations.
- 3. Any company approved to operate multiple trailer combinations under a Special Transportation Permit must provide the Arizona Department of Transportation with such reports and data on accidents, safety inspections, equipment, and any other information the Department may require.

- 4. No Special Transportation Permit will be issued to any company which does not have an established and aggressive safety program.
- 5. Multiple trailer combinations operating under a Special Transportation Permit shall be limited only to interstate highways within this state that connect with 2 states which both allow multiple trailer combinations and such interstate highway does not exceed forty miles between the connecting states.
- 6. The Arizona Department of Transportation, through its agents and the Department of Public Safety, may restrict or prohibit operations during times or periods when, in their judgment, traffic, weather, or other safety considerations make such operations unsafe or inadvisable. Triple trailers shall not be dispatched during adverse weather or pavement conditions. Movement is prohibited when road surfaces, due to ice, snow, frost or rain, present a condition which may be hazardous to the combination or to other highway users.
- C. Equipment: In addition to subsection (B)(1), the following rules will apply to all units in the combination as applicable:
  - 1. Power. All truck tractors shall be powered to provide adequate acceleration ability and hill climbing ability under normal operating conditions, and to operate on level grades at speeds compatible with other traffic. The ability to maintain a minimum speed of 20 m.p.h., under normal operating conditions on any grade over which the combination is operated, is required.
  - 2. Traction. All truck tractors shall have adequate traction to maintain a minimum speed of 20 m.p.h. under normal operating conditions on any grade over which the combination is operated and to be able to resume a speed of 20 m.p.h. after stopping on any such grade and, except in extreme road or weather conditions, to negotiate at any legal speed all grades encountered.
  - 3. Tires. Conventional 12-ply tires which give a "hard" ride are recommended. The use of so-called low pressure or extra width tires are prohibited unless approved by the Arizona Department of Transportation.
  - 4. Fifth wheel. A heavy duty fifth wheel is required. All fifth wheels must be clean and lubricated with a light duty grease prior to each trip. The fifth wheel must be located in a position which provides adequate stability.
  - 5. Pickup plates. Pickup plates must be of equal strength to the fifth wheel.
  - King pin. The king pin must be of a solid type and permanently fastened. Screw out or folding type king pins are prohibited.
  - 7. Pintle hook and eye. All hitch connections must be of a no-slack type, preferably air-actuated ram. Air-actuated hitches which are isolated from the primary air transmission system are recommended.
  - 8. Drawbar. The drawbar length should be the practical minimum consistent with the clearances required between trailers for turning and backing maneuvers.
  - 9. Axles. Axles must be those designed for the width of the body.
  - 40. Brakes. All braking systems must comply with the state and federal requirements. In addition, fast air transmission and release valves must be provided on all semitrailer and converter dolly axles. A brake force limiting valve, sometimes called a "slippery road" valve, may be provided on the steering axle. Indiscriminate use of engine retarder brakes is prohibited.
  - 11. Mud flaps or splash guards. As required in A.R.S. § 28 958.01.
- **D.** Combinations: The following multiple trailer combinations, when approved by the Director, Arizona Department of Transportation, may be operated under a Special Transportation Permit. All multiple trailer combinations listed below must have at least 6 axles and not more than 9 axles and shall consist of not more than 3 cargo units.
  - 1. A truck tractor, semitrailer and 2 trailers, which have an overall combination length not to exceed 105 feet. A semi-trailer used with a converter dolly is considered to be a trailer. Semitrailers and trailers must be of approximately equal length.
  - 2. A truck and 2 trailers which have an overall combination length not to exceed 105 feet. The trailers must be of approximately equal length.
  - 3. A truck tractor and 2 trailers of approximately equal length having an overall combination length not to exceed 105 feet.
  - 4. A truck tractor and 2 trailers, 1 of which cannot exceed 45 feet and the other which cannot exceed 29 feet nor be less than 26 feet, having an overall combination length not to exceed 90 feet. The lighter trailer must always be operated as the rear trailer except when the gross weight differential with the other trailer does not exceed 5,000 pounds.
  - 5. An auto transporter combination consisting of a truck and 2 stinger steered semitrailers not to exceed 105 feet in overall length.

#### E. Drivers:

- 1. The driver must fully comply with the driver's requirements set forth in the Motor Carrier Safety Regulations of the U.S. Department of Transportation and Title 28, Arizona Revised Statutes.
- 2. The driver must have had special instruction and training in the operation of any multiple trailer combination as listed in paragraph 391.31(d), Motor Carrier Safety Regulations, prior to operating any such combination on a highway. The driver will be trained by an experienced triple trailer driver through special instructions or by traveling with the new driver until such time as he is deemed adequately qualified on the use and operation of triple trailer combinations.

- The driver must be a paid employee of the company holding the Special Transportation Permit under direct supervision and responsibility of the company.
- 4. The responsibility for strict compliance with these driver requirements shall be borne equally by both the driver and the company.
- **F.** Speed: The maximum speed for any multiple trailer combination under a Special Transportation Permit shall not exceed legal or the posted speed limit if lower.
- G. Stability: All multiple trailer combinations must be stable at all times during normal braking and normal operation. A multiple trailer combination when traveling on a level, smooth, paved surface must follow in the path of the towing vehicle without shifting or swerving more than 3 inches to either side when the towing vehicle is moving in a straight line.
- **H.** Weight restrictions: The weight on any single axle or tandem axles shall not exceed the weights in accordance with A.R.S. § 28-1009.01, the total gross weight of the vehicle cannot exceed that which is provided in the following formula, or 111,000 pounds, whichever is less.

W = 500(LN/N - 1 + 12N + 36)

W = Overall gross weight on any group of 2 or more consecutive axles to the nearest 500 pounds.

L = Distance in feet between the extreme of any group of 2 or more consecutive axles, when

fraction is 1/2 foot or more the next larger number will be used.

N = Number of axles in the group under consideration.

- 4. Operational procedures: The following operating restrictions shall be met by all vehicle combinations governed by these rules:
  - 1. Minimum distance of 500 feet shall be maintained between combinations and other trucks except when overtaking and passing. In the event that the volume of other faster moving vehicles traveling in the same direction becomes heavy, the minimum distance between combinations shall be increased to increase the safety of passing maneuvers.
  - 2. Except when passing another vehicle traveling in the same direction, all multiple trailer combinations shall be driven in the right-hand traffic lane.
  - 3. When disabled for any reason other than an accident, it should be parked off the pavement or as far off the travelway as possible.
- 4. Accidents: Notwithstanding other state and federal requirements for reporting motor vehicle accidents, all reportable accidents involving a multiple trailer combination operated under a Special Transportation Permit must be reported to the Permit Section within 10 days of the date of the accident.
- **K.** Liability for damage to highways of appurtenances; security for damages: Any damage done to the highways over which a permitted load is transported, including the roadway surface, signs, markers, railings, guards, delineators, overhead wires, structures and the like shall be paid for by the permittee causing said damage upon demand of the owner of the property damaged. To ensure payment, the Department may require the applicant for a permit to show proof of insurance which will pay for such damages. If no such proof is furnished, a cash deposit or bond, in a form approved by the Department, of at least \$1,000.00 shall be required.
- L. Saving the state harmless: An applicant for a permit under these rules shall agree to hold the Director, the State of Arizona and any of its departments, divisions, agencies, officers and employees harmless from all sums which the Director, the State of Arizona and any of its departments, divisions, agencies, officers or employees may be obligated to pay by reason of any liability imposed upon any of the above for damages arising out of the issuance of a permit under these rules or arising out of any movement made pursuant to the permit or caused by any negligent act or failure to act committed by the permittee or any person employed by the permittee or any others for whose action the permittee is legally liable. The above sums shall also include, in the event of litigation, court costs, and a reasonable attorney's fee.
- M. Appeal: In the event the granting of a permit under these rules is refused, the applicant shall have the right to appeal to the Director, Arizona Department of Transportation by filing a written petition of appeal setting forth all facts pertaining to such application. Such appeal shall be made within 10 days of the refusal of a permit and shall be heard by the Director as soon thereafter as can be conveniently done. Be it further resolved that the administration of these rules shall be under the direction of the Director of Transportation and may be delegated by him to the Division of the Arizona Department of Transportation that he deems appropriate.

#### R17-4-466. Movement of a vehicle laden with watercraft; special permit Repealed

- A. Applicability of this rule:
  - 1. These rules apply to the movement of any vehicle laden with a watercraft, as defined by A.R.S. § 5-301, upon the public highways if the total outside width of the vehicle and watercraft exceeds 8 feet but does not exceed 10 feet.
  - 2. Pursuant to A.R.S. § 28-1002 as amended, effective August 27, 1977, the Assistant Director for the Motor Vehicle Division, Arizona Department of Transportation may, upon application in writing by the owner upon a form furnished by the Division, issue an annual permit for the movement of a vehicle laden with a watercraft not exceeding 10 feet in width, upon payment of an annual permit fee as prescribed in A.R.S. § 28-1002(D).
  - 3. Movement of watercraft exceeding 10 feet in width shall be as prescribed in rule R17-4-51 (repealed).

- 4. Any watercraft in excess of 8 feet for which a single trip oversize permit is required shall be governed by rule R17-4-51 (repealed).
- 5. The special excess width permit shall not allow the holder thereof to move such a vehicle or combination thereof, if it or they exceed maximum weight, length or height permitted by law; and, shall be governed by the provisions of A.R.S. § 28-1011.

#### **B.** Restrictions on use of permits:

- 4. A vehicle for which a permit has been issued shall be equipped with brakes as prescribed in A.R.S. § 28-952.
- 2. Permits granted hereunder are valid only on State Routes (whether designated as state or federal highways) described in the permit by State Route number or otherwise. Crossing the state or federal highways shall not be deemed to be traveling on a state or federal highway, and the right to use county highways or city streets is neither granted nor implied. Permits for use of other than State Routes designated on the permit shall be procured from the proper local authority.
- 3. Permit applications for watercraft subjects to registration will be approved only when such watercraft are properly registered with the Arizona Game and Fish Department in accordance with the applicable laws of this state.
- 4. Trailers laden with watercraft. Permit application will be approved only when such trailers are properly registered with the Arizona Department of Transportation, Motor Vehicle Division. Permits issued are only valid for the trailer specified on the permit and are not transferable.
- 5. A permit shall be issued only for "daylight hours" as defined in A.R.S. § 28-922 (sunrise to sunset).
- 6. No permit shall be issued for movement on Saturday, Sunday, or on the following national holidays: New Year's, Memorial Day, Independence Day, Labor Day, Thanksgiving Day or Christmas Day. If the holiday falls on a Monday, the movement shall stop before noon the Friday preceding the national holiday.
- 7. The provisions of subsection (B)(6) shall not apply if the movement is within 10 miles of a launch area constructed and maintained for the purpose of launching and retrieving watercraft.

#### C General restrictions

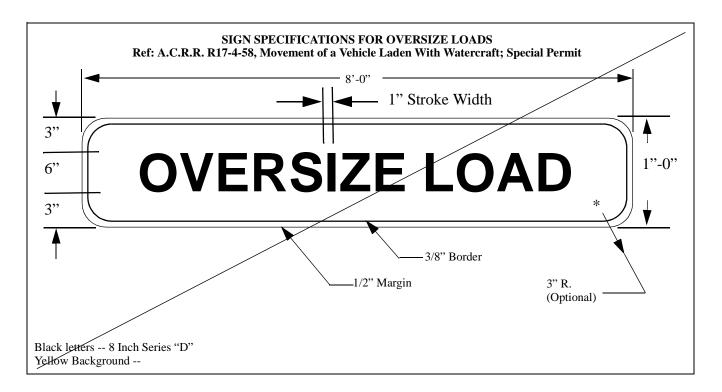
- 1. When any vehicle or vehicles are being operated under the provisions of a special excess width permit, the following applicable restrictions shall be shown on the permit. In addition, the Assistant Director may impose any additional restrictions which are indicated to reduce traffic hazards:
  - a. All overwidth vehicles or loads 9 feet wide or over shall display "Oversize Load" signs.
  - b. All overwidth loads and vehicles must be flagged with red flags 12 inches square or larger on all extremities.
  - e. No oversize vehicles or loads will be authorized to use Interstate 17 and 10 through Phoenix between Bell Road and Baseline Road between the hours of 7:00 A.M. to 9:00 A.M., and 4:00 P.M. to 6:00 P.M.
  - d. No oversize vehicles or loads will be authorized to use Interstate 10 or 19 within the city limits of Tueson between the hours of 7:00 A.M. to 9:00 A.M., and 4:00 P.M. to 6:00 P.M.
  - e. Alternate routes within city limits shall be predetermined by the established rules and regulations of local authorities.

#### **D.** Oversize load signs:

- 1. Whenever in these rules an "Oversize Load" sign is required, it shall comply with the sign specifications shown in Appendix A of these rules.
  - a. The oversize load sign shall be mounted on the rearmost of the watercraft or vehicle on which the watercraft is loaded in such a manner as to be clearly visible.
- 2. Oversize load signs shall be removed or entirely covered when not in use.
- 3. The present "Wide Load" worded signs will be acceptable until January 1, 1978.
- E. Liability for damage to highways or appurtenances; security for damages: Any damage done to the highways over which a permitted load is transported, including the roadway surface, markers, signs, railings, guards, delineators, overhead wires, structures and the like shall be paid for by the permittee causing such damage upon demand of the owner of the property damaged. To ensure payment, the Assistant Director for the Motor Vehicle Division, Arizona Department of Transportation, may require the applicant for a permit, to show proof of insurance which will pay for such damages. If no such proof is furnished, a cash deposit or bond, in a form approved by the Assistant Director for the Motor Vehicle Division, Arizona Department of Transportation, of at least \$1,000.00 shall be required.
- F. Saving the state harmless: An applicant for a permit under these rules shall agree to hold the Assistant Director for the Motor Vehicle Division, Arizona Department of Transportation, State of Arizona and any of its departments, divisions, agencies, officers and employees harmless from all sums which this Assistant Director, the Director of the Arizona Department of Transportation, State of Arizona, and any of its departments, divisions, agencies, officers or employees may be obligated to pay by reason of any liability imposed upon any of the above for damages arising out of the issuance of a permit under these rules or arising out of any movement made pursuant to the permit or caused by any negligent act or failure to act committed by the permittee or any person employed by the permittee or any others for whose act the permittee is legally liable. The above sums shall also include in the event of litigation, court costs, expenses of litigation and a reasonable attorney's fee.

- G. Appeal: In the event the granting of a permit under these rules is refused, the applicant shall have the right to appeal to the Assistant Director for the Motor Vehicle Division, Arizona Department of Transportation, by filing a written petition of appeal setting forth all facts pertaining to such application. Such appeal shall be made within 10 days of the refusal of permit and shall be heard by a hearing officer appointed by the Assistant Director for the Motor Vehicle Division, Arizona Department of Transportation as soon thereafter as can be conveniently done.
- H. The provisions of rule R17-4-51 (repealed): Relating to the movement of overdimensional and overweight vehicles, shall likewise apply to the movement of motor vehicles laden with watercraft.

## APPENDIX A



#### R17-4-467. Weight restrictions on Mexican Hay Lake Road Repealed

- A. A.R.S. § 28-1012(D) authorizes the Arizona State Highway Commission to impose restrictions as to the weight of vehicles operated upon any highway under the jurisdiction of the Commission.
  - 1. By virtue of said statutory provision, the State Highway Director recommends that such restriction be imposed on the following State Route to assure against undue damage to the road foundation, surface and structures.
- **B.** In the interest of public necessity, safety, and convenience, the State Highway Director recommends that a maximum gross weight restriction be imposed on Mexican Hay Lake Road, a portion of State Route 273, being more particularly described as follows:
  - 1. Beginning at a point in the South half of Section 17, Township 6 North, Range 28 East, at Highway Engineer's Station 000.00; thence in a Northerly direction to a point in the North half of Section 12, Township 8 North, Range 28 East, at Highway Engineer's Station 129.40, at its intersection of State Route 73.
  - 2. A vehicle maximum gross weight restriction of 35,000 pounds be established on Mexican Hay Lake Road.
- 3. The State Highway Department is authorized and directed to post appropriate signs of said restrictions.

## NOTICE OF PROPOSED RULEMAKING

## TITLE 17. TRANSPORTATION

# CHAPTER 6. DEPARTMENT OF TRANSPORTATION OVERDIMENSIONAL PERMITS

## **PREAMBLE**

<u>1.</u>	Sections affected:	Rulemaking Action:
±.	Chapter 6	New Chapter
	Article 1	New Article
	R17-6-101	New Section
	R17-6-102	New Section
	Table 1	New Table
	R17-6-103	New Section
	R17-6-103 R17-6-104	New Section
	R17-6-104 R17-6-105	New Section
	R17-6-105 R17-6-106	New Section
	R17-6-100 R17-6-107	New Section
	R17-6-107 R17-6-108	New Section
	,	New Section
	R17-6-109	New Section
	R17-6-110	
	R17-6-111	New Section
	Article 2	New Article
	R17-6-201	New Section
	R17-6-202	New Section
	R17-6-203	New Section
	R17-6-204	New Section
	R17-6-205	New Section
	R17-6-206	New Section
	Table 2	New Table
	R17-6-207	New Section
	R17-6-208	New Section
	R17-6-209	New Section
	R17-6-210	New Section
	R17-6-211	New Section
	Article 3	New Article
	R17-6-301	New Section
	R17-6-302	New Section
	Illustration 1	New Illustration
	R17-6-303	New Section
	Illustration 2	New Illustration
	R17-6-304	New Section
	R17-6-305	New Section
	R17-6-306	New Section
	R17-6-307	New Section
	Article 4	New Article
	R17-6-401	New Section
	R17-6-402	New Section
	R17-6-403	New Section
	R17-6-404	New Section
	R17-6-405	New Section
	R17-6-406	New Section
	R17-6-407	New Section
	R17-6-408	New Section
	R17-6-409	New Section
	R17-6-410	New Section

R17-6-411	New Section
Table 3.01	New Table
Table 3.02	New Table
Table 3.03	New Table
Table 3.04	New Table
Table 3.05	New Table
Table 3.06	New Table
Table 3.07	New Table
Table 3.08	New Table
Table 3.09	New Table
Illustration 3	New Illustration
R17-6-412	New Section
Table 4	New Table
Article 5	New Article
R17-6-501	New Section
R17-6-502	New Section
R17-6-503	New Section
R17-6-504	New Section
R17-6-505	New Section
Article 6	New Article
R17-6-601	New Section
R17-6-602	New Section

# 2. The specific authority for the rulemaking, including both the authorizing statute (general) and the statutes the rules are implementing (specific):

Authorizing statute: A.R.S. § 28-366

Implementing statutes: A.R.S. §§ 28-1091 through 28-1100; 28-1103 through 28-1107; 28-1141 through 28-1149;

28-7045

## 3. A list of all previous notices appearing in the Register addressing the proposed rule:

Notice of Rulemaking Docket Opening: 7 A.A.R. 68, January 5, 2001 (superseded)

Notice of Recodification: 7 A.A.R. 3479, August 10, 2001

Notice of Rulemaking Docket Opening: 7 A.A.R. 3683, August 24, 2001

## 4. The name and address of agency personnel with whom persons may communicate regarding the rulemaking:

Name: George R. Pavia, Department Rules Supervisor

Address: Administrative Rules Unit

Department of Transportation, Mail Drop 507M

3737 N. 7th Street, Suite 160 Phoenix, AZ 85014-5079

Telephone: (602) 712-8446 Fax: (602) 241-1624

E-mail: gpavia@dot.state.az.us

Please visit the ADOT web site to track progress of this rule and any other agency rulemaking matters, at www.dot.state.az.us/about/rules.

## Format for submission of formal comment in this rulemaking:

- 1. A respondent shall deliver all comments in written or electronic mail form to the agency officer listed above by the record closure date and time in preamble item #10. The agency will accept no oral comments apart from the scheduled oral proceeding by videoconference.
- 2. All comments shall contain the following elements:
  - a. Clear identification of the person submitting comment by name and any applicable organization represented;
  - b. Clear identification of specific rules by proposed A.A.C. Section number (R17-6-xxx) and any applicable subsection letter and number that a person is commenting on. Any comment without specific reference to a proposed rule Section will be disregarded; and

- c. Clear and specific comment stating:
  - i. Support, objection, or question concerning a proposed rule provision;
  - ii. Specific reason for support or objection; and
  - iii. An optional brief statement of proposed or desired alternative.
- 3. The agency reserves the right to combine repetitious comments.

## 5. An explanation of the rule, including the agency's reasons for initiating the rule:

In a separate rulemaking, Motor Vehicle Division is repealing a set of archaic rules that were developed incrementally to regulate overdimensional permits statewide (original R17-4-406 through R17-4-428, subsequently renumbered as R17-4-450 through R17-4-467). At the same time as the repeal action, this new set of streamlined rules completely rewrites the rules regarding overdimensional permits as a new and separate Chapter (6) under 17 A.A.C. The result is a more practical and understandable set of rules for the regulated industry. The new rule format will be less difficult to amend as provision changes occur. Agency Enforcement will find the new Chapter more versatile for ease in reference and distribution to interested parties. This undertaking is a collaborative effort between Motor Vehicle Division Central Permits section, ADOT Intermodal Transportation Division, the state's Overdimensional Permit Advisory Council, and interested parties from the commercial transport industry.

**Note:** Since the beginning of this rulemaking, the agency recodified the entirety of 17 A.A.C. The rule numbering scheme in the original Notice of Rulemaking Docket Opening was a range from R17-4-401 through R17-4-427.

6. A reference to any study that the agency proposes to rely on in its evaluation of or justification for the proposed rule and where the public may obtain or review the study, all data underlying each study, any analysis of the study and other supporting material:

None

7. A showing of good cause why the rule is necessary to promote a statewide interest if the rule will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

## 8. The preliminary summary of the economic, small business, and consumer impact:

This rulemaking updates the current rules for clarity, decreasing the cost of agency, permittee, and small business along with political subdivisions. Agency, permittee, and small business along with political subdivisions will benefit by rules that will allow for quicker and easier understanding of the requirements of overdimensional permit rules. The agency incorporated global non-substantial changes in grammar, syntax, and word choice to improve the rule's clarity, conciseness, and understandability. The agency also eliminated provisions that duplicated requirements in statute.

The agency may incur initial set up costs for administrative procedures. However, the costs should be minimal as Arizona Central Commercial Permits and MVD Enforcement already are required to provide permits for overdimensional vehicle travel across the roads that the agency maintains.

The permittee and small businesses may incur initial set up costs for administrative procedures. However, this should be minimal as both the permittee and small businesses already are required to request permits to move their overdimensional loads over the roads that the agency maintains.

The agency has removed some requirements that will have value added savings to the permittee and small businesses in the removal of some fees and allowing for greater movement times. State revenues will not see any loss in the elimination of some fees that were not collected. The state is conforming to similar rules to contiguous states that border with Arizona to make it easier for permittees and small businesses to move their movements to through the state. The agency will benefit in the new rulemaking to allow for better budget planning and enforcement of the rules. The agency will benefit in improving their processes involved in application assessment and approval due to the new rules. Department of Public Safety (DPS) which enforces the rules on the roads will benefit in improving their processes involved in enforcement of the overdimensional vehicles that they encounter on the roads of Arizona. Overall the agency believes that there will be an inestimable benefit to all citizens of Arizona due to statewide motoring safety and highway structural integrity. The agency believes it has accurately summarized this rulemaking's economic impact.

# 9. The name and address of agency personnel with whom persons may communicate regarding the accuracy of the economic, small business, and consumer impact statement:

Interested persons may direct questions concerning the economic statement to:

Name: Brent P. Heiss, Rules Analyst Address: Administrative Rules Unit

Department of Transportation, Mail Drop 507M

3737 N. 7th Street, Suite 160 Phoenix, AZ 85014-5079

Telephone: (602) 712-8449 Fax: (602) 241-1624

E-mail: bheiss@dot.state.az.us

## 10. The time, place, and nature of the proceedings for the making, amendment, or repeal of the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

Date: Tuesday, May 7, 2002

Time: 1:00 p.m.

Locations:

Flagstaff	Phoenix	Tucson
ADOT District Office	ADOT Headquarters	ADOT District Office
Board Room	Conference Room, 186	Board Room
1801 S. Milton Rd.	206 S. 17th Ave.	1221 S. 2nd Ave.
Flagstaff, AZ 86001	Phoenix, AZ 85007	Tucson, AZ 85713

Nature: Oral proceeding by videoconference

Closure: To allow for submission of follow-up written comment to the oral proceeding, the public record in this rule-making will close at 4:30 p.m., Friday, May 10, 2002.

# 11. Any other matters prescribed by statute that are applicable to the specific agency or to any specific rule or class of rules:

Not applicable

#### 12. Incorporations by reference and their location in the rules:

None

## 13. The full text of the rules follows:

#### **TITLE 17. TRANSPORTATION**

# CHAPTER 6. RESERVED DEPARTMENT OF TRANSPORTATION OVERDIMENSIONAL PERMITS

#### **ARTICLE 1. GENERAL PROVISIONS**

R17-6-101.	<u>Definitions</u>
R17-6-102.	Threshold Dimensions
Table 1.	Threshold Dimensions
R17-6-103.	General Permit Application Procedure
R17-6-104.	Special Class C Permit Application Procedure
R17-6-105.	Permit Limitation
R17-6-106.	Permit Extension
R17-6-107.	Permit Confiscation
R17-6-108.	Permit Exemption
R17-6-109.	Permit Denial
R17-6-110.	<u>Liability</u>
R17-6-111.	Administrative Hearing

#### **ARTICLE 2. PERMIT CLASSES**

Section

Section

<u>R17-6-201.</u> <u>Class A</u> <u>R17-6-202.</u> <u>Class B</u>

	1 torices of 1 toposed reasonating
R17-6-203.	Class B, Type R
R17-6-204.	Class C
R17-6-205.	<u>Class D</u>
R17-6-206.	<u>Class E</u>
Table 2.	Class E Permit Types
R17-6-207.	<u>Class F</u>
R17-6-208.	<u>Class G</u>
<u>R17-6-209.</u>	<u>Class H</u>
<u>R17-6-210.</u>	Envelope Permit
<u>R17-6-211.</u>	Manufactured Home Permit Book
	ARTICLE 3. SAFETY REQUIREMENTS
<u>Section</u>	
<u>R17-6-301.</u>	General Safety Requirements
R17-6-302.	Warning Flag Requirements
Illustration 1.	Warning Flag Configuration
R17-6-303.	Sign Requirements
<u>Illustration 2.</u>	"OVERSIZE LOAD" Sign
<u>R17-6-304.</u>	<u>Lighting Device Requirements</u>

Escort Vehicles

**Projecting Loads** 

**Traffic Control Provisions** 

R17-6-305.

R17-6-306.

R17-6-307.

Section

## **ARTICLE 4. TRANSPORT RESTRICTIONS**

R17-6-401.	General Highway Operations
R17-6-402.	Speed Restriction
R17-6-403.	Weather Restriction
R17-6-404.	<u>Urban Transport</u>
R17-6-405.	Weekend Transport
R17-6-406.	Holiday Transport
R17-6-407.	Lake-specific Weekend and Holiday Transport
R17-6-408.	Continuous Travel
R17-6-409.	Night Movement
R17-6-410.	Special Manufactured Home Towing Restriction
R17-4-411.	Maximum Permitted Weights
<u>Table 3.01.</u>	Maximum Permitted Weight Computations: 8-foot, 0-inch Axle Width
<u>Table 3.02.</u>	Maximum Permitted Weight Computations: 8-foot, 3-inch Axle Width
<u>Table 3.03.</u>	Maximum Permitted Weight Computations: 8-foot, 6-inch Axle Width
<u>Table 3.04.</u>	Maximum Permitted Weight Computations: 8-foot, 9-inch Axle Width
<u>Table 3.05.</u>	Maximum Permitted Weight Computations: 9-foot, 0-inch Axle Width
<u>Table 3.06.</u>	Maximum Permitted Weight Computations: 9-foot, 3-inch Axle Width
<u>Table 3.07.</u>	Maximum Permitted Weight Computations: 9-foot, 6-inch Axle Width
<u>Table 3.08.</u>	Maximum Permitted Weight Computations: 9-foot, 9-inch Axle Width
<u>Table 3.09.</u>	Maximum Permitted Weight Computations: 10-foot, 0-inch Axle Width
<u>Illustration 3.</u>	Overweight Axle Groups
R17-6-412.	Highway-specific Overdimensional Permitted Vehicle Restrictions
Table 4.	Permanent Overdimensional-transport Highway Restrictions

## **ARTICLE 5. ENVELOPE PERMIT SPECIAL PROVISIONS**

<u>Section</u>	
R17-6-501.	Envelope Permit Required Recordkeeping
R17-6-502.	Envelope Permit Suspension Point System
R17-6-503.	Envelope Permit Suspension; Revocation; Enforcement
R17-6-504.	Notice of Point Assessment, Denial, Suspension, or Revocation
R17-6-505.	Envelope Permit Reapplication

#### ARTICLE 6. MANUFACTURED HOME PERMIT SPECIAL PROVISIONS

Section

R17-6-601. Self-issue Prepaid Permit Enforcement

R17-6-602. Penalties

#### ARTICLE 1. GENERAL PROVISIONS

#### **R17-6-101. Definitions**

- A. The Division Director of the Arizona Department of Transportation, Motor Vehicle Division in cooperative partnership with the Intermodal Transportation Division, shall issue and regulate overdimensional permits under this Chapter. The agency implements these Sections under general authority of A.R.S. § 28-1103(B) and in collaboration with the Overdimensional Permit Advisory Council as prescribed under A.R.S. § 28-1150(C)(3).
- **B.** The following definitions apply to this Chapter:
  - 1. "AASHTO" means American Association of State Highway Transportation Officials.
  - 2. "ADOT" or "Department" means Arizona Department of Transportation.
  - 3. "Appurtenance" means any not readily removable manufacturer- or dealer-installed fixture attached to a vehicle or load that increases a peripheral dimension of the vehicle or load.
  - 4. "Arizona Central Commercial Permits" means the statewide ADOT-MVD headquarters office for overdimensional permit applications and information; address:

14370 West Van Buren

Goodyear, Arizona 85338

voice line: (623) 932-2247

facsimile: (623) 932-2441

internet: http://www.dot.state.az.us/mvd/centralpermits/index.htm

- 5. "Articulated (combination) vehicle" means any combination of a truck or truck tractor and 1 or more trailers or semi-trailers that operates so that two or more frames are connected by suitable couplings, but does not include a manufactured or mobile home.
- 6. "Continuous travel" means to operate a vehicle continuously throughout any 24-hour period.
- 7. "Director" means:
  - a. The Division Director of the Arizona Department of Transportation, Motor Vehicle Division; or
  - b. The Division Director's designee.
- 8. "Division" or "MVD" means the Arizona Department of Transportation, Motor Vehicle Division.
- 9. "Envelope" has the meaning prescribed under A.R.S. § 28-1141(1) and for clarity is further defined as a specific set of load dimensional criteria applicable to issuing an envelope permit as follows:
  - a. No longer than 120 feet,
  - b. No taller than 16 feet,
  - c. No wider than 14 feet,
  - d. No heavier than 250,000 pounds gross weight and does not exceed axle group weight distribution as prescribed under R17-6-411 Table 3.01 through Table 3.09, and
  - e. No fewer than 4 axles.
- 10. "Envelope permit" has the meaning prescribed under A.R.S. § 28-1141(2) and for clarity is further defined as an overdimensional permit issued as a convenience to an intrastate carrier that:
  - a. Is restricted to non-reducible loads,
  - b. Allows unlimited trips within the permit's validity period,
  - c. Allows the permitted carrier unlimited load changes,
  - d. Requires a transported load to meet envelope dimensional criteria, and
  - e. Restricts operation to certain routes.
- 11. "Established place of business" means a permanent site or location where the business of an overdimensional permit holder is conducted.
- 12. "Fixed load", "non-reducible load", "non-divisible load", or "non-divisible vehicle" have the meaning prescribed under 23 CFR 658.5 April 2001, and for purposes of clarity in this Chapter mean an overdimensional load or vehicle that if separated into smaller components would:
  - a. Compromise intended use,
  - b. Destroy load or vehicle value.
  - c. Render a load or vehicle unusable for its intended purpose, or
  - d. Require more than 8 hours to dismantle using appropriate equipment.
- 13. "Highway feature" means a roadway, structure, traffic control device, right-of-way, or other accessory connected with highway travel.
- 14. "ITD" means Intermodal Transportation Division.

- 15. "LCV" or "longer combination vehicle" means any combination of a truck or truck tractor and 1 or more trailers or semitrailers that operates at a gross vehicle weight greater than 80,000 pounds.
- 16. "Maintenance Permits Services" means Arizona Department of Transportation Intermodal Transportation Division headquarters office for class C overdimensional permit approval and technical information; address:

206 South 17th Avenue, Mail Drop 004R

Phoenix, Arizona 85007

voice line: (602) 712-8280 or 8176

facsimile: (602) 712-3380

- 17. "Manufactured home" has the meaning prescribed under A.R.S. § 41-2142(2) and (24).
- 18. "Metropolitan Phoenix" means linear distance on a federal or state highway between post markers as designated:

Highway type	Highway number	Post marker and street name coordinate range
Interstate	10	133A – 99th Ave to 164 – Queen Creek
Interstate	17	216A – SR101 to 150A – I-10 junction at 24th Street
State route	<u>51</u>	All
<u>US route</u>	<u>60</u>	138.6 – Loop 303 to 195 – Iron- wood
State route	<u>101</u>	All
State route	143	All
State route	<u>153</u>	All
State route	<u>202</u>	All

19. "Metropolitan Tucson" means linear distance on a federal or state highway between post markers as designated:

Highway type	Highway Number	Post marker and street name coordinate range
Interstate	<u>10</u>	242.5 – Cortaro Road to 268 – Craycroft Road
<u>Interstate</u>	<u>19</u>	95 – Valencia to I-10 junction
State route	<u>77</u>	82 – Tangerine Road to I-10 junction
State route	<u>86</u>	166.5 – Kinney Road to 19B junction

- 20. "Mobile home" has the meaning prescribed under A.R.S. § 28-2001(B)(1).
- 21. "M.S.T." or "Mountain Standard Time" means the time in Arizona as prescribed under 49 CFR 71.2(b) and A.R.S. § 1-242(A), rejecting the adoption of daylight saving time as prescribed under A.R.S. § 1-242(C) permitted under 49 CFR 71.2.
- 22. "Overdimensional" means any size or weight measurement exceeding a measurement prescribed under R17-6-102, Table 1.
- 23. "Permit supervisor" means a managing official of Arizona Central Commercial Permits or a port of entry daily officer-in-charge.
- 24. "Permittee" means a person possessing an overdimensional permit.25. "Power unit" has the meaning prescribed in A.R.S. § 28-1141(3).
- 26. "Specified load" means any item or series of items transported throughout an entire permit period with no alteration except for exact dimensional duplicate item substitution.
- 27. "Sunrise" and "Sunset" have the meaning and daily calculation prescribed by the United States Naval Observatory "USNO" that:

- The Department uses to determine normal permit transport start and stop times as prescribed under R17-6-401(A); and
- b. An interested person may obtain from the USNO internet address: http://aa.usno.navy.mil or in hardcopy from the Department office prescribed under R17-1-101(B)(19).
- "Tandem axle" has the meaning prescribed in A.R.S. § 28-1100(B). "Tare weight" means a vehicle's empty or starting weight.
- 30. "Vehicle combination" has the meaning prescribed under A.R.S. § 28-101(10).

#### R17-6-102. **Threshold Dimensions**

- A. Unless a vehicle is exempt under A.R.S. § 28-1091(C), a vehicle operator shall have an overdimensional permit for a vehicle exceeding any dimension prescribed in Table 1.
- **B.** A vehicle required to carry an overdimensional permit as prescribed under subsection (A) shall comply with any applicable:
  - 1. Safety requirement prescribed under Article 3 of this Chapter, and
  - <u>Transport restriction prescribed under Article 4 of this Chapter.</u>
- C. The Department shall require a class C permit for an overdimensional vehicle or load over axle weight or heavier than 250,000 pounds that proposes a transport route that crosses any bridge over a state or federal highway.

#### Table 1. **Threshold Dimensions**

A vehicle or load exceeding any tabular measurement requires an overdimensional permit in an applicable class as prescribed under Article 2 of this Chapter.

Width (in feet, inches)		
State highway system as designated by the Transportation Board under A.R.S. § 28-304(A)(2)	8'6"	
Other highways	<u>8'</u>	

Height (in feet, inches)		
State highway system as designated by the Transportation Board under A.R.S. § 28-304(A)(2)	14'	
Other highways	13'6"	

<u>Length</u> (in feet, inches)			
Straight trucks		40'	
Truck tractor-semitrailer, semitrailer length	<u>Interstate system</u>	<u>57'</u>	
Other highways		53' per trailer; 65' overall combination	
Truck tractor-semitrailer, full trailer combination		No overall length limit	
Trailers in twin trailer combination		28'6" per trailer	
Vehicle transporter combination		<u>75'</u>	
Overhang <u>Front</u>		3'	
	<u>Rear</u>	<u>6'</u>	

Weight (in pounds)		
Single axle	20,000	
Tandem axle	34,000	
Steering axle	20,000	
Gross vehicle weight; five axles or more and 51 feet maximum length*	80,000	

# Arizona Administrative Register

# **Notices of Proposed Rulemaking**

\*Maximum allowed weight on axle groups is computed by the formula prescribed under A.R.S. § 28-1100(A)(4).

# **R17-6-103.** General Permit Application Procedure

- A. To obtain a permit under Section R17-6-210 or R17-6-211, a person shall submit a completed application form to the Arizona Central Commercial Permits office at the location prescribed under R17-6-101(B)(4).
- **B.** Except for Section R17-6-204, the Department shall issue a permit under Sections R17-6-201 through R17-6-209 according to the point-of-application local office procedure by one of the following methods:
  - 1. Written application form, or
  - 2. Direct electronic data entry of required applicant information by an authorized Department officer.
- C. An applicant shall submit exact dimensions of the vehicle plus load combination.
- **D.** The Department shall not approve an overdimensional permit application for a vehicle subject to registration under A.R.S. § 28-2153 unless the vehicle has valid registration with the Motor Vehicle Division.
- E. An applicant for transport of a manufactured home under a class A, C, or Manufactured Home Permit Book shall present Ad Valorem tax clearance to the Department at the time of issuance.
- **E.** If an overdimensional permit applicant must temporarily move any state-owned highway feature as part of a planned move, the applicant shall also obtain any applicable encroachment permit according to procedure prescribed under R17-3-702 before issuance of an overdimensional permit.
- G. If there are reasonable grounds to question weight accuracy of an applicant's vehicle, the Department shall require the vehicle to be weighed by a certified weighmaster before issuing a permit. To facilitate applicant compliance with this subsection, the Department shall issue a temporary permit for the applicant to access a weighmaster service.
- **H.** If the Department determines traffic control is necessary for an applicant-vehicle's proposed trip route, the applicant shall comply with safety requirements prescribed under R17-6-306.
- **I.** Falsification of any application information is grounds for permit denial.
- J. If overhead lines cross the proposed route of an applicant's overheight vehicle or load, as prescribed in R17-6-102 Table 1, the applicant shall notify the responsible utility company of possible disturbance or damage.

# **R17-6-104.** Special Class C Permit Application Procedure

- An applicant shall submit a written application for a class C permit to ADOT-ITD Maintenance Permits Services at the address prescribed under R17-6-101(B)(15). An applicant shall submit a written application on:
  - 1. The applicant's letterhead; or
  - 2. An application form provided by the ADOT-ITD Maintenance Permits Section.
- **B.** Application for an overweight class C permit. If an applicant's vehicle exceeds weight limits prescribed under R17-6-411 Table 3.01 through 3.09, the applicant shall provide the following with a written permit application:
  - 1. Vehicle axle spacings;
  - 2. Axle weights;
  - 3. Exact overall dimensions of the vehicle plus its load:
    - a. Length;
    - b. Height;
    - c. Width; and
    - d. Width to the outside of axles:
  - 4. Tires:
    - a. Manufacturer-designated width; and
    - b. Number per axle;
  - 5. Load weight;
  - 6. Tare weight:
  - 7. A load's loaded position; and
  - 8. A detailed route description or printed map with intended route clearly marked to indicate where a permittee will enter and exit the highway system.
- **C.** Engineering analysis.
  - 1. The applicant shall submit an engineering analysis if the weight of a vehicle or load exceeds:
    - <u>a.</u> 250,000 pounds, or
    - b. The maximum permitted weights prescribed in R17-6-411 and Table 3.01 through 3.09.
  - 2. The engineering analysis shall describe and certify that on a proposed route an applicant's overweight vehicle will not damage:
    - a. A highway structure; or
    - b. Any other state property.
  - 3. An engineer shall prepare an engineering analysis. As prescribed under 4 A.A.C. 30, the engineer shall be registered:
    - a. By the Arizona Board of Technical Registration, and

- b. In the structural or civil branch of engineering.
- 4. The applicant may request that the Department prepare the engineering analysis. The applicant shall pay for the costs of the engineering analysis at a rate of \$75 per hour.
- **D.** An applicant for a subsequent class C permit may resubmit a pre-existing independent engineering analysis to the Department if the applicant's vehicle or load dimensions and proposed route are identical to a previously approved permit application.
- **E.** The Department shall conduct a separate analysis and approval procedure for each engineering analysis submitted under this Section.
- **F.** Denial of an engineering analysis constitutes denial of the application for class C permit.

#### **R17-6-105.** Permit Limitation

- An overdimensional permit authorizes transport only on a state or federal highway. A permittee shall apply separately with an applicable political subdivision or tribal nation for permission to operate on a county, municipal, or tribal route.
- **B.** The Department shall not issue a permit to transport a load less than a threshold dimension prescribed under R17-6-102 Table 1 on an overwidth trailer.

### **R17-6-106. Permit Extension**

- A. Upon request by a permittee, the Department shall authorize an extension of up to 4 days for a single-trip permit if:
  - 1. A permittee must change a vehicle due to mechanical failure, or
  - 2. Movement is delayed by inclement weather.
- **B.** The Department shall approve an extension under this Section:
  - 1. By signature of an authorized MVD enforcement agent,
  - 2. By telephone in an emergency situation, or
  - 3. By authorization of ADOT-ITD Maintenance Permits Services only for class C permitted vehicles.

#### **R17-6-107. Permit Confiscation**

A peace officer may confiscate any overdimensional permit other than an envelope permit if a permittee is cited for a violation under A.R.S. Title 28, Article 18 or this Chapter.

#### **R17-6-108.** Permit Exemption

- As authorized under A.R.S. § 28-1104(D), the Director shall not require an overdimensional permit for maintenance equipment operated by one of the following:
  - 1. The Arizona Department of Transportation,
  - 2. Another state agency,
  - 3. An Arizona county,
  - 4. An Arizona city, or
  - 5. An Arizona municipality.
- **B.** An exemption under this Section applies only to a vehicle qualified under subsection (A) that is:
  - 1. In local operation, or
  - 2. <u>Under transport for repair or operation in a secondary location.</u>
- C. Unless expressly waived in writing by the Department, safety restrictions prescribed under Article 3 of this Chapter apply to an overdimensional vehicle or load exempt under this Section.
- D. When operating with a permit exemption under this Section, any entity or contractor of an entity listed under subsection (A) shall keep load-specific written authorization by the Department available for inspection by law enforcement.

#### R17-6-109. Permit Denial

<u>Unless the Director grants a vehicle- or load-specific transport exemption, the Director shall deny issuance of an overdimensional permit if:</u>

- 1. A proposed transport route or structure is:
  - a. Unable to bear the weight or size of the transport vehicle and load according to provisions prescribed under R17-6-411 Table 3.01 through Table 3.09.
  - b. Under repair, or
  - c. Temporarily closed due to a hazard condition listed under R17-6-403(B); or
- 2. An applicant submits an application to move a manufactured home without necessary Ad Valorem tax clearance.

## **R17-6-110. Liability**

By accepting an overdimensional permit, a permittee shall be liable for any damage caused to a state- or privately-owned highway feature.

#### **R17-6-111.** Administrative Hearing

R17-1-501 through R17-1-513 apply as a permittee's prescribed method of due process for any overdimensional permit:

1. Denied,

- 2. Confiscated,
- 3. Canceled, or
- 4. Revoked.

# **ARTICLE 2. PERMIT CLASSES**

#### R17-6-201. Class A

MVD shall issue a class A permit according to the following schedule:

Vehicle- load description	A non-reducible specified load over legal threshold as prescribed under R17-6-102 - Table 1 to a maximum:		
	<u>Height</u>	<u>16'</u>	
	Overall length	120'	
	Width	<u>14'</u>	
	Weight	250,000 lbs.	
Permit option	Single trip: 96-hour maximum Multiple trip: 30-day maximum		
Standard	Single trip \$15		
permit fee	Multiple trip	\$30	
Over-	Single trip	<u>\$75</u>	
weight per- mit fee	Multiple trip	<u>\$75</u>	

R17-6-202. Class B

MVD shall issue a class B permit according to the following schedule:

Vehicle- load description	A non-reducible, specified load excluding cranes and drill rigs over legal threshold as prescribed under R17-6-102 - Table 1 to a maximum:			
	<u>Height</u> <u>14'8"</u>			
	Overall length	80'		
	Width	12'6"		
	Weight	80,000 lbs.		
<u>Permit</u>	Multiple trip: 1 year			
<u>option</u>				
<u>Fee</u>	\$360			

R17-6-203. Class B, Type R

MVD shall issue a class B, Type R permit according to the following schedule:

Vehicle-load description	A commercial transporter hauling or driving a recreational vehicle with appurtenances wider than 8'6"
Permit option	25 original multiple-trip permits with a one-year validity
<u>Fee</u>	<u>\$360</u>

#### R17-6-204. Class C

MVD shall issue a class C permit according to the following schedule:

Vehicle-load description	A non-reducible load that exceeds dimensions and weights of all other permit classes or proposes opera- tion on a restricted route.	
Permit option – requires pre- approval by ADOT- ITD Maintenance Permits Services	Specific applicant-designated load as required under R17-6-104(B)	Single trip only
Standard permit fee	Single trip, height or width no greater than 18'	\$15, plus \$15 service charge
	Single trip, height or width greater than 18'	\$15, plus \$25 service charge
Overweight permit fee	Single trip height or width no greater than 18'	\$75, plus \$15 service charge
	Single trip, height or width greater than 18'	\$75, plus \$25 service charge

# R17-6-205.

R17-6-205. Class D

MVD shall issue a class D permit according to the following schedule:

Vehicle-load description	A self-propelled mobile crane, drilling rig, or specialty equipment meeting dimensional requirements prescribed under R17-6-201. Vehicles of this type exceeding R17-6-201 prescribed dimensions require a permit as prescribed under R17-6-204. Before the Department issues a permit under this class, an applicant shall submit documentation certifying load weight.
Permit option	Multiple trip: 1 year
<u>Fee</u>	<u>\$600</u>

# R17-6-206. Class E

- A. MVD shall issue a permit to an LCV in this class only at a state port of entry as follows:
  - 1. Fredonia,
  - 2. Page,
  - 3. St. George, or
  - 4. Teec Nos Pos.
- **B.** An LCV permitted in this class shall comply with federal bridge formula B limits as prescribed under A.R.S. § 28-1100(A)(4).
- C. MVD shall issue a class E permit according to the types and restrictions listed in Table 2:

# Table 2. Class E Permit Types

<u>Type</u>	<u>Length</u>	Permit Routes	Gross Weight in Pounds	<u>Fee</u>
<u>A</u>	92'	All of I-15 and within 20 miles of the Arizona-Utah state line on US 89, US89A, SR98, US160, US163, and SR389	111,000	\$360 per year
<u>B</u>	92'	US 64, US89A, US160, US163, and SR389 within 20 miles of the Arizona- Utah state line	121,000 on 9 axles; 123,500 on 10 axles	\$360 per year
<u>C</u>	105' on I-15 92' other routes	All of I-15 and within 20 miles of the Arizona-Utah state line on US 89, US89A, US160, US163, and SR389	111,000	\$75 per single trip and 30-day; or \$360 per year
D	105'	I-15 only	129,000	\$75 per single trip and 30-day; or \$600 per year

See also Table 4 under R17-6-412 for approved highways for a vehicle permitted under this class.

## **R17-6-207.** Class F

MVD shall issue a class F permit according to the following schedule:

Vehicle-	Overheight: Applicable	le only to a reducible									
<u>load</u>	load transport on a 13	'6" height-restricted									
description	highway. Maximum p	highway. Maximum permitted height: 14',									
	all other dimensions within thresholds pre-										
	scribed under R17-6-1	02 -Table 1									
<u>Permit</u>	Single trip: 96-hour m	<u>aximum</u>									
<u>option</u>											
	Multiple trip: 1 year										
<u>Fee</u>	Single trip	<u>\$15</u>									
	Multiple trip	<u>\$45</u>									

#### R17-6-208. Class G

MVD shall issue a class G permit according to the following schedule:

Vehicle- load description	Overwidth: A reducib exceeding legal width scribed under R17-6-1 maximum of 10 feet	threshold as pre-
Permit option	Single trip: 96-hour m	naximum_
	Multiple trip: 30-day	<u>maximum</u>
	Multiple trip: 1 year	
<u>Fee</u>	Single trip	<u>\$15</u>
	Multiple trip, 30 day	\$30
	Multiple trip, 1 year	<u>\$360</u>

#### R17-6-209. Class H

MVD shall issue a class H permit according to the following schedule:

Vehicle-load	A specified watercraft load regis-
description	tered with Arizona Game & Fish or US Coast Guard no wider than 10
	feet and all other dimensions within thresholds prescribed under R17-6- 102 – Table 1
Permit option	Multiple trip: 1 year
<u>Fee</u>	<u>\$45</u>

#### R17-6-210 **Envelope Permit**

A. MVD shall issue an envelope permit according to the following schedule:

Vehicle- load description	A load meeting the de lope permit definitions (9)	
Permit option	30-day oversize only	
	30-day overweight	
	Annual oversize only	
	Annual oversize and o	overweight
<u>Fee</u>	30-day oversize only	<u>\$150</u>
	30-day overweight	<u>\$500</u>
	Annual oversize only	<u>\$750</u>
	Annual oversize and overweight	<u>\$1,500</u>

- <u>MVD assesses an additional service charge for the following:</u>
   <u>A modified permit duplicate: \$25; and</u>

  - 2. Each additional power unit exceeding the original number of permitted power units: \$50.

#### **R17-6-211.** Manufactured Home Permit Book

A. MVD shall issue a book of manufactured home transport permits according to the following schedule:

Vehicle- load description	A self-issued manufactured home over l threshold as prescribed under R17-6-10 Table 1 to a maximum:									
	<u>Height</u>	<u>16'</u>								
	Overall length	120'								
	Width	<u>16'</u>								
	Weight	<u>80,000 lbs.</u>								
Permit period	A voucher book of 50	single trips								
<u>Fee</u>	<u>\$750</u>									

- **B.** Travel restrictions. A permittee under this Section shall restrict transport as follows:
  - 1. For a permittee of a manufactured home up to 14 feet wide, all highway restrictions prescribed under R17-6-412 Table 4 apply.
  - 2. For a permittee of a manufactured home with dimensions from 14 feet, 1 inch to 16 feet wide, the Special Movement column prescribed under R17-6-412 Table 4 applies. The permittee shall be accompanied by a front and a rear escort.
  - 3. If a transporter of a manufactured home with dimensions from 14 feet, 1 inch to 16 feet intends to transport on any highway not prescribed under R17-6-412 Table 4 Special Movement column, the transporter shall apply for a permit under R17-6-204.
- C. Special limitation. No permittee shall use a permit issued under this Section for operation on a highway under construction or repair unless the permittee obtains specific clearance from an ADOT highway project engineer for a permittee's proposed route as prescribed under R17-6-412(B)(2).
- **D.** Permit distribution. When a permittee uses a three-part self-issue manufactured home permit, the permittee shall:
  - 1. Attach the white original manufactured home permit so it is clearly visible from a transported manufactured home's:
    - a. Rear window, or
    - b. Rearmost left-side window if the transported manufactured home has no rear window;
  - 2. Mail the following to the address prescribed under R17-6-101(B)(4):
    - a. The yellow duplicate copy manufactured home permit, and
    - b. Any county-assessor issued clearance form indicating permittee payment of Ad Valorem taxes and fees;
  - 3. Retain the pink triplicate copy manufactured home permit in original booklet binding at the permittee's established place of business.

## **ARTICLE 3. SAFETY REQUIREMENTS**

### **R17-6-301.** General Safety Requirements

In addition to the provisions of this Article, a permittee under this Chapter shall observe any applicable safety requirement for a motor carrier operating in Arizona prescribed under 49 CFR as incorporated by reference in R17-5-202 through R17-5-209.

## **R17-6-302.** Warning Flag Requirements

- **A.** Specifications. Each warning flag attached to an overdimensional permitted vehicle shall have the following characteristics:
  - 1. Red- or florescent orange-colored cloth or plastic, and
  - 2. At least 12 inches square.
- **B.** Display. A permittee of an overdimensional vehicle or load shall conform warning flag display to the requirements prescribed in Illustration 1 most closely corresponding to the permittee's vehicle and load configuration.

Legal Width

Load 

Warning flag be ation

Illustration 1: WARNING FLAG CONFIGURATIONS

#### R17-6-303. Sign Requirements

- A. A permittee shall ensure that an overdimensional vehicle or load displays an "OVERSIZE LOAD" sign if the vehicle:
  - 1. Is wider than 8'6", or
  - 2. Transports a load of 9 feet or wider.
- **B.** The Department shall require display of an "OVERSIZE LOAD" sign by any overdimensional permitted vehicle or load not specified under subsection (A).
- C. An "OVERSIZE LOAD" sign shall meet construction specifications prescribed under Illustration 2 at a minimum.
- **D.** A permittee shall display a required "OVERSIZE LOAD" sign that is:
  - 1. Perpendicular to the road surface,
  - 2. Readable from left to right, and
  - 3. Clearly visible from the vehicle's front and rear.
- E. A permittee of an overdimensional vehicle or load shall display a required "OVERSIZE LOAD" sign that is:
  - 1. On the front or roof of the towing vehicle,
  - 2. On the rear of the load or loaded vehicle, and
  - 3. In compliance with every other provision prescribed under subsection (D)
- **<u>F.</u>** If a permittee required to display an "OVERSIZE LOAD" sign is not transporting an overdimensional load, the permittee shall ensure each sign is not visible to traffic.

Overall Sign Length
Overdimensional Vehicle 6'

1" Stroke Width

OVERSIZE LOAD

1' - 0"

3/8" Border (Optional)

**Illustration 2: OVERSIZED LOAD SIGN** 

Black letters - 6" Series "D"
Vellow Background - If over

Yellow Background – If oversized load is to be transported during hours of darkness, the background shall be reflectorized or illuminated.

Any sign in compliance with AASHTO standards is acceptable.

#### R17-6-304. Lighting Device Requirements

A permittee of an overdimensional vehicle or load shall:

1. Comply with lighting equipment requirements of 49 CFR § 393 as incorporated by reference under R17-5-202(A), and

1/2" Margin

2. Operate with lighting equipment illuminated as prescribed under A.R.S. § 28-922.

#### R17-6-305. Escort Vehicles

A. Service requirement.

- 1. When required by the Department, a permittee of an overdimensional vehicle or load shall have escort vehicle accompaniment when transporting on a highway prescribed under R17-6-412 Table 4.
- 2. The Department shall base its decision to require an overdimensional permitted vehicle to be accompanied by one or more escort vehicles on:

- a. Proposed transport route:
  - i. Highway width,
  - ii. Surface condition, and
  - iii. Grade,
- b. Load size,
- c. Need for frequent stops, or
- d. Concern for public safety.
- 3. In accordance with the criteria applicable under subsection (A)(2), the Department shall require 2 or more overdimensional permitted vehicles travelling together to be accompanied by at least 1 escort vehicle per load.

# **B.** Vehicle qualification and equipment.

- 1. A vehicle qualifies as an escort vehicle if it:
  - a. Is a passenger car or 2-axle truck not exceeding 20,000 pounds, and
  - b. Has valid vehicle registration.
- 2. An escort vehicle operator shall possess:
  - a. Warning flags for an overdimensional vehicle or load:
    - i. Manufactured to specifications prescribed under R17-6-302(A), and
    - ii. Displayed as prescribed under R17-6-302(B).
  - b. Warning lights as prescribed under A.R.S. § 28-947(D).
  - c. An "OVERSIZE LOAD" sign:
    - i. Constructed as prescribed under R17-6-303(C),
    - ii. Mounted above the vehicle's roofline,
    - iii. Displayed as prescribed under R17-6-303(D), and
    - iv. Not visible when not in use.
  - d. A two-way radio:
    - i. Capable of transmitting and receiving a minimum of one-half mile, and
    - <u>ii.</u> Compatible with each two-way radio in an accompanying escort or towing vehicle.
  - e. Emergency equipment as follows:
    - i. No fewer than eight flares; and
    - ii. Two emergency staff-mounted warning flags manufactured to specifications prescribed under R17-6-302(A).

#### C. Operation.

- Lighting requirement. While in service, an escort vehicle operator shall maintain continuous illumination of headlights and warning lights.
- 2. <u>Lead- and follow-distance.</u>
  - a. On an open highway, except when visual contact cannot be maintained, an escort vehicle operator shall maintain a lead- or follow-distance of no greater than 1,000 feet from the escorted vehicle.
  - b. In an urban setting, an escort vehicle operator shall maintain a lead- or follow-distance of no greater than 250 feet from the escorted vehicle.
- 3. Stop provisions at a traffic signal-controlled intersection.
  - a. When a load-bearing vehicle is required to stop, the lead escort vehicle operator shall stop safely on the right-hand roadside after proceeding through the intersection. The lead escort vehicle operator shall resume normal lead distance after the load bearing vehicle clears the intersection.
  - b. When a following escort vehicle is required to stop, the operator of a load-bearing vehicle shall proceed without stopping. The following escort vehicle operator shall resume its normal distance behind the load-bearing vehicle after clearing an intersection.

# **R17-6-306.** Traffic Control Provisions

In consideration of a permitted vehicle's overall dimensions, the Department may require traffic control by the Arizona Department of Public Safety or other means to ensure public highway safety. If the Department requires traffic control measures as a term for a vehicle's overdimensional permit approval, the applicant shall submit to the Department a detailed traffic control plan for the entire proposed trip with the application.

### R17-6-307. Projecting Loads

- A. The Department shall require a class C permit for any vehicle load that projects more than 3 feet from the side of the vehicle.
- **B.** The Department shall require a class A permit for any vehicle load that projects:
  - 1. No more than 3 feet from either side of the vehicle when a projecting object has a thickness of 12 inches or greater, or
  - 2. No more than 2 feet from either side of the vehicle when a projecting object is less than 12 inches thick.
- C. A permit applicant for a manufactured home unit under class A shall not have:

- 1. A measured box width greater than 14 feet, and
- 2. An eave of greater than 2 feet projecting on the unit's side facing the roadway.
- <u>D.</u> Escort vehicle requirement. A permittee of a vehicle with a projecting load shall have an escort vehicle accompaniment as follows:
  - 1. A front escort vehicle if the front load projection is longer than 20 feet, or
  - 2. A rear escort vehicle if rear projection is longer than 20 feet.
- **E.** A permittee of a vehicle or load with greater than 4 feet front or rear overhang shall:
  - 1. Attach a warning flag to the load during daylight operations, or
  - 2. Attach safety lighting during nighttime operation.
- **E.** An integral component removed from a loaded primary object may be transported on the same vehicle bearing the primary object providing the component does not cause the hauling unit to exceed:
  - 1. Maximum permitted gross weight;
  - 2. Maximum permitted axle weight; or
  - 3. Maximum permitted width.

#### **ARTICLE 4. TRANSPORT RESTRICTIONS**

### **R17-6-401.** General Highway Operations

A permittee of an overdimensional vehicle or load shall:

- 1. Operate no earlier than one-half hour before sunrise and no later than one-half hour after sunset, exact daily times as defined under R17-1-101(B)(25), except as prescribed in R17-6-409, or unless the Department:
  - a. Restricts operation on a highway or during a time prescribed under this Article; or
  - b. Grants permit-specific alternate operation hours other than those listed under this subsection as a necessary condition to maintain highway safety;
- 2. Operate in the rightmost lane of a multi-lane highway except to overtake and pass another vehicle.
- 3. Maintain a minimum distance of 2,000 feet from another overdimensional permitted vehicle when traveling on the same highway in the same direction except when passing; and
- 4. Replace any state-owned highway feature moved along a traveled route.

#### R17-6-402. Speed Restriction

- A permittee of an overdimensional vehicle or load shall not exceed the lower maximum speed determined by either of the following:
  - 1. A speed limit printed on an issued permit, or
  - 2. A highway posted vehicle-specific speed limit.
- **B.** The Department may order an alternative speed restriction to prevent:
  - 1. Traffic hazard, or
  - 2. Highway damage.

#### **R17-6-403.** Weather Restriction

- A. Responsibility.
  - 1. A permitted vehicle driver shall determine an unsafe roadway condition from criteria prescribed under subsection (B).
  - 2. A permitted vehicle driver shall comply with any official agency weather-related travel advisory prohibiting overdimensional transport.
- **B.** Determining conditions. Overdimensional load transport shall not occur according to the following criteria:

Hazard Criterion	Possible Cause
Driver visibility range becomes less than 500 feet	Blowing dust Blowing snow Fog Heavy rain
Road surface condition reduces normal traction	Snow Ice Flooding
A load destabilizing condition endangers road surface or traffic	High winds Falling objects

#### R17-6-404. Urban Transport

No permittee of a vehicle or load wider than 10 feet shall transport on a non-holiday Monday through Friday in:

- 1. Metropolitan Phoenix as defined under R17-6-101(B)(17) during the following hours, M.S.T. as defined under R17-6-101(B)(20):
  - a. 6:00 to 9:00 a.m., and
  - b. 4:00 to 7:00 p.m.
- 2. Metropolitan Tucson as defined under R17-6-101(B)(18) during the following hours, M.S.T. as defined under R17-6-101(B)(20):
  - a. 7:00 to 9:00 a.m., and
  - b. 4:00 to 6:00 p.m.

#### **R17-6-405.** Weekend Transport

- **A.** This Section applies to a vehicle or load that does not exceed any of the following dimensions:
  - 1. 16 feet wide;
  - 2. <u>16 feet high;</u>
  - 3. <u>120 feet long; and</u>
  - 4. 250,000 pounds.
- **B.** A permittee of a vehicle or load with dimensions less than or equal to those prescribed under subsection (A) may transport:
  - 1. On any non-holiday weekend Saturday or Sunday;
  - 2. From 3:00 a.m. until noon M.S.T.;
  - 3. On selected routes as prescribed under R17-6-412 Table 4; and
  - 4. With applicable escort accompaniment as prescribed under R17-6-409(C).
- <u>C.</u> A permittee of a vehicle or load with dimensions greater than those prescribed under R17-6-201 shall not transport on a <u>Saturday or Sunday.</u>

#### R17-6-406. Holiday Transport

- **A.** The provisions of this Section only apply to an overdimensional vehicle or load with dimensions greater than:
  - <u>1.</u> <u>10 feet wide</u>
  - 2. 14.6 feet high
  - 3. 10 feet long in front- or rear-overhang, or
  - <u>4.</u> 120 feet long.
- **B.** A permittee shall not transport an overdimensional load described under subsection (A)(1) in Arizona on the following holidays as defined in A.R.S. § 1-301:
  - 1. New Year's Day,
  - 2. Memorial Day,
  - 3. Independence Day,
  - 4. Labor Day,
  - 5. Thanksgiving Day, or
  - 6. Christmas Day, and
- C. A holiday restriction on transport as listed in subsection (B) also includes days before and after a holiday as follows:
  - 1. When a holiday occurs on a Friday, transport shall stop on Thursday at noon and may resume on Monday at one-half hour before sunrise, or Monday at 3:00 a.m. if night movement is allowed under R17-6-409;
  - 2. When a holiday occurs on a Saturday or Sunday, transport shall stop on Friday at noon and may resume on Monday at one-half hour before sunrise, or Monday at 3:00 a.m. if night movement is allowed under R17-6-409;
  - 3. When a holiday occurs on a Monday, transport shall stop on Friday at noon and may resume on Tuesday at one-half hour before sunrise, or Tuesday at 3:00 a.m. if night movement is allowed under R17-6-409; and
  - 4. Transport shall stop at noon of the day before a holiday and may resume on the day after a holiday at one-half hour before sunrise, or on the day after a holiday at 3:00 a.m. if night movement is allowed under R17-6-409, when a holiday occurs on:
    - a. Tuesday,
    - b. Wednesday, or
    - c. Thursday.

# R17-6-407. Lake-specific Weekend and holiday Transport Exception

A permittee under class A that transports a personal watercraft load not wider than 12 feet may operate on a state or federal highway within 10 miles of an area constructed and maintained for the purpose of launching and retrieving watercraft for the following Arizona lakes:

- 1. Alamo,
- 2. Havasu,
- 3. Mead,
- 4. Mohave,

- 5. Powell, or
- 6. Saguaro.

#### R17-6-408. Continuous Travel

- A. A permittee of an overdimensional vehicle or load that is 10 feet wide or less may operate with continuous travel.
- **B.** A permittee of an overdimensional vehicle or load with dimensions less than those prescribed below may operate with continuous travel except as prescribed under R17-6-404.
  - 1. 10 feet, 1 inch wide,
  - 2. 14.6 feet high.
  - 3. 10 feet long in front- or rear-overhang, or
  - 4. Longer than 120 feet overall.
- C. Except during any time and location prohibited under R17-6-405 and R17-6-406, the Department shall allow continuous travel of a crane permitted as prescribed under R17-6-205 that does not exceed any of the following dimensions:
  - 1. <u>11 feet wide;</u>
  - 2. 14 feet high; and
  - 3. 10 feet in overhang.

#### R17-6-409. Night Movement

- A. This Section applies to a vehicle or load that does not exceed any of the following dimensions:
  - 1. 16 feet wide;
  - 2. <u>16 feet high;</u>
  - 3. <u>120 feet long; and</u>
  - 4. 250,000 pounds.
- **B.** A permittee of a vehicle or load within limits prescribed under subsection (A) may transport:
  - 1. Beginning at 3:00 a.m. M.S.T. except on any day, route, or time restricted under R17-6-404 through R17-6-406;
  - 2. On selected routes prescribed under R17-6-412 Table 4.
- C. A permittee of a vehicle or load transporting under this Section, shall have escort vehicle accompaniment as follows:
  - 1. A rear escort for a vehicle or load with dimensions exceeding 11 feet wide to 14 feet wide;
  - 2. A front and rear escort for a vehicle or load with dimensions exceeding 14 feet 1 inch to 16 feet;
  - 3. A front escort with a height pole for a vehicle or load with a dimension exceeding 15 feet in height.

#### **R17-6-410.** Special Manufactured Home Towing Restriction

**A.** A vehicle towing a manufactured home shall have a factory rating that corresponds with the following criteria:

Load measurement criteria	Towing vehicle factory rating
Less than 10 feet wide and less than 50 feet long including hitch	1.5 ton
Exceeds 10 feet wide or exceeds 50 feet long, or both	2 ton; 4 tires per drive axle and minimum 99-inch wheel base

**B.** A manufactured home transporter shall cover the open side of a manufactured home module with plastic sheeting no thinner than 1.5 mil plus a rigid grillwork backing.

# **R17-6-411.** Maximum Permitted Weights

The Department shall use formulas and computations prescribed in Table 3.01 through 3.09 and Illustration 3 to permit an overdimensional vehicle or load.

# Arizona Administrative Register

# **Notices of Proposed Rulemaking**

**Table 3.01.** Maximum Permitted Weight Computations: 8-foot, 0-inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			Inches											
		_	0	1	2	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	7	<u>8</u>	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	28,000	28,000	28,000	28,000	28,000	28,000	45,675	45,763	45,850	45,938	46,025	46,113
		<u>B</u>	32,200	32,200	32,200	32,200	32,200	32,200	52,526	52,627	52,728	52,828	52,929	53,029
	<u>4</u>	A	46,200	46,288	46,375	46,463	46,550	46,638	46,725	46,813	46,900	46,988	47,075	47,163
		<u>B</u>	53,130	53,231	53,331	53,432	53,533	53,633	53,734	53,834	53,935	54,036	54,136	54,237
	<u>5</u>	A	47,250	47,338	47,425	47,513	47,600	47,688	47,775	47,863	47,950	48,038	48,125	48,213
		<u>B</u>	54,338	54,438	54,539	54,639	54,740	54,841	54,941	55,042	55,143	55,243	55,344	55,444
	<u>6</u>	<u>A</u>	48,300	48,388	48,475	48,563	48,650	48,738	48,825	48,913	49,000	49,088	49,175	49,263
		<u>B</u>	55,545	55,646	55,746	55,847	55,948	56,048	56,149	56,249	56,350	56,451	56,551	56,652
	<u>7</u>	<u>A</u>	49,350	49,438	49,525	49,613	49,700	49,788	49,875	49,963	50,050	50,138	50,225	50,313
		<u>B</u>	56,753	56,853	56,954	57,054	57,155	57,256	57,356	57,457	57,558	57,658	57,759	57,859
	<u>8</u>	<u>A</u>	50,400	50,488	50,575	50,663	50,750	50,838	50,925	51,013	51,100	51,188	51,275	51,363
		<u>B</u>	57,960	58,061	58,161	58,262	58,363	58,463	58,564	58,664	58,765	58,866	58,966	59,067
	9	A	51,450	51,538	51,625	51,713	51,800	51,888	51,975	52,063	52,150	52,238	52,325	52,413
		В	59,168	59,268	59,369	59,469	59,570	59,671	59,771	59,872	59,973	60,073	60,174	60,274
	<u>10</u>	A	52,500	52,588	52,675	52,763	52,850	52,938	53,025	53,113	53,200	53,288	53,375	53,463
		В	60,375	60,476	60,576	60,677	60,778	60,878	60,979	61,079	61,180	61,281	61,381	61,482
	<u>11</u>	<u>A</u>	53,550	53,638	53,725	53,813	53,900	53,988	54,075	54,163	54,250	54,338	54,425	54,513
		<u>B</u>	61,583	61,683	61,784	61,884	61,985	62,086	62,186	62,287	62,388	62,488	62,589	62,689
	<u>12</u>	<u>A</u>	54,600	54,688	54,775	54,863	54,950	55,038	55,125	55,213	55,300	55,388	55,475	55,563
		<u>B</u>	62,790	62,891	62,991	63,092	63,193	63,293	63,394	63,494	63,595	63,696	63,796	63,897
	<u>13</u>	<u>A</u>	55,650	55,738	55,825	55,913	56,000	56,088	<u>56,175</u>	56,263	56,350	56,438	56,525	56,613
		<u>B</u>	63,998	64,098	64,199	64,299	64,400	64,501	64,601	64,702	64,803	64,903	65,004	65,104
	<u>14</u>	<u>A</u>	56,700	56,788	56,875	56,963	57,050	57,138	57,225	57,313	57,400	57,488	57,575	57,663
		<u>B</u>	65,205	65,306	65,406	65,507	65,608	65,708	65,809	65,909	66,010	66,111	66,211	66,312
	<u>15</u>	<u>A</u>	57,750	57,838	57,925	58,013	58,100	58,188	58,275	58,363	58,450	58,538	58,625	58,713
			66,413	66,513	66,614	66,714	66,815	66,916	67,016	67,117	67,218	67,318	67,419	67,519
	<u>16</u>		58,800	58,888	58,975	59,063	59,150	59,238	59,325	59,413	59,500	59,588	<u>59,675</u>	59,763
		В	67,620	67,721	67,821	67,922	68,023	68,123	68,224	68,324	68,425	68,526	68,626	68,727
	<u>17</u>	A	59,850	59,938	60,025	60,113	60,200	60,288	60,375	60,463	60,550	60,638	60,725	60,813
		В	68,828	68,928	69,029	69,129	69,230	69,331	69,431	69,532	69,633	69,733	69,834	69,934
	<u>18</u>	A	60,900											
		В	70,035											

<u>Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ </u> (L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A:-4 tires per axle or 2) 14 inch wide tires. Value is the formula only

<u>Line B:-8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 15.%.</u>

Maximum Permitted Weight Computations: 8-foot, 3-inch Axle Width **Table 3.02.** 

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			<u>Inches</u>											
			0	1	2	<u>3</u>	4	<u>5</u>	<u>6</u>	7	<u>8</u>	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	Α	28,525	28,525	28,525	28,525	28,525	28,525	46,531	46,621	46,710	46,799	46,888	46,977
		В	32,550	32,550	32,550	32,550	32,550	32,550	53,097	53,199	53,301	53,402	53,504	53,606
	<u>4</u>	A	47,066	47,155	47,245	47,334	47,423	47,512	47,601	47,690	47,779	47,869	47,958	48,047
		В	53,708	53,809	53,911	54,013	54,114	54,216	54,318	54,420	54,521	54,623	54,725	54,826
	<u>5</u>	A	48,136	48,225	48,314	48,403	48,493	48,582	48,671	48,760	48,849	48,938	49,027	49,116
		<u>B</u>	54,928	55,030	55,132	55,233	55,335	55,437	55,538	55,640	55,742	55,844	55,945	56,047
	<u>6</u>	A	49,206	49,295	49,384	49,473	49,562	49,651	49,740	49,830	49,919	50,008	50,097	50,186
		<u>B</u>	56,149	56,250	56,352	56,454	56,556	56,657	56,759	56,861	56,963	57,064	57,166	57,268
	<u>7</u>	A	50,275	50,364	50,454	50,543	50,632	50,721	50,810	50,899	50,988	51,078	51,167	51,256
		<u>B</u>	57,369	57,471	57,573	57,675	57,776	57,878	57,980	58,081	58,183	58,285	58,387	58,488
	<u>8</u>	A	51,345	51,434	51,523	51,612	51,702	51,791	51,880	51,969	52,058	52,147	52,236	52,326
		<u>B</u>	58,590	58,692	58,793	58,895	58,997	59,099	59,200	59,302	59,404	59,505	59,607	59,709
	<u>9</u>	<u>A</u>	52,415	52,504	52,593	52,682	52,771	52,860	52,950	53,039	53,128	53,217	53,306	53,395
		<u>B</u>	59,811	59,912	60,014	60,116	60,218	60,319	60,421	60,523	60,624	60,726	60,828	60,930
	<u>10</u>	<u>A</u>	53,484	53,574	53,663	53,752	53,841	53,930	54,019	54,108	54,198	54,287	54,376	54,465
		<u>B</u>	61,031	61,133	61,235	61,336	61,438	61,540	61,642	61,743	61,845	61,947	62,048	62,150
	<u>11</u>	A	54,554	54,643	54,732	54,821	54,911	55,000	55,089	55,178	55,267	55,356	55,445	55,535
		<u>B</u>	62,252	62,354	62,455	62,557	62,659	62,760	62,862	62,964	63,066	63,167	63,269	63,371
	<u>12</u>	A	55,624	55,713	55,802	55,891	55,980	56,069	56,159	56,248	56,337	56,426	56,515	56,604
		<u>B</u>	63,473	63,574	63,676	63,778	63,879	63,981	64,083	64,185	64,286	64,388	64,490	64,591
	<u>13</u>	A	56,693	56,783	56,872	56,961	57,050	57,139	57,228	57,317	57,407	57,496	57,585	57,674
		<u>B</u>	64,693	64,795	64,897	64,998	65,100	65,202	65,303	65,405	65,507	65,609	65,710	65,812
	<u>14</u>	A	57,763	57,852	57,941	58,031	58,120	58,209	58,298	58,387	58,476	58,565	58,655	58,744
		<u>B</u>		66,015	66,117	66,219	66,321	66,422	66,524	66,626	66,728	66,829	66,931	67,033
	<u>15</u>	<u>A</u>	58,833	58,922	59,011	59,100	59,189	59,279	59,368	59,457	59,546	59,635	59,724	59,813
		<u>B</u>		67,236	67,338	67,440	67,541	67,643	67,745	67,846	67,948	68,050	68,152	68,253
	<u>16</u>	A	59,903	59,992	60,081	60,170	60,259	60,348	60,437	60,526	60,616	60,705	60,794	60,883
		-	68,355	68,457	68,558	68,660	68,762	68,864	68,965	69,067	69,169	69,270	69,372	69,474
	<u>17</u>		60,972	61,061	61,150	61,240	61,329	61,418	61,507	61,596	61,685	61,774	61,864	61,953
		ш	69,576	69,677	69,779	69,881	69,983	70,084	70,186	70,288	70,389	70,491	70,593	70,695
	<u>18</u>	A	62,042											
		В	70,796											

Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A:-4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 1.875%. Line B:-8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 16.25%.

Table 3.03. Maximum Permitted Weight Computations: 8-foot, 6-inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			<u>Inches</u>											
			0	1	<u>2</u>	<u>3</u>	4	<u>5</u>	<u>6</u>	7	<u>8</u>	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	29,050	29,050	29,050	29,050	29,050	29,050	47,388	47,479	47,569	47,660	47,751	47,842
		<u>B</u>	32,900	32,900	32,900	32,900	32,900	32,900	53,668	53,771	53,874	53,977	54,079	54,182
	<u>4</u>	<u>A</u>	47,933	48,023	48,114	48,205	48,296	48,386	48,477	48,568	48,659	48,750	48,840	48,931
		<u>B</u>	54,285	54,388	54,491	54,593	54,696	54,799	54,902	55,005	55,108	55,210	55,313	55,416
	<u>5</u>	<u>A</u>	49,022	49,113	49,203	49,294	49,385	49,476	49,567	49,657	49,748	49,839	49,930	50,020
		<u>B</u>	55,519	55,622	55,724	55,827	55,930	56,033	56,136	56,238	56,341	56,444	56,547	56,650
	<u>6</u>	<u>A</u>	50,111	50,202	50,293	50,384	50,474	50,565	50,656	50,747	50,838	50,928	51,019	51,110
		<u>B</u>	56,753	56,855	56,958	57,061	57,164	57,267	57,369	57,472	57,575	57,678	57,781	57,883
	7	<u>A</u>	51,201	51,291	51,382	51,473	51,564	51,655	51,745	51,836	51,927	52,018	52,108	52,199
		<u>B</u>	57,986	58,089	58,192	58,295	58,398	58,500	58,603	58,706	58,809	58,912	59,014	59,117
	<u>8</u>	<u>A</u>	52,290	52,381	52,472	52,562	52,653	52,744	52,835	52,925	53,016	53,107	53,198	53,289
		<u>B</u>	59,220	59,323	59,426	59,528	59,631	59,734	59,837	59,940	60,043	60,145	60,248	60,351
	9	<u>A</u>	53,379	53,470	53,561	53,652	53,743	53,833	53,924	54,015	54,106	54,196	54,287	54,378
		<u>B</u>	60,454	60,557	60,659	60,762	60,865	60,968	61,071	61,173	61,276	61,379	61,482	61,585
	<u>10</u>	<u>A</u>	54,469	54,560	54,650	54,741	54,832	54,923	55,013	55,104	55,195	55,286	55,377	55,467
		<u>B</u>	61,688	61,790	61,893	61,996	62,099	62,202	62,304	62,407	62,510	62,613	62,716	62,818
	<u>11</u>	<u>A</u>	55,558	55,649	55,740	55,830	55,921	56,012	56,103	56,194	56,284	56,375	56,466	56,557
		<u>B</u>	62,921	63,024	63,127	63,230	63,333	63,435	63,538	63,641	63,744	63,847	63,949	64,052
	<u>12</u>	<u>A</u>	56,648	56,738	56,829	56,920	57,011	57,101	57,192	57,283	57,374	57,465	57,555	57,646
		<u>B</u>	64,155	64,258	64,361	64,463	64,566	64,669	64,772	64,875	64,978	65,080	65,183	65,286
	<u>13</u>	<u>A</u>	57,737	57,828	57,918	58,009	58,100	58,191	58,282	58,372	58,463	58,554	58,645	58,735
		<u>B</u>	65,389	65,492	65,594	65,697	65,800	65,903	66,006	66,108	66,211	66,314	66,417	66,520
	<u>14</u>	<u>A</u>	58,826	58,917	59,008	59,099	59,189	59,280	59,371	59,462	59,553	59,643	59,734	59,825
		<u>B</u>	66,623	66,725	66,828	66,931	67,034	67,137	67,239	67,342	67,445	67,548	67,651	67,753
	<u>15</u>		<u>59,916</u>	60,006	60,097	60,188	60,279	60,370	60,460	60,551	60,642	60,733	60,823	60,914
		<u>B</u>	67,856	67,959	68,062	68,165	68,268	68,370	68,473	68,576	68,679	68,782	68,884	68,987
	<u>16</u>	<u>A</u>	61,005	61,096	61,187	61,277	61,368	61,459	61,550	61,640	61,731	61,822	61,913	62,004
		<u>B</u>	69,090	69,193	69,296	69,398	69,501	69,604	69,707	69,810	69,913	70,015	70,118	70,221
	<u>17</u>	<u>A</u>	62,094	62,185	62,276	62,367	62,458	62,548	62,639	62,730	62,821	62,911	63,002	63,093
		<u>B</u>	70,324	70,427	70,529	70,632	70,735	70,838	70,941	71,043	71,146	71,249	71,352	71,455
	<u>18</u>		63,184											_
		<u>B</u>	71,558											

Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A:-4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 3.75%.

Line B:-8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 17.5%.

Table 3.04. Maximum Permitted Weight Computations: 8-foot, 9 inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			<u>Inches</u>											
			<u>0</u>	1	2	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	7	<u>8</u>	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	29,575	29,575	29,575	29,575	29,575	29,575	48,244	48,337	48,429	48,521	48,614	48,706
		<u>B</u>	33,250	33,250	33,250	33,250	33,250	33,250	54,239	54,343	54,447	54,551	54,655	54,759
	<u>4</u>	<u>A</u>	48,799	48,891	48,984	49,076	49,168	49,261	49,353	49,446	49,538	49,631	49,723	49,815
		<u>B</u>	54,863	54,966	55,070	55,174	55,278	55,382	55,486	55,590	55,694	55,798	55,902	56,005
	<u>5</u>	<u>A</u>	49,908	50,000	50,093	50,185	50,278	50,370	50,462	50,555	50,647	50,740	50,832	50,924
		<u>B</u>	56,109	56,213	56,317	56,421	56,525	56,629	56,733	56,837	56,941	57,045	57,148	57,252
	<u>6</u>	<u>A</u>	51,017	51,109	51,202	51,294	51,387	51,479	51,571	51,664	51,756	51,849	51,941	52,034
		<u>B</u>	57,356	57,460	57,564	57,668	57,772	57,876	57,980	58,084	58,188	58,291	58,395	58,499
	7	<u>A</u>	52,126	52,218	52,311	52,403	52,496	52,588	52,680	52,773	52,865	52,958	53,050	53,143
		<u>B</u>	58,603	58,707	58,811	58,915	59,019	59,123	59,227	59,330	59,434	59,538	59,642	59,746
	<u>8</u>	<u>A</u>	53,235	53,327	53,420	53,512	53,605	53,697	53,790	53,882	53,974	54,067	54,159	54,252
		<u>B</u>	59,850	59,954	60,058	60,162	60,266	60,370	60,473	60,577	60,681	60,785	60,889	60,993
	9	<u>A</u>	54,344	54,436	54,529	54,621	54,714	54,806	54,899	54,991	55,083	55,176	55,268	55,361
		<u>B</u>	61,097	61,201	61,305	61,409	61,513	61,616	61,720	61,824	61,928	62,032	62,136	62,240
	<u>10</u>	<u>A</u>	55,453	55,546	55,638	55,730	55,823	55,915	56,008	56,100	56,193	56,285	56,377	56,470
		<u>B</u>	62,344	62,448	62,552	62,655	62,759	62,863	62,967	63,071	63,175	63,279	63,383	63,487
	<u>11</u>	<u>A</u>	56,562	56,655	56,747	56,839	56,932	57,024	57,117	57,209	57,302	57,394	57,486	57,579
		<u>B</u>	63,591	63,695	63,798	63,902	64,006	64,110	64,214	64,318	64,422	64,526	64,630	64,734
	<u>12</u>	<u>A</u>	57,671	57,764	57,856	57,949	58,041	58,133	58,226	58,318	58,411	58,503	58,595	58,688
		<u>B</u>	64,838	64,941	65,045	65,149	65,253	65,357	65,461	65,565	65,669	65,773	65,877	65,980
	<u>13</u>	<u>A</u>	58,780	58,873	58,965	59,058	59,150	59,242	59,335	59,427	59,520	59,612	59,705	59,797
		<u>B</u>	66,084	66,188	66,292	66,396	66,500	66,604	66,708	66,812	66,916	67,020	67,123	67,227
	<u>14</u>	<u>A</u>	59,889	59,982	60,074	60,167	60,259	60,351	60,444	60,536	60,629	60,721	60,814	60,906
		<u>B</u>	67,331	67,435	67,539	67,643	67,747	67,851	67,955	68,059	68,163	68,266	68,370	68,474
	<u>15</u>	<u>A</u>	60,998	61,091	61,183	61,276	61,368	61,461	61,553	61,645	61,738	61,830	61,923	62,015
		<u>B</u>	68,578	68,682	68,786	68,890	68,994	69,098	69,202	69,305	69,409	69,513	69,617	69,721
	<u>16</u>	<u>A</u>	62,108	62,200	62,292	62,385	62,477	62,570	62,662	62,754	62,847	62,939	63,032	63,124
		<u>B</u>	69,825	69,929	70,033	70,137	70,241	70,345	70,448	70,552	70,656	70,760	70,864	70,968
	<u>17</u>	<u>A</u>	63,217	63,309	63,401	63,494	63,586	63,679	63,771	63,864	63,956	64,048	64,141	64,233
		<u>B</u>	71,072	71,176	71,280	71,384	71,488	71,591	71,695	71,799	71,903	72,007	72,111	72,215
	<u>18</u>	<u>A</u>	64,326											
		<u>B</u>	72,319											

Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A:-4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 5.625%.

Line B:-8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 18.75%.

# Arizona Administrative Register

# **Notices of Proposed Rulemaking**

Table 3.05. Maximum Permitted Weight Computations: 9-foot, 0-inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			Inches											
			0	1	2	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	8	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	30,100	30,100	30,100	30,100	30,100	30,100	49,101	49,195	49,289	49,383	49,477	49,571
		<u>B</u>	33,600	33,600	33,600	33,600	33,600	33,600	54,810	54,915	55,020	55,125	55,230	55,335
	<u>4</u>	<u>A</u>	49,665	49,759	49,853	49,947	50,041	50,135	50,229	50,323	50,418	50,512	50,606	50,700
		<u>B</u>	55,440	55,545	55,650	55,755	55,860	55,965	56,070	56,175	56,280	56,385	56,490	56,595
	<u>5</u>	<u>A</u>	50,794	50,888	50,982	51,076	51,170	51,264	51,358	51,452	51,546	51,640	51,734	51,828
		<u>B</u>	56,700	56,805	56,910	57,015	57,120	57,225	57,330	57,435	57,540	57,645	57,750	57,855
	<u>6</u>	<u>A</u>	51,923	52,017	52,111	52,205	52,299	52,393	52,487	52,581	52,675	52,769	52,863	52,957
		<u>B</u>	57,960	58,065	58,170	58,275	58,380	58,485	58,590	58,695	58,800	58,905	59,010	59,115
	<u>7</u>	<u>A</u>	53,051	53,145	53,239	53,333	53,428	53,522	53,616	53,710	53,804	53,898	53,992	54,086
		<u>B</u>	59,220	59,325	59,430	59,535	59,640	59,745	59,850	59,955	60,060	60,165	60,270	60,375
	<u>8</u>	<u>A</u>	54,180	54,274	54,368	54,462	54,556	54,650	54,744	54,838	54,933	55,027	55,121	55,215
		<u>B</u>	60,480	60,585	60,690	60,795	60,900	61,005	61,110	61,215	61,320	61,425	61,530	61,635
	9	<u>A</u>	55,309	55,403	55,497	55,591	55,685	55,779	55,873	55,967	56,061	56,155	56,249	56,343
		<u>B</u>	61,740	61,845	61,950	62,055	62,160	62,265	62,370	62,475	62,580	62,685	62,790	62,895
	<u>10</u>	<u>A</u>	56,438	56,532	56,626	56,720	56,814	56,908	57,002	57,096	57,190	57,284	57,378	57,472
		<u>B</u>	63,000	63,105	63,210	63,315	63,420	63,525	63,630	63,735	63,840	63,945	64,050	64,155
	<u>11</u>	<u>A</u>	57,566	57,660	57,754	57,848	57,943	58,037	58,131	58,225	58,319	58,413	58,507	58,601
		<u>B</u>	64,260	64,365	64,470	64,575	64,680	64,785	64,890	64,995	65,100	65,205	65,310	65,415
	<u>12</u>	<u>A</u>	58,695	58,789	58,883	58,977	59,071	59,165	59,259	59,353	59,448	59,542	59,636	59,730
		<u>B</u>	65,520	65,625	65,730	65,835	65,940	66,045	66,150	66,255	66,360	66,465	66,570	66,675
	<u>13</u>	<u>A</u>	59,824	59,918	60,012	60,106	60,200	60,294	60,388	60,482	60,576	60,670	60,764	60,858
		<u>B</u>	66,780	66,885	66,990	67,095	67,200	67,305	67,410	67,515	67,620	67,725	67,830	67,935
	<u>14</u>	<u>A</u>	60,953	61,047	61,141	61,235	61,329	61,423	61,517	61,611	61,705	61,799	61,893	61,987
		<u>B</u>	68,040	68,145	68,250	68,355	68,460	68,565	68,670	68,775	68,880	68,985	69,090	69,195
	<u>15</u>	<u>A</u>	62,081	62,175	62,269	62,363	62,458	62,552	62,646	62,740	62,834	62,928	63,022	63,116
		<u>B</u>	69,300	69,405	69,510	69,615	69,720	69,825	69,930	70,035	70,140	70,245	70,350	70,455
	<u>16</u>	<u>A</u>	63,210	63,304	63,398	63,492	63,586	63,680	63,774	63,868	63,963	64,057	64,151	64,245
		<u>B</u>	70,560	70,665	70,770	70,875	70,980	71,085	71,190	71,295	71,400	71,505	71,610	71,715
	<u>17</u>	<u>A</u>	64,339	64,433	64,527	64,621	64,715	64,809	64,903	64,997	65,091	65,185	65,279	65,373
		<u>B</u>	71,820	71,925	72,030	72,135	72,240	72,345	72,450	72,555	72,660	72,765	72,870	72,975
	<u>18</u>	<u>A</u>	65,468											
		<u>B</u>	73,080											

Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A:-4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 7.5%.

Line B:-8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 20.%.

Table 3.06. Maximum Permitted Weight Computations: 9-foot, 3-inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			Inches											
			0	1	2	<u>3</u>	4	<u>5</u>	<u>6</u>	7	<u>8</u>	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	30,625	30,625	30,625	30,625	30,625	30,625	49,957	50,053	50,148	50,244	50,340	50,436
		<u>B</u>	33,950	33,950	33,950	33,950	33,950	33,950	55,381	55,487	55,593	55,699	55,805	55,911
	<u>4</u>	<u>A</u>	50,531	50,627	50,723	50,818	50,914	51,010	51,105	51,201	51,297	51,393	51,488	51,584
		<u>B</u>	56,018	56,124	56,230	56,336	56,442	56,548	56,654	56,760	56,866	56,972	57,078	57,185
	<u>5</u>	<u>A</u>	51,680	51,775	51,871	51,967	52,063	52,158	52,254	52,350	52,445	52,541	52,637	52,732
		<u>B</u>	57,291	57,397	57,503	57,609	57,715	57,821	57,927	58,033	58,139	58,245	58,352	58,458
	<u>6</u>	<u>A</u>	52,828	52,924	53,020	53,115	53,211	53,307	53,402	53,498	53,594	53,689	53,785	53,881
		<u>B</u>	58,564	58,670	58,776	58,882	58,988	59,094	59,200	59,306	59,413	59,519	59,625	59,731
	7	<u>A</u>	53,977	54,072	54,168	54,264	54,359	54,455	54,551	54,646	54,742	54,838	54,934	55,029
		<u>B</u>	59,837	59,943	60,049	60,155	60,261	60,367	60,473	60,580	60,686	60,792	60,898	61,004
	<u>8</u>	<u>A</u>	55,125	55,221	55,316	55,412	55,508	55,604	55,699	55,795	55,891	55,986	56,082	56,178
		<u>B</u>	61,110	61,216	61,322	61,428	61,534	61,640	61,747	61,853	61,959	62,065	62,171	62,277
	9	<u>A</u>	56,273	56,369	56,465	56,561	56,656	56,752	56,848	56,943	57,039	57,135	57,230	57,326
		<u>B</u>	62,383	62,489	62,595	62,701	62,808	62,914	63,020	63,126	63,232	63,338	63,444	63,550
	<u>10</u>	<u>A</u>	57,422	57,518	57,613	57,709	57,805	57,900	57,996	58,092	58,188	58,283	58,379	58,475
		<u>B</u>	63,656	63,762	63,868	63,975	64,081	64,187	64,293	64,399	64,505	64,611	64,717	64,823
	<u>11</u>	<u>A</u>	58,570	58,666	58,762	58,857	58,953	59,049	59,145	59,240	59,336	59,432	59,527	59,623
		<u>B</u>	64,929	65,035	65,142	65,248	65,354	65,460	65,566	65,672	65,778	65,884	65,990	66,096
	<u>12</u>	<u>A</u>	59,719	59,814	59,910	60,006	60,102	60,197	60,293	60,389	60,484	60,580	60,676	60,771
		<u>B</u>	66,203	66,309	66,415	66,521	66,627	66,733	66,839	66,945	67,051	67,157	67,263	67,370
	<u>13</u>	<u>A</u>	60,867	60,963	61,059	61,154	61,250	61,346	61,441	61,537	61,633	61,729	61,824	61,920
		<u>B</u>	67,476	67,582	67,688	67,794	67,900	68,006	68,112	68,218	68,324	68,430	68,537	68,643
	<u>14</u>		62,016	62,111	62,207	62,303	62,398	62,494	62,590	62,686	62,781	62,877	62,973	63,068
		<u>B</u>	68,749	68,855	68,961	69,067	69,173	69,279	69,385	69,491	69,598	69,704	69,810	69,916
	<u>15</u>		63,164	63,260	63,355	63,451	63,547	63,643	63,738	63,834	63,930	64,025	64,121	64,217
		<u>B</u>	70,022	70,128	70,234	70,340	70,446	70,552	70,658	70,765	70,871	70,977	71,083	71,189
	<u>16</u>		64,313	64,408	64,504	64,600	64,695	64,791	64,887	64,982	65,078	65,174	65,270	65,365
		<u>B</u>	71,295	71,401	71,507	71,613	71,719	71,825	71,932	72,038	72,144	72,250	72,356	72,462
	<u>17</u>		65,461	65,557	65,652	65,748	65,844	65,939	66,035	66,131	66,227	66,322	66,418	66,514
		<u>B</u>	72,568	72,674	72,780	72,886	72,993	73,099	73,205	73,311	73,417	73,523	73,629	73,735
	<u>18</u>		66,609											
		<u>B</u>	73,841											

Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A:4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 9.375%.

Line B:8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 21.25%.

# Arizona Administrative Register

# **Notices of Proposed Rulemaking**

Table 3.07. Maximum Permitted Weight Computations: 9-foot, 6-inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			Inches											
			0	1	2	<u>3</u>	4	<u>5</u>	<u>6</u>	7	8	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	31,150	31,150	31,150	31,150	31,150	31,150	50,813	50,911	51,008	51,105	51,203	51,300
		<u>B</u>	34,300	34,000	34,300	34,300	34,300	34,300	55,952	56,059	56,166	56,273	56,381	56,488
	<u>4</u>	<u>A</u>	51,398	51,495	51,592	51,690	51,787	51,884	51,982	52,079	52,176	52,274	52,371	52,468
		<u>B</u>	56,595	56,702	56,809	56,917	57,024	57,131	57,238	57,345	57,453	57,560	57,667	57,774
	<u>5</u>	<u>A</u>	52,566	52,663	52,760	52,858	52,955	53,052	53,150	53,247	53,344	53,442	53,539	53,636
		<u>B</u>	57,881	57,988	58,096	58,203	58,310	58,417	58,524	58,632	58,739	58,846	58,953	59,060
	<u>6</u>	<u>A</u>	53,734	53,831	53,928	54,026	54,123	54,220	54,318	54,415	54,513	54,610	54,707	54,805
		<u>B</u>	59,168	59,275	59,382	59,489	59,596	59,703	59,811	59,918	60,025	60,132	60,239	60,347
	<u>7</u>	<u>A</u>	54,902	54,999	55,097	55,194	55,291	55,389	55,486	55,583	55,681	55,778	55,875	55,973
		<u>B</u>	60,454	60,561	60,668	60,775	60,883	60,990	61,097	61,204	61,311	61,418	61,526	61,633
	<u>8</u>	<u>A</u>	56,070	56,167	56,265	56,362	56,459	56,557	56,654	56,751	56,849	56,946	57,043	57,141
		<u>B</u>	61,740	61,847	61,954	62,062	62,169	62,276	62,383	62,490	62,598	62,705	62,812	62,919
	9	<u>A</u>	57,238	57,335	57,433	57,530	57,628	57,725	57,822	57,920	58,017	58,114	58,212	58,309
		<u>B</u>	63,026	63,133	63,241	63,348	63,455	63,562	63,669	63,777	63,884	63,991	64,098	64,205
	<u>10</u>	<u>A</u>	58,406	58,504	58,601	58,698	58,796	58,893	58,990	59,088	59,185	59,282	59,380	59,477
		<u>B</u>	64,313	64,420	64,527	64,634	64,741	64,848	64,956	65,063	65,170	65,277	65,384	65,492
	<u>11</u>	<u>A</u>	59,574	59,672	59,769	59,866	59,964	60,061	60,158	60,256	60,353	60,450	60,548	60,645
		<u>B</u>	65,599	65,706	65,813	65,920	66,028	66,135	66,242	66,349	66,456	66,563	66,671	66,778
	<u>12</u>	<u>A</u>	60,743	60,840	60,937	61,035	61,132	61,229	61,327	61,424	61,521	61,619	61,716	61,813
		<u>B</u>	66,885	66,992	67,099	67,207	67,314	67,421	67,528	67,635	67,743	67,850	67,957	68,064
	<u>13</u>	<u>A</u>	61,911	62,008	62,105	62,203	62,300	62,397	62,495	62,592	62,689	62,787	62,884	62,981
		<u>B</u>	68,171	68,278	68,386	68,493	68,600	68,707	68,814	68,922	69,029	69,136	69,243	69,350
	<u>14</u>	<u>A</u>	63,079	63,176	63,273	63,371	63,468	63,565	63,663	63,760	63,858	63,955	64,052	64,150
		<u>B</u>	69,458	69,565	69,672	69,779	69,886	69,993	70,101	70,208	70,315	70,422	70,529	70,637
	<u>15</u>	<u>A</u>	64,247	64,344	64,442	64,539	64,636	64,734	64,831	64,928	65,026	65,123	65,220	65,318
		<u>B</u>	70,744	70,851	70,958	71,065	71,173	71,280	71,387	71,494	71,601	71,708	71,816	71,923
	<u>16</u>	<u>A</u>	65,415	65,512	65,610	65,707	65,804	65,902	65,999	66,096	66,194	66,291	66,388	66,486
		<u>B</u>	72,030	72,137	72,244	72,352	72,459	72,566	72,673	72,780	72,888	72,995	73,102	73,209
	<u>17</u>	<u>A</u>	66,583	66,680	66,778	66,875	66,973	67,070	67,167	67,265	67,362	67,459	67,557	67,654
		<u>B</u>	73,316	73,423	73,531	73,638	73,745	73,852	73,959	74,067	74,174	74,281	74,388	74,495
	<u>18</u>	<u>A</u>	67,751											
		<u>B</u>	74,603											

Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A:-4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 11.25%.

Line B:-8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 22.5%.

Table 3.08. Maximum Permitted Weight Computations: 9-foot, 9-inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			Inches											
			0	1	2	<u>3</u>	4	<u>5</u>	<u>6</u>	7	<u>8</u>	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	31,675	31,675	31,675	31,675	31,675	31,675	51,670	51,769	51,868	51,967	52,066	52,165
		<u>B</u>	34,650	34,650	34,650	34,650	34,650	34,650	56,523	56,631	56,739	56,848	56,956	57,064
	<u>4</u>	<u>A</u>	52,264	52,363	52,462	52,561	52,660	52,759	52,858	52,957	53,056	53,155	53,254	53,353
		<u>B</u>	57,173	57,281	57,389	57,497	57,606	57,714	57,822	57,930	58,039	58,147	58,255	58,364
	<u>5</u>	<u>A</u>	53,452	53,551	53,650	53,749	53,848	53,946	54,045	54,144	54,243	54,342	54,441	54,540
		<u>B</u>	58,472	58,580	58,688	58,797	58,905	59,013	59,122	59,230	59,338	59,446	59,555	59,663
	<u>6</u>	<u>A</u>	54,639	54,738	54,837	54,936	55,035	55,134	55,233	55,332	55,431	55,530	55,629	55,728
		<u>B</u>	59,771	59,880	59,988	60,096	60,204	60,313	60,421	60,529	60,638	60,746	60,854	60,962
	<u>7</u>	<u>A</u>	55,827	55,926	56,025	56,124	56,223	56,322	56,421	56,520	56,619	56,718	56,817	56,916
		<u>B</u>	61,071	61,179	61,287	61,395	61,504	61,612	61,720	61,829	61,937	62,045	62,153	62,262
	<u>8</u>	<u>A</u>	57,015	57,114	57,213	57,312	57,411	57,510	57,609	57,708	57,807	57,906	58,005	58,104
		<u>B</u>	62,370	62,478	62,587	62,695	62,803	62,911	63,020	63,128	63,236	63,345	63,453	63,561
	9	<u>A</u>	58,203	58,302	58,401	58,500	58,599	58,698	58,797	58,896	58,995	59,094	59,193	59,292
		<u>B</u>	63,669	63,778	63,886	63,994	64,103	64,211	64,319	64,427	64,536	64,644	64,752	64,860
	<u>10</u>	<u>A</u>	59,391	59,490	59,589	59,688	59,787	59,886	59,985	60,084	60,183	60,281	60,380	60,479
		<u>B</u>	64,969	65,077	65,185	65,294	65,402	65,510	65,618	65,727	65,835	65,943	66,052	66,160
	<u>11</u>	<u>A</u>	60,578	60,677	60,776	60,875	60,974	61,073	61,172	61,271	61,370	61,469	61,568	61,667
		<u>B</u>	66,268	66,376	66,485	66,593	66,701	66,810	66,918	67,026	67,134	67,243	67,351	67,459
	<u>12</u>	<u>A</u>	61,766	61,865	61,964	62,063	62,162	62,261	62,360	62,459	62,558	62,657	62,756	62,855
		<u>B</u>	67,568	67,676	67,784	67,892	68,001	68,109	68,217	68,325	68,434	68,542	68,650	68,759
	<u>13</u>	<u>A</u>	62,954	63,053	63,152	63,251	63,350	63,449	63,548	63,647	63,746	63,845	63,944	64,043
		<u>B</u>	68,867	68,975	69,083	69,192	69,300	69,408	69,517	69,625	69,733	69,841	69,950	70,058
	<u>14</u>	<u>A</u>	64,142	64,241	64,340	64,439	64,538	64,637	64,736	64,835	64,934	65,033	65,132	65,231
		<u>B</u>	70,166	70,275	70,383	70,491	70,599	70,708	70,816	70,924	71,033	71,141	71,249	71,357
	<u>15</u>	<u>A</u>	65,330	65,429	65,528	65,627	65,726	65,825	65,924	66,023	66,122	66,221	66,320	66,419
		<u>B</u>	71,466	71,574	71,682	71,790	71,899	72,007	72,115	72,224	72,332	72,440	72,548	72,657
	<u>16</u>		66,518	66,616	66,715	66,814	66,913	67,012	67,111	67,210	67,309	67,408	67,507	67,606
		<u>B</u>	72,765	72,873	72,982	73,090	73,198	73,306	73,415	73,523	73,631	73,740	73,848	73,956
	<u>17</u>	<u>A</u>	67,705	67,804	67,903	68,002	68,101	68,200	68,299	68,398	68,497	68,596	68,695	68,794
		<u>B</u>	74,064	74,173	74,281	74,389	74,498	74,606	74,714	74,822	74,931	75,039	75,147	75,255
	<u>18</u>	<u>A</u>	68,893											
		<u>B</u>	75,364											

Computation Formula: Weight =  $1.5 \times 700 (L + 40)$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A: 4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 13.125%.

Line B: 8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 23.75%.

Table 3.09. Maximum Permitted Weight Computations: 10-foot, 0 inch Axle Width

Overweight Axle Group Chart

Distance between the center of the front axle and the center of the rear axle of a given group.

			Inches											
			0	1	2	3	4	<u>5</u>	<u>6</u>	7	8	9	<u>10</u>	<u>11</u>
<u>Feet</u>	<u>3</u>	<u>A</u>	32,200	32,200	32,200	32,200	32,200	32,200	52,526	52,627	52,728	52,828	52,929	53,029
		<u>B</u>	35,000	35,000	35,000	35,000	35,000	35,000	57,094	57,203	57,313	57,422	57,531	57,641
	<u>4</u>	<u>A</u>	53,130	53,231	53,331	53,432	53,533	53,633	53,734	53,834	53,935	54,036	54,136	54,237
		<u>B</u>	57,750	57,859	57,969	58,078	58,188	58,297	58,406	58,516	58,625	58,734	58,844	58,953
	<u>5</u>	<u>A</u>	54,338	54,438	54,539	54,639	54,740	54,841	54,941	55,042	55,143	55,243	55,344	55,444
		<u>B</u>	59,063	59,172	59,281	59,391	59,500	59,609	59,719	59,828	59,938	60,047	60,156	60,266
	<u>6</u>	<u>A</u>	55,545	55,646	55,746	55,847	55,948	56,048	56,149	56,249	56,350	56,451	56,551	56,652
		<u>B</u>	60,375	60,484	60,594	60,703	60,813	60,922	61,031	61,141	61,250	61,359	61,469	61,578
	7	<u>A</u>	56,753	56,853	56,954	57,054	57,155	57,256	57,356	57,457	57,558	57,658	57,759	57,859
		<u>B</u>	61,688	61,797	61,906	62,016	62,125	62,234	62,344	62,453	62,563	62,672	62,781	62,891
	8	<u>A</u>	57,960	58,061	58,161	58,262	58,363	58,463	58,564	58,664	58,765	58,866	58,966	59,067
		<u>B</u>	63,000	63,109	63,219	63,328	63,438	63,547	63,656	63,766	63,875	63,984	64,094	64,203
	9	<u>A</u>	59,168	59,268	59,369	59,469	59,570	59,671	59,771	59,872	59,973	60,073	60,174	60,274
		<u>B</u>	64,313	64,422	64,531	64,641	64,750	64,859	64,969	65,078	65,188	65,297	65,406	65,516
	<u>10</u>	<u>A</u>	60,375	60,476	60,576	60,677	60,778	60,878	60,979	61,079	61,180	61,281	61,381	61,482
		<u>B</u>	65,625	65,734	65,844	65,953	66,063	66,172	66,281	66,391	66,500	66,609	66,719	66,828
	<u>11</u>	<u>A</u>	61,583	61,683	61,784	61,884	61,985	62,086	62,186	62,287	62,388	62,488	62,589	62,689
		<u>B</u>	66,938	67,047	67,156	67,266	67,375	67,484	67,594	67,703	67,813	67,922	68,031	68,141
	<u>12</u>	<u>A</u>	62,790	62,891	62,991	63,092	63,193	63,293	63,394	63,494	63,595	63,696	63,796	63,897
		<u>B</u>	68,250	68,359	68,469	68,578	68,688	68,797	68,906	69,016	69,125	69,234	69,344	69,453
	<u>13</u>	<u>A</u>	63,998	64,098	64,199	64,299	64,400	64,501	64,601	64,702	64,803	64,903	65,004	65,104
		<u>B</u>	69,563	69,672	69,781	69,891	70,000	70,109	70,219	70,328	70,438	70,547	70,656	70,766
	<u>14</u>	<u>A</u>	65,205	65,306	65,406	65,507	65,608	65,708	65,809	65,909	66,010	66,111	66,211	66,312
		<u>B</u>	70,875	70,984	71,094	71,203	71,313	71,422	71,531	71,641	71,750	71,859	71,969	72,078
	<u>15</u>	<u>A</u>	66,413	66,513	66,614	66,714	66,815	66,916	67,016	67,117	67,218	67,318	67,419	67,519
		<u>B</u>	72,188	72,297	72,406	72,516	72,625	72,734	72,844	72,953	73,063	73,172	73,281	73,391
	<u>16</u>	<u>A</u>	67,620	67,721	67,821	67,922	68,023	68,123	68,224	68,324	68,425	68,526	68,626	68,727
		<u>B</u>	73,500	73,609	73,719	73,828	73,938	74,047	74,156	74,266	74,375	74,484	74,594	74,703
	<u>17</u>	<u>A</u>	68,828	68,928	69,029	69,129	69,230	69,331	69,431	69,532	69,633	69,733	69,834	69,934
		<u>B</u>	74,813	74,922	75,031	75,141	75,250	75,359	75,469	75,578	75,688	75,797	75,906	76,016
	<u>18</u>		70,035											
		<u>B</u>	76,125											

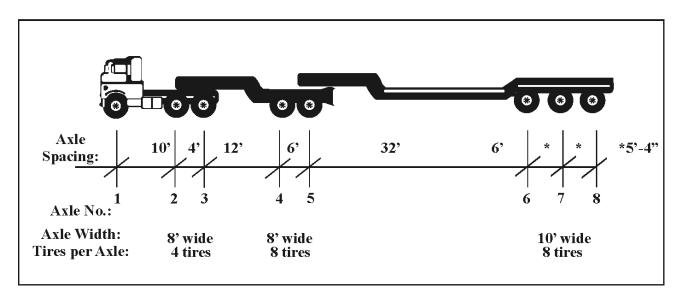
Computation Formula: Weight =  $1.5 \times 700 \text{ (L} + 40 \text{)}$ 

(L = Distance between the center of the front axle and the center of the rear axle of a given group.) Legend:

Line A: 4 tires per axle or 2) 14 inch wide tires. Value is the formula plus 15.%.

Line B: 8 tires per axle or 4) 14 inch wide tires. Value is the formula plus 25.%.

Illustration 3: OVERWEIGHT AXLE GROUPS



The axle group weights shown on the previous tables are maximum weights allowed on any combination of axles within the distance between the front and rear axle of a given group up to a maximum of 18 feet. The values in table 3.01 line "A" are an expansion of the formula  $W = 1.5 \times 700 \, (L + 40)$ , where L is the distance between the centers of the front and rear axles of a group. The values in line "B" and in the remaining tables are computed by applying the percentages prescribed in the tables' footnotes and are intended to increase the allowable weights based on wider axles and increased number of tires. Measured axle widths shall be rounded down to the nearest 1/4 foot when determining the appropriate table to use.

Note: The Department must review each possible axle group that can exist within an 18-foot distance. Axles of different configurations of width or number of tires must be prorated within the total group load in determining any allowed increase over the basic formula weight.

Note: Tire width is measured from the outside of the tire to outside of tire, this does not include the tire bulge.

#### R17-6-412. Highway-specific Overdimensional Permitted Vehicle Restrictions

Under A.R.S. § 28-7045, the following highway-use restrictions on transport by overdimensional permitted vehicles apply:

- 1. Permanent highway restrictions.
  - A permittee of an overdimensional vehicle or load shall not access routes according to the provisions prescribed in Table 4.
- 2. Temporary highway restrictions.

The Department may temporarily restrict highway access to overdimensional permit transport for no more than 12 months, due to a construction or maintenance project. A permittee shall check daily for changes in temporary highway restrictions:

- a. In electronic format at internet address: www.azfms.com/HCRS, or
- b. By direct telephone communication with a highway project engineer in an ADOT district office in oversight of a permittee's applicable transport route as follows:

 i.
 Flagstaff:
 (928) 774-1491

 ii.
 Globe:
 (928) 425-7638

 iii.
 Holbrook:
 (928) 524-6801

 iv.
 Kingman:
 (928) 757-5828

v. Phoenix: For the Phoenix metropolitan area, a permittee shall check with both the Phoenix Construction and Maintenance districts.

- (1). Phoenix Maintenance: (602) 712-6664
- (2). Phoenix Construction:(602) 712-8965

 vi.
 Prescott:
 (928) 445-5391

 vii.
 Safford:
 (928) 428-5470

 viii.
 Tucson:
 (520) 620-5416

 ix.
 Yuma:
 (928) 317-2100

 $MP-Milepost \hspace{0.5cm} Jct-Junction \hspace{0.1cm} of \hspace{0.1cm} RoutesF/R-Escorts \hspace{0.1cm} at \hspace{0.1cm} Front \hspace{0.1cm} and \hspace{0.1cm} Rear \hspace{0.1cm}$ 

# <u>Table 4.</u> <u>Permanent Overdimensional Vehicle Highway Restrictions</u>

Route Type	Route #	Restriction Criteria	Special Movement	Maximum Height	Maximum Length	At or over a width of	Maximum Weight (in lbs)
Interstate	<u>8</u>	All of route	See R17-6-211, R17-6-405, R17-6- 409				
Intestate	<u>10</u>	All of route	<u>See R17-6-211,</u> <u>R17-6-405, R17-6-409</u>				
Interstate	<u>10</u>	MP 205.45 (Battaglia underpass)		15' 9"			
Interstate	<u>10</u>	Eastbound at MP 289.35 (Pantano Railroad underpass)		<u>15'</u>			
Interstate	<u>10</u>	Westbound at MP 289.35 (Pantano Railroad underpass)		<u>15' 3"</u>			
Interstate Business	<u>10</u>	MP 305.79 (SR 80 underpass)		14'			
Interstate Business	<u>10</u>	MP 305.85 (SP Railroad underpass)		14' 3"			
Interstate	<u>15</u>	All of route	See R17-6-211, R17-6-405, R17-6- 409				
Interstate	<u>15</u>	LCVs only - All of route requires Class E (See R17-6- 206, Table 2)			92'		111,000
<u>Interstate</u>	<u>15</u>	LCVs only – All of route requires Class E (See R17-6- 206, Table 2)			105'		129,000
Interstate	<u>17</u>	All of route	See R17-6-211, R17-6-405, R17-6- 409				
Interstate	<u>17</u>	Southbound at MP 293.26 (Cornville/Mcquireville underpass)		14' 11"			
Interstate	<u>17</u>	19th Avenue to Buckeye Road		<u>14'</u>			
Interstate	<u>19</u>	All of route	See R17-6-211, R17-6-405, R17-6- 409				
Interstate	40	All of route	See R17-6-211, R17-6-405, R17-6- 409				
Interstate Business	<u>40</u>	Jct I-40 (West Flagstaff Traffic Interchange) to Jct US 89				12' requires F/R	
Interstate Business	40	MP 142.18 (Seligman GS)		<u>15' 3"</u>			
Interstate Business	40	MP 142.21 (SF Railroad underpass)		<u>15' 3"</u>			
Interstate Business	<u>40</u>	MP 165.98 (ATSF Railroad underpass)		14' 10"			
Interstate Business	<u>40</u>	MP 195.96 (SP Railroad underpass)		13' 9"			
State	<u>51</u>	All of route	See R17-6-211, R17-6-405, R17-6- 409				

		T		1	1		
<u>US</u>	<u>60</u>	Wickenburg to Florence Junction	See R17-6-211, R17-6-405, R17-6- 409				
<u>US</u>	60	Florence Junction to Globe			80'+ unar- ticulated vehicle requires F/ R; 110'+ articulated vehicle requires F/ R	11' requires F/R	
<u>US</u>	<u>60</u>	MP 228.13 (Queen Creek Tunnel)		14'			
<u>US</u>	60	Salt River Canyon to Show Low			80'+ unar- ticulated vehicle requires F/ R: 110'+ articulated vehicle requires F/ R	12' requires F/R	
<u>US</u>	60	Jct SR 61 to Jct US 180				12' requires F/R	
<u>US</u>	<u>60</u>	Eastbound at MP 110.24 (Wickenburg Railroad underpass)		13' 11"			
<u>US</u>	60	Westbound at MP 110.24 (Frontier Street underpass)		13' 7"			
<u>US</u>	61	Jct US 60 to Jct US 180				12' requires F/R	
<u>State</u>	61	Jct US 191 to State Line				10' requires F/R	
<u>State</u>	64	MP 237.1 to Jct US 89				12' requires F/R	
<u>US</u>	64	MP 470 to MP 465.2 requires Class E (See R17-6-206 Table 2)			92'		123,500
<u>State</u>	<u>67</u>	MP 579.36 to North Rim (Seasonal restriction Nov. 15 through May 15)					20,000
<u>State</u>	<u>67</u>	Jct US 89A to North Rim				12' requires F/R	
State	<u>68</u>	Jct US 93 to Jct SR 95	See R17-6-211, R17-6-405, R17-6- 409				
State	<u>69</u>	Jct I-17 to Prescott	See R17-6-211, R17-6-405, R17-6-409				
<u>US</u>	<u>70</u>	MP 253.63 (Pinal SP Railroad)		15' 8"			
State	71	Jct US 60 to Jct US 89				12' requires F/R	
State	72	Jct SR 95 to Jct US 60				12' requires F/R	
<u>State</u>	73	Jct US 60 to MP 334.72				10' requires F/R	

State   27   MP 119.15 (San Manuel over pass)   14° 1°   12° requires   12° req	<u>State</u>	77	MP 109.15 (San Manuel overpass)		14'			
State   27   Show Low to Holbrook   ETR   vehicle requires ETR   The	<u>State</u>	77	MP 119.15 (San Manuel over-		14' 1"			
State   78	<u>State</u>	77.				ticulated vehicle requires F/ R: 110'+ articulated vehicle requires F/	12' requires F/R	
Line   Line   EFR	<u>State</u>	77	Show Low to Holbrook				12' requires F/R	
State	<u>State</u>	78					12' requires F/R	
State   80   MP 366.10 (Douglas Raifroad underpass)   12' 7"	<u>State</u>	80	MP 317.65 (Tombstone Pedestrian underpass)		15' 2"			
State   80	<u>State</u>	<u>80</u>	MP 343.57 (Lowell underpass)		<u>12' 11"</u>			
State   82   Sonoita to Jct SR 80   12' requires	State	80	MP 366.10 (Douglas Railroad underpass)		12' 7"			
State   83   MP 3.19 to Sonoita   E/R	State	80					12' requires F/R	
State   83   Sonoita to Jet I-10   12' requires	State	82	Sonoita to Jct SR 80				12' requires F/R	
State         84         MP 177.67 (SP Railroad underpass)         14'           State         85         International Boundary to Ajo         12' requires F/R           State         85         MP 0.38 (SP Railroad underpass)         14' 8"           State         85         MP 0.57 (I-8 Westbound overpass)         15'           State         85         MP 0.58 (I-8 Eastbound overpass)         15'           State         85         MP 120.41 (Interstate Business 8 underpass – detouravailable)         15' 6"           State         86         Why (Ict SR 85) to Jet SR 286 (Coordinate with Tohono O'odham Police)         12' requires F/R           State         87         Jet US 60 to Payson         See R17-6-211. R17-6-405, R17-6-409           State         87         MP 254 (Payson) to Winslow         12' requires F/R           State         88         Idaho Road to MP 242.04 (Roosevelt)         Over 8' requires class C permit	State	83	MP 3.19 to Sonoita				10' requires F/R	
State   85	State	83	Sonoita to Jct I-10				12' requires F/R	
State	State	84	MP 177.67 (SP Railroad underpass)		14'			
State   85   MP 0.57 (I-8 Westbound overpass)   15"	State	85	International Boundary to Ajo				12' requires F/R	
State   85   MP 0.58 (I-8 Eastbound overpass)   15'	State	<u>85</u>			14' 8"			
State   85   MP 120.41 (Interstate Business 8 underpass – detour available)   15' 6''	<u>State</u>	<u>85</u>			<u>15"</u>			
State   86   Why (Jct SR 85) to Jct SR 286 (Coordinate with Tohono O'odham Police)     12' requires F/R	<u>State</u>	<u>85</u>			<u>15'</u>			
State   87	State	85	ness 8 underpass – detour		15' 6"			
State   87   MP 254 (Payson) to Winslow   12' requires   F/R	State	86	(Coordinate with Tohono					
State 88 Idaho Road to MP 242.04 Over 8' requires class C permit	State	87	Jct US 60 to Payson	R17-6-405, R17-6-				
(Roosevelt)  requires class C per- mit	State	87	MP 254 (Payson) to Winslow					
State 88 MP 220 6 to MP 226 6 40' 20 000	State	88	Idaho Road to MP 242.04 (Roosevelt)				requires class C per-	
<u>  10     111 220.0 to 111 220.0     10     20,000     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     10     1</u>	State	<u>88</u>	MP 220.6 to MP 226.6			<u>40'</u>		20,000

State	88	MP 209.5 (Willow Creek Bridge)		14'			
State	88	MP 222 to MP 224.3 ONE LANE ROAD RESTRICTION					
<u>US</u>	89	Jct US 93 to Prescott City limits				12' requires F/R	
<u>US</u>	89	MP 295 to MP 308			40'	Over 8' requires class C per- mit	
US	89	MP 549.54, Glen Canyon Bridge (Requires that vehicle come to complete stop, then proceed at 5 mph with no gear shifting					150,000
<u>US</u>	89	MP 552 to MP 532, LCVs require Class E (See R17-6- 206, Table 2)			92'		111,000
<u>US</u>	<u>89A</u>	Jct US 89 (Prescott) to MP 331				12' requires F/R	
<u>US</u>	<u>89A</u>	MP 319.01 (Santa Fe Railroad underpass)		13' 11"			
<u>US</u>	89A	MP 331 to MP 346			50'	Over 8' requires class C per- mit	
<u>US</u>	<u>89A</u>	Jct SR 179 (Sedona) to Flag- staff				10' requires F/R	
<u>US</u>	89A	Jct US 89 to MP 548 (Cliff-dweller's Lodge)				10' requires F/R	
<u>US</u>	89A	MP 548 to Jacob Lake				Over 8'6" requires class C per- mit	
<u>US</u>	<u>89A</u>	Jacob Lake to Fredonia				12' requires F/R	
<u>US</u>	89A	MP 610 to MP 579.4, LCVs require Class E (See R17-3- 206, Table 2)			92'		123,500
State	90	Jct I-10 to Sierra Vista	See R17-6-211, R17-6-405, R17-6- 409		92'		123,500
<u>US</u>	<u>93</u>	Post marker 3 to Hoover D	am – No overdime	ensional vehi	cle permitte	d	
<u>US</u>	93	MP 17 to MP 3				10' requires F/R	
<u>US</u>	93	Jct I-40 to Jct SR 68	See R17-6-211, R17-6-405, R17-6- 409				
<u>US</u>	93	Wikieup to Jct SR 97				12' requires F/R	
State	95	Jct SR 68 to city limits of Bullhead City	See R17-6-211, R17-6-405, R17-6- 409				
State Spur	<u>95</u>	MP 144.83 (Colorado River Bridge)	_				80,000

State	<u>96</u>	Jct SR 97 to Hillside				10' requires F/R	
State	98	Jct US 89 to MP 320, LCVs require Class E (see R17-6- 206, Table 2)			92'		111,000
State	98	MP 361.39 (electrical wire near Jct US 160)		16'6"			
State	101	All of route	See R17-6-211, R17-6-405, R17-6- 409				
State	<u>160</u>	MP 470 to MP 394, LCVs require Class E (see R17-6- 206, Table 2)			92'		123,500
<u>US</u>	163	Jct US 160 (Kayenta) to State Line				12' requires F/R	
<u>US</u>	163	MP 410 to MP 394.5, requires Class E (See R17-6-206, Table 2)			92'		123,500
State	<u>170</u>	Jct US 70 to Route End				12' requires F/R	
State	<u>177</u>	Winkelman to Jct US 60 (Superior)				12' requires F/R	
State	179	Jct I-17 to Sedona				12' requires F/R	
<u>US</u>	180	Jct SR 64 to Flagstaff				12' requires F/R	
<u>US</u>	180	Jct US 60 (Springerville) to Jct US 191 (Alpine)				12' requires F/R	
<u>US</u>	180	Jct SR 77 to Jct SR 61				12' requires F/R	
State	181	Jct US 191 to MP 65.04 (Chiricahua Nat'l Monument)				12' requires F/R	
<u>State</u>	186	Dos Cabezas (MP 342.92) to Jct SR 181				10' requires F/R	
State	187	Jct 387 to Jct SR 87				12' requires F/R	
State	188	MP 250 to MP 260				12' requires F/R	
<u>US</u>	191	Jct I-40 to Jct US 160				12' requires F/R	
<u>US</u>	191	MP 173.18 to Jct US 180 (Alpine)			40'	Over 8' requires class C per- mit	
State	202	All of route	See R17-6-211, R17-6-405, R17-6- 409				
State	260	Jct SR 87 (Payson) to Star Valley	See R17-6-211, R17-6-405, R17-6- 409				
State	<u>260</u>	Jct SR 87 (Payson) to MP 339				12' requires F/R	
State	260	MP 358 to MP 410			80'+ requires F/ <u>R</u>	12' requires F/R	
<u>State</u>	<u>261</u>	MP 394.4 to 412.7					35,000

State	<u>264</u>	Jct US 160 to MP 471.29				12' requires F/R	
<u>State</u>	<u>266</u>	Jct US 191 to MP 123.8				12' requires F/R	
State	273	All of route			80'+ requires F/ R	10' requires F/R	20,000
State	286	International Boundary to Jct SR 86				12' requires F/R	
State	288	Jct SR 188 to Route End (Near Young)			70'	Over 8' requires class C per- mit	
State	288	MP 262.44 (Salt River Bridge)		12'			80,000
<u>State</u>	289	Jct I-19 to Route End				10' requires F/R	
State	347	Jct SR 84 to Jct I-10	See R17-6-211, R17-6-405, R17-6- 409				
State	366	MP 115 to Route End (Graham Peak)			40'	Over 8' requires class C per- mit	
State	<u>377</u>	Jct SR 277 to Jct SR 77				12' requires F/R	
<u>State</u>	<u>386</u>	Jct SR 86 to Kitt Peak				10' requires F/R	
State	389	LCVs only - All of route requires Class E (See R17-6- 206, Table 2)			92'		123,500
State	473	Jct SR 260 to Route End (Haw- ley Lake)			60'+ requires F/ R	10' requires F/R	20,000
<u>State</u>	<u>564</u>	Jct US 160 to Route End				12' requires F/R	

# ARTICLE 5. ENVELOPE PERMIT SPECIAL PROVISIONS

## **R17-6-501.** Envelope Permit Required Recordkeeping

- A. As prescribed under A.R.S. § 28-1149, an envelope permittee shall retain records:
  - 1. For three years;
  - 2. At an established place of business,
  - 3. For each transported load, and
  - 4. That consist of the following:
    - a. Bill of lading,
    - b. Shipping manifest, and
    - c. Each time card or invoice.
- **B.** A retained record under subsection (A)(4) shall contain, at least, the following information:
  - 1. Document preparation date,
  - 2. Name of shipper and receiver,
  - 3. Load origin and destination,
  - 4. Dates of transit, and
  - 5. Transit route.

# **R17-6-502. Envelope Permit Suspension Point System**

The Director shall implement provisions prescribed under A.R.S. § 28-1147 by assigning points for envelope permit violations as follows:

- 1. Minor violations 1 point:
  - a. Improper or inadequate flagging as prescribed under R17-6-302;

- b. Improper or inadequate lighting as prescribed under R17-6-304;
- c. Improper or inadequate "OVERSIZE LOAD" signage display as prescribed under R17-6-303;
- d. Use of an improperly equipped escort vehicle as prescribed under R17-6-305;
- e. Failure to maintain proper follow-distance from another overdimensional vehicle or load as prescribed under R17-6-401(C).
- f. Failure of an escort vehicle to maintain proper distance as prescribed under R17-6-305; and
- g. Exceeding permitted speed but not exceeding posted speed as prescribed under R17-6-402.
- 2. Major violations 3 points:
  - a. Moving a permitted load on a curfew-restricted highway during curfew hours as prescribed under R17-6-404 through R17-6-406,
  - b. Failure to display flags or lights when required under R17-6-302 or R17-6-304,
  - c. Failure to display "OVERSIZE LOAD" signage when required under R17-6-303,
  - d. Exceeding the posted speed limit, and
  - e. Moving a reducible load with a permit.
- 3. Weight Violations, 1-36 points:
  - a. Gross vehicle weight exceeds weight as allowed by R17-6-411, A.R.S. § 28-1099, or 28-1100:
    - i. Less than 2% over allowable weight 1 point.
    - ii. 2% but less than 4% over allowable weight 2 points,
    - iii. 4% but less than 6% over allowable weight 3 points,
    - iv. 6% but less than 9% over allowable weight 6 points,
    - v. 9% but less than 12% over allowable weight 10 points,
    - vi. 12% but less than 15% over allowable weight 18 points, and
    - vii. 15% or more over allowable weight 36 points.
  - b. For each axle group exceeding weight as allowed by R17-6-411, A.R.S. § 28-1099, or 28-1100:
    - i. Less than 4% over allowable weight 1 point,
    - ii. 4% but less than 6% over allowable weight 2 points,
    - iii. 6% but less than 9% over allowable weight 4 points.
    - iv. 9% but less than 12% over allowable weight 6 points,
    - v. 12% but less than 15% over allowable weight 10 points,
    - vi. 15% but less than 20% over allowable weight 18 points, and
    - vii. 20% or more over allowable weight 36 points.
- 4. Flagrant Violations 36 points:
  - <u>a.</u> Moving a permitted load on a highway under weather restrictions as prescribed under R17-6-403 or in violation of a law enforcement agency order,
  - b. Exceeding an envelope dimension as prescribed under R17-6-101(B)(8),
  - c. Falsifying a permit application,
  - d. Altering a permit,
  - e. Failure to pay repair cost for permittee-caused highway damage as prescribed under A.R.S. § 28-1107,
  - f. Moving a permitted load on a restricted highway or restricted bridge, and
  - g. Failure to use a required escort vehicle as prescribed under R17-6-305.

# <u>R17-6-503.</u> <u>Envelope Permit Suspension; Revocation; Enforcement</u>

- A. Within 30 days of occurrence, a law enforcement agency shall transmit a copy of an envelope permit violation to Arizona Central Commercial Permits.
- **B.** The Department shall suspend an envelope permit for point accumulation within any 12-month period according to the following schedule:
  - 1. 14-19 points, 1-week suspension,
  - 2. 20-29 points, 2-week suspension,
  - 3. 30-35 points, 4-week suspension, and
  - 4. More than 35 points, a suspension period as determined by the Department for up to 1-year.
- C. The Department shall revoke an envelope permit for the following reasons:
  - 1. Frequency of violation indicates a flagrant disregard for the law or the safety of the public,
  - 2. A permittee does not have an established place of business, or
  - 3. A permittee fails to maintain records required under R17-6-501 and A.R.S. § 28-1149.
- <u>D.</u> A permittee shall surrender the permit to the Department within 72 hours after an order of suspension or revocation becomes effective.
  - 1. If the permittee fails to surrender the permit within five working days of oral or written demand, the Department shall suspend the permittee's envelope permit privileges for one year in addition to any other penalty assessed.
  - 2. The Department shall retrieve the permit if the permittee fails to return the permit within the prescribed time.

#### Arizona Administrative Register

# **Notices of Proposed Rulemaking**

E. The Department shall not issue an envelope permit during a permittee's period of suspension or revocation.

#### R17-6-504. Notice of Point Assessment, Denial, Suspension, or Revocation

- A. The Division shall send to a permittee's last known address of record advance notice of the following:
  - 1. Intent to assess points; or
  - 2. Permit denial, suspension, or revocation.
- **B.** The notice shall inform the permittee of:
  - 1. The right to a hearing on the noticed action, and
  - 2. The procedure for requesting a hearing.
- C. Any action prescribed under this Section becomes effective and final 25 days after the Division's action notice date unless a permittee submits a hearing request in compliance with procedure prescribed under R17-1-502.

#### **R17-6-505. Envelope Permit Reapplication**

- **A.** If an envelope permit is denied, an applicant may reapply immediately.
- **B.** If an envelope permit is revoked, the revoked permittee shall not reapply until after the revocation period is terminated.
- C. Upon reapplication, an applicant shall show by a preponderance of evidence that the underlying cause for denial or revocation has been removed.

#### ARTICLE 6. MANUFACTURED HOME PERMIT SPECIAL PROVISIONS

#### **R17-6-601.** Self-issue Prepaid Permit Enforcement

Upon examination of a manufactured home self-issue prepaid permit, a law enforcement officer shall:

- 1. Void any incorrect or incomplete permit, and
- 2. Prohibit any further movement of an invalidly permitted vehicle until the Arizona Central Commercial Permits office issues a valid replacement permit.

# **R17-6-602. Penalties**

- **A.** The Division shall suspend for one year a transporter's privilege to use self-issue prepaid manufactured home permits if the transporter fails to comply with:
  - 1. An applicable safety requirement under R17-6-301 through R17-6-307; or
  - 2. An applicable transport restriction under R17-6-401 through R17-412.
- **B.** The Division shall permanently revoke a transporter's privilege to use self-issue prepaid manufactured home permits upon the transporter's subsequent failure to comply with a required provision under subsection (A).